

EMERALDTM eXpert-BSMTM Evaluation Edition

http://www.sdl.sri.com/emerald/

Sun Solaris Host-Based Intrusion Detection System

System Design Laboratory

SRI International

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User's Guide, Version 1.5

EMERALD (TM)

(Event Monitoring Enabling Responses to Anomalous Live Disturbances)

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1 Notice to Users

eXpert-BSM is a host-based intrusion detection solution for Sun Solaris operating platforms, representing one component in a suite of advanced intrusion detection technologies developed by the EMERALD Development Team at SRI International. See our Web site http://www.sdl.sri.com/emerald/ for additional information.

Before You Start

You should not attempt to install or operate the EMERALD *eXpert-BSM* host intrusion detection monitor without first reading this document. This document describes the proper system preparation, installation, policy configuration, important caveats, and results expectations, which are critical to successfully operating this component. To lessen your burden, we've tried to be as concise as possible in the material that follows, so please invest some time to read this manual. We have included a **Quickstart** section for your convenience, but that should not be viewed as a substitute for reading the rest of this document.

About the Evaluation Edition

SRI provides this release of *eXpert-BSM* as a stand-alone intrusion detection system for Sun Microsystems Solaris operating systems for use on a single host system for internal evaluation purposes only. For more information regarding advanced features and technical support, please contact emerald@sdl.sri.com. For those who would like to license this component for operational deployment in multi-host, enterprise-wide deployments, we provide a full-featured, advanced version of eXpert-BSM, which includes the following features:

- Multi-host alert management with additional components, users can consolidate and analyze alerts from a suite of distributed eXpert-BSM or other EMERALD monitors.
- DBMS services users can manage and view alerts from a distributed suite of *eXpert-BSM* or other EMERALD monitors using our relational database interface component. We currently support Oracle and Postgres.
- Alert translation services additional EMERALD components allow users to translate EMERALD alert reports into a variety of binary and ascii formats.
- eResponderTM a countermeasure invocation system, tightly coupled with *eX-pert-BSM*, which provides both automated and manual response directive execution. [under development]

Value-added services from SRI – the EMERALD development team can also be engaged for these additional services associated with use of eXpert-BSM:

- Consulting services SRI can negotiate contracts for technical support, consulting services, and feature extensions for use with this and other EMERALD components.
- Knowledge-base updates licensed users will receive any updates to the eXpert-BSM intrusion detection knowledge-base produced by SRI.

To find outmore about the advanced version of eXpert-BSM for production use in multi-host deployments, please contact emerald@sdl.sri.com.

2 Quickstart

This section is intended as a checklist for the minimum steps required to start *eXpert-BSM*, and is provided for your convenience. To utilize the full potential of *eXpert-BSM*, you must read the remainder of this document.

- 1. Check the <u>System Requirements</u>, especially with respect to Solaris bugs and patches.
- 2. Before installing eXpert-BSM, you must enable BSM auditing. See <u>Enabling Solaris Audit Module</u> for more information on BSM audit configuration.
- 3. Untar the package amd in the _BSM directory using the user account from which you will run eXpert-BSM (not root). You need to know the name of a group that is allowed to run the monitor, and the path to your Java installation.
- 4. Move to the \$install/_BSM/ directory, su to root, and as root run the install script Install_eXpert_BSM.
- 5. Go into the resource-object/config directory. In the file lo-cal_netmap.conf you need to specify what hosts are internal, see Configuring the Local Network Address List. In file eXpert-Config.inc, at least list the administrators in the parameter BSM_ADMINISTRATIVE_USER_LIST, see Configuring the eXpert-BSM Knowledge-Base.
- 6. As a user in the group specified during installation, go into the _BSM directory, and run Run_eXpert_BSM. The three operating modes are described in Operating Instructions.
- 7. The results will show up in the _BSM/results directory, and in the GUI if you chose to enable and start it.
- 8. To confirm that the monitor is working in real-time mode, try the following: In a separate session, login (not su) as a user not listed as an administrator. Let that user su to a user who is listed as an administrator. That should result in an alert from the monitor. See Appendix I for additional ways to generate alerts.
- 9. To shut down the GUI, go to the File menu and choose Exit. To shut down the monitor, run _BSM/Shutdown_eXpert_BSM.

3 EMERALD eXpert-BSM Overview

What is eXpert-BSM?

eXpert-BSM, EMERALD's host-based intrusion detection monitor for Solaris BSM audit trails encapsulates the most comprehensive knowledge-base for detecting misuse in host audit trails that has ever been fielded. Section 4, eXpert-BSM Detection Summary, enumerates the warning and attack heuristics available to the eXpert-BSM inference engine. eXpert-BSM is packaged and distributed as a stand-alone intrusion detection service for detecting insider misuse and security policy violations on Sun Solaris operating systems.

The EMERALD *eXpert* (pronounced E-expert) is a highly targetable signature-analysis engine based on the expert system shell P-BEST. Under EMERALD's eXpert architecture, event-stream-specific rule sets are encapsulated within resource objects that are then instantiated with an EMERALD monitor, and which can then be distributed to an appropriate observation point in the computing environment. This enables a spectrum of configurations from lightweight distributed eXpert signature engines to heavy-duty centralized host-layer eXpert engines, such as those constructed for use in eXpert's predecessors, NIDES (Next-Generation Intrusion Detection Expert System), and MIDAS (Multics Intrusion Detection Alerting System). In a given environment, P-BEST-based eXperts may be independently distributed to analyze the activity of multiple network services (e.g., FTP, SMTP, HTTP) or network elements (e.g., a router or firewall). As each EMERALD eXpert is deployed to its target, it is instantiated with an appropriate resource object (e.g., an FTP resource object for FTP monitoring), while the eXpert code base remains independent of the analysis target. For more information about the eXpert inference engine design, capabilities, and language, see

http://www.sdl.sri.com/emerald/pbest-sp99-cr.pdf.

What is EMERALD?

The *EMERALD* (Event Monitoring Enabling Responses to Anomalous Live Disturbances) environment is a distributed scalable tool suite for tracking malicious activity through and across large networks. EMERALD introduces a highly distributed, building-block approach to network surveillance, attack isolation, and automated response. It combines models from research in distributed high-volume event correlation methodologies with over a decade of intrusion detection research and engineering experience. The approach is novel in its use of highly distributed, independently tunable, surveillance and response monitors that are deployable polymorphically at various layers within a network computing environment (OS, application, network service, TCP/IP). These monitors contribute to a streamlined event-analysis system that combines signature analysis with statistical profiling to provide localized real-time protection of the most widely used network services on the Internet. The EMERALD project represents a comprehensive attempt to develop an architecture that inherits well-developed analytical techniques for detecting intrusions, and casts them in a framework that is highly reusable, interoperable, and scalable in large network infrastructures.

A key aspect of this approach is the introduction of the EMERALD monitors. An EMERALD monitor is dynamically deployed within an administrative domain to provide localized real-time analysis of infrastructure (e.g., routers or gateways) and service (privileged subsystems with network interfaces). An EMERALD monitor may interact with its environment passively (reading activity logs) or actively via probing to supplement normal event gathering. As monitors produce analytical results, they disseminate these results asynchronously to other client EMERALD monitors. Client monitors may operate at the domain layer, correlating results from service-layer monitors, or at the enterprise layer, correlating results produced across domains. Under the EMERALD framework, a layered analysis hierarchy may be formed to support the recognition of more global threats to interdomain connectivity, including coordinated attempts to infiltrate or destroy connectivity across an enterprise.

The monitors themselves stand alone as independently tunable, self-contained analysis modules with a well-defined interface for sharing and receiving event data and analytical results with third-party security services. An EMERALD monitor performs either signature analysis, or probabilistic anomaly detection or both, on a target event stream. EM-ERALD's signature analysis subsystem employs a variant of the P-BEST expert system, which allows administrators to instantiate a rule set customized to detect predefined "problem activity" occurring on the analysis target.

Underlying the deployment of an EMERALD monitor is the selection of a target-specific event stream. The event stream is derived from a variety of sources, including audit data, network datagrams, SNMP traffic, application logs, and analysis results from other intrusion detection instrumentation. The event stream is parsed, filtered, and formatted by the target-specific event collection methods provided by the monitor's pluggable configuration library referred to as the *resource object*. Event records are then forwarded to the monitor's analysis engine(s) for processing. For more information regarding the EMERALD design, see http://www.sdl.sri.com/emerald/emerald-niss97.html.

4 *eXpert-BSM* Detection Summary

The eXpert-BSM knowledge-base represents the most sophisticated and comprehensive collection of audit-based intrusion detection heuristics ever assembled under a single host-based intrusion detection system. The majority of these heuristics focus on detecting the underlying compromises that occur within and across attack methods relevant across Unix hosts. Where possible, rules are implemented to provide the most general coverage for misuse detection and security policy violations to cover the widest range of attack classes possible from audit-based analysis. These rules have been extensively tested for their ability to recognize the intrusive activity described below, as well as avoiding false positives. See Configuring eXpert-BSM for more information on how to configure the rule parameters for this knowledge-base.

The following is a snapshot of the EMERALD *eXpert-BSM* knowledge-base for warnings and intrusion indicators as of the date of this release.

The EMERALD team continues to actively extend our current knowledge sets for both host- and network-based monitors. Our EMERALD software distribution web page http://www.sdl.sri.com/emerald/releases, has further information regarding subsequent releases.

The following attack heuristics are available within the release of this component:

- **BSM_Root_Core_Creat**: BSM Monitor observed the creation of a root core file. There are multiple known attacks that exploit or generate, as a side effect, rootowned core files, and some attacks that are formulated to ensure that the core file will include content from the shadow password file.
- **BSM_Reach_Max_BadLogin**: BSM Monitor observed N (default = 4) failed login attempts. If the username was invalid, the "user" field contains "invalid username." Otherwise, this represents a series of bad login attempts. (config: BSM_MAX_LOGIN_THRESHOLD, BSM_FAILED_LOGIN_WINDOW)
- **BSM_Root_Core_Event**: BSM Monitor observed a root process suffering a core dump. This event occurs commonly as a result of root process subversion or attacks designed to shut down root services. The kernel itself detects the event. It does not indicate core file creation, or the location of that core file, which may or may not occur.
- **BSM_FTP_Passwd_Guesser**: BSM Monitor observed N (default = 4) failed login attempts via the FTP daemon. If the username was invalid, the "user" field contains "invalid username." Otherwise, this represents a series of bad passwords submitted for a user's account. (config: BSM_FAILED_LOGIN_WINDOW, BSM_MAX_FTP_BADPASSWORDS).

- **BSM_FTP_Username_Guesser**: BSM Monitor observed a series of attempts to submit invalid usernames to the FTP daemon. The FTP daemon responds differently when an invalid account name is submitted. This allows someone to repeatedly attempt FTP logins until a valid name is discovered. (config: BSM_MAX_FTP_BADPASSWORDS, BSM_FAILED_LOGIN_WINDOW).
- **BSM_Suspicious_Exec_Argument**: BSM Monitor is capable of recognizing file accesses with arguments that match a set of known attack names. This is just an indicator that the record is worthy of inspection, and is not an attack trigger. (config: BSM_SUSPICIOUS_EXEC_LIST).
- **BSM_Time_Warp**: BSM Monitor observed a movement in local host time greater than N seconds (default = 10 min). This is a potential indicator of someone attempting to hide his or her tracks after penetrating a system. (config: BSM MAX BACKWARD TIME).
- **BSM_Root_Core_Access**: BSM Monitor observed an access to a root core file by a non-administrative user. There are known exploits that allow access to the shadow password files by causing a root core dump directly after a failed USER login request.
- **BSM_Access_Private_File**: BSM Monitor raises a warning indicator when a "private" file (in a non-public location) is altered by someone other than the file owner. (config: BSM_USER_HOMES_LOCATIONS).
- **BSM_Mod_System_Resource**: BSM Monitor raises an alert indicator when a *nonreserved* account user alters a system resource log file. This is a highly general heuristic for recognizing common actions that occur after compromise. (config: BSM_SYSTEM_RESOURCE_FILES, BSM_LAST_RESERVED_ACCOUNT, BSM SYSTEM LOG LOCATIONS).
- **BSM_FTP_Anon_Write**: BSM Monitor observed an anonymous user modifying the filesystem (e.g., writing, deleting, directory creation, chmod). When a file is written, the filename is registered in the fact-base and employed by BSM_FTP_Warez_Activity. (config: BSM_ANON_FTP_MONITOR_WINDOW, BSM LOCAL FTP UID).
- **BSM_FTP_Warez_Activity**: BSM monitor observed N anonymous users retrieving an anonymously uploaded file that has been registered by the BSM_FTP_Anon_Write rule. (config: BSM_ANON_FTP_MONITOR_WINDOW, BSM_FTP_WAREZ_COMPLAINT, BSM_LOCAL_FTP_UID).
- **BSM_Client_INET_Watch**: BSM Monitor observed a flood of inetd-based connections from a remote location. These include in.telnetd, in.ftpd, and in.fingerd. The process table attack is an example exploit for this rule set. (config: BSM_SUSPICIOUS_EXEC_LIST).

- **BSM_Proc_Exhaust_Threshold**: BSM Monitor observed process resource exhaustion. This heuristic provides threshold analysis on failed forks. (config: BSM_MAX_FAILED_PROCS_PER_CYCLE, BSM_FAILED_PROC_THRESHOLD_WINDOW)
- **BSM_File_Exhaust_Threshold**: BSM Monitor observed a series of failed write operations that were rejected for lack of available filesystem space. (config: BSM_MAX_NOSPACE_ERRORS, BSM_WRITE_ERR_THRESHOLD_WINDOW)
- **BSM_Attempted_Root_Login**: BSM Monitor observed a failed attempted **root** login via login, telnet, rlogin, rsh, su. With BSM installed, direct root login is disallowed. Administrators are required to login under their own accounts, and transition to **root** via su(1).
- **BSM_Suspicious_Setuid**: BSM Monitor observed that the setuid bit has been enabled by a non-administrative user (i.e., a process whose original login ID is not a known administrator). If the user enabling the setuid bit owns the file, then a warning is raised. If the user enabling the setuid bit is not the owner of the file, then this alert is flagged as an attack (clear authority violation). This is an excellent heuristic for recognizing common actions that occur during an intrusion, where the attacker subverts the system into enabling the setuid bit on a rootowned file. This heuristic also distinguishes between administrative users and non-administrative users. (config: BSM_ADMINISTRATIVE_USER_LIST).
- **BSM_Setreuid_By_Nonadmin**: The BSM Monitor observed a non-administrative user process changing its real user ID to an administrator ID. (config: BSM ADMINISTRATIVE USER LIST).
- **BSM_Suspicious_Port_Probing** [1]: Applicable to Solaris 2.6 and above. The BSM Monitor observed a remote host attempting to connect to a series of service ports that collectively indicate a potential selective port scan. (config: BSM_PORT_ANALYSIS_WINDOW).
- **BSM_Bad_Port_Connection** [1]: BSM Monitor allows specification of a set of network ports that should not be accessed be external clients. BSM Monitor raises an alert when external connections to these ports occur, including the requestor IP address. (config: BSM_UNACCEPTABLE_PORT_CONNECTIONS).
- **BSM_Buffer_Overflow_Exec**: BSM Monitor observed a buffer overflow attack. This could triggered by eject, fdformat, ffbconfig, rdist, or several other known buffer overflow attacks. It covers the entire class of SUID stack smashing on local applications at initialization.
- **BSM_Special_User_Exec**: Some reserved accounts are not intended to run processes, but rather are present for file ownership purposes. The BSM Monitor raises an alert if it identifies an <code>exec()</code> call from a reserved account. (config: <code>BSM_EXEC_LESS_ACCOUNTS)</code>.

- **BSM_Exec_Non_Author**: BSM Monitor raises an alert if it identifies an exec() call from a setuid process, such that the exec'd file is a program not owned by root or the SUID user. (config: BSM_LAST_RESERVED_ACCOUNTS)
- **BSM_Change_User_Environ_File**: BSM Monitor observed the contents of a user's environment files being modified by another user. This is a highly general heuristic for recognizing common actions that occur after compromise. (config: BSM_USER_ENV_FILES)
- **BSM_Illegal_Shadow_Passwd_Access**: BSM Monitor observed destructive access to the OS password/shadow file occurring through an unknown facility and non-administrative user. (config: BSM_ADMINISTRATIVE_USER_LIST)
- **BSM_Mod_System_Executable**: BSM Monitor observed the alteration of a system executable. It catches attempts to modify system binaries. This is a highly general heuristic for recognizing common actions that occur after compromise. (config: BSM_SYSTEM_BIN_LOCATIONS).
- **BSM_Root_By_NonAdmin**: BSM Monitor is capable of maintaining a list of who is and is not allowed to acquire administrative privilege. When a non-administrative user acquires privilege (via any facility), this alert is raised. In systems with no strong policy about who is allowed to acquire root, this facility can be disabled. (config: BSM_ADMINISTRATIVE_USER_LIST)
- **BSM_Read_Private_File:** BSM Monitor allows users to specify sensitive file lists and associate with those lists groups of users who are and are not allowed to reference files in the lists. For more information, see Setting a Monitoring Policy, Configuring *eXpert-BSM*.
- **BSM_Write_Private_File**: BSM Monitor allows users to specify sensitive file lists and associate with those lists groups of users who are and are not allowed to modify or destroy files in the list. For more information, see Setting a Monitoring Policy, <u>Configuring eXpert-BSM</u>.
- **BSM_Dissallowed_FTP_Read:** BSM Monitor observed an FTP process reference the content of a file in violation of the site survieillance policy. For more information, see Setting a Monitoring Policy, Configuring eXpert-BSM.
- **BSM_Dissallowed_FTP_Write:** BSM Monitor observed an FTP process modify the content of a file in violation of the site survieillance policy. For more information, see Setting a Monitoring Policy, Configuring *eXpert-BSM*.
- **BSM_Illegal_Execution**: BSM Monitor allows users to specify lists of binaries and shell scripts and associate with those lists groups of users who are and are not allowed to execute the programs in the list. For more information, see Setting a Monitoring Policy, Configuring eXpert-BSM.

- **BSM_Promiscuous_Mode**: BSM Monitor observed a process open a promiscuous mode port (e.g., a sniffer), and reports the promiscuous mode event if the user is not an admin: (config: BSM_ADMINISTRATIVE_USER_LIST, BSM_EMERALD_NIC_NAMES)
- **BSM_Self_Echo_Alert**: BSM Monitor observed a self-ping DoS attack. (config: BSM_MAX_ECHOS_RECEIVED, BSM_ECHO_FLOOD_WINDOW)
- **BSM_Inetd_Subversion**: BSM Monitor observed that an inetd service executable has been overlayed in an illegal manner. This indicates that a root-privileged service has been subverted, for example via a data segment buffer overflow. Examples include the Solaris sadmin data segment overflow exploit. (config: BSM_TCP_WRAPPER).

5 System Requirements

Operating System

The EMERALD *eXpert-BSM* Monitor requires a Sun Microsystems Sparc platform running one of:

- SunOS 5.6 (Solaris 2.6), service patch 105621-24 or newer
- Solaris 7, service patch 106541-12 or newer
- Solaris 8, service patch 108875-07 or newer

The EMERALD *eXpert-BSM* monitor generally consumes around 5-12MBs of process space. We recommend running *eXpert-BSM* on machines with 64MBs or more of memory and 20MBs or more of available disk space on a local drive. For more information on expected process growth, refer to the *eXpert-BSM* FAQ:

http://www.sdl.sri.com/emerald/releases/expert-BSM/faq.html

Caution: Solaris Bugs

If you are attempting to install *eXpert-BSM* on certain versions of Solaris, you must ensure that the appropriate patches are installed before you try to run *eXpert-BSM*. The OS bugs listed below could render your system **unusable** when triggered by *eXpert-BSM*. Use 'showrev -p' to see what patches are installed, and if needed, visit the Sun Microsystems web page http://sunsolve.sun.com for information on bugs and patches.

Sun Bug ID	Description	Possible Patch (OS)
4194454	auditing to pipe causes system to panic	105621-24 (5.6) 106541-12 (5.7)
4229414	Solaris 7 64 bit BSM auditing with +argv policy break exec()	106541-12 (5.7)
4307306	stopping c2 auditing does not always stop auditing in the kernel	105621-24 (5.6) 106541-12 (5.7) 108875-07 (5.8)

In addition, there are problems in Solaris 8 (SunOS 5.8) that require patches to be applied for eXpert-BSM to function properly. Those are also covered by patch 108875-07 or newer.

Java environment

The EMERALD Alert Management Interface requires the use of the JAVA Development Kit (JDK) 1.1.8, which in most cases is installed as part of your standard Sun Solaris installation package. If Java JDK 1.1.8 is not installed on your Solaris platform, you can obtain this package directly from Sun Microsystems at http://www.sun.com/solaris/java.

6 Download Instructions

Evaluation versions of EMERALD eXpert-BSM are available for download to those who apply for registration on our download request page on the following URL:

http://www.sdl.sri.com/emerald/releases

By registering your contact information on this page and agreeing to the <u>Software Distribution Agreement</u> and Reporting and Feedback Agreement, you will receive within 5 business days an email message with an appropriate password to decrypt the *eXpert-BSM* binary release. The binary will require decryption using the GNU Privacy Guard algorithm (available from our registration page or from <u>www.gnupg.org</u>). The release will also require Solaris uncompress and tar.

7 Contents of Distribution

The following files are contained in this distribution of the EMERALD *eXpert-BSM* Monitor (indentation indicates containment).

doc	Documentation directory
Emerald-AMIpdf	Java GUI User's Guide
user-manual_1_2.pdf	This user document
copyright	EMERALD copyright information
license.pdf	License and distribution information
PBEST-1999pdf	Technical article about P-BEST
BSM	EMERALD control directory
_	Installation script (run as root)
Install_eXpert_BSM	
Run_eXpert_BSM	Startup script
Run_config	Start Configuration GUI
Shutdown_eXpert_BSM	Shutdown script
Start_GUI	Alert GUI start script
_bsm_to_ebin	Convert BSM file to EMERALD binary file
_ebin_to_ascii	Convert EMERALD binary file to ASCII
eXpert-config.sh	Run_eXpert_BSM parameter config file
autoboot/auto_start	autoboot start script
autoboot/auto_stop	autoboot stop script
bin	Solaris 2.6 thru 2.8 executables
SunOS-5.*	EMERALD executables directory
ask_yn	Utility script
ebsmgen	BSM-to-EMERALD data converter
ebsmprobe	Real-time BSM data retrieval
ebsmsetpolicy	Utility to set the BSM audit policy
emsgdump	Results file dump utility
eXpert-BSM	EMERALD expert-system BSM analyzer
slay	Utility script for killing processes
throttle	I/O buffering process
resource-object/config	Monitor configuration directory
accesspolicy.conf	Surveillance policy configuration
eXpert-Config.inc	Knowledge-base configuration
local_netmap.conf	local IP address map
	User-ID to user-name map (built at in-
username_map.conf	stall time)
BSM/results	
bsm-alerts-	Results and log directory
*.resolver	EMERALD binary format alerts file
bsm-expert-*.log	ASCII console alerts and error log
bsm-generator-*.log	BSM data converter log
gui	This directory contains the
*	EMERALD GUI subsystem for JAVA 1.1.8
_	An extensive battery of BSM records
samples	(encoded in EMERALD binary format)
emerald-attack-	that exercise the eXpert-BSM knowledge-
battery.ebin	base

8 Pre-Installation Cautions and Caveats

What You Need Before Installation

- Root privilege is required to install eXpert-BSM for real-time operation. If you wish to limit the use of this component to batch-mode operation, root privilege is not required.
- We strongly recommend that you install eXpert-BSM on the target host's local hard drive rather than an NFS mounted partition when operating this system in real-time mode. This is due to both performance and reliability concerns.
- Certain versions of the Solaris operating systems require certain service patches from Sun Microsystems (see the section on Solaris Bugs).
- The EMERALD Alert Management Interface (GUI) requires the use of the JAVA Development Kit (JDK) 1.1.8, which must be installed on your system and accessible to the account from which you will run EMERALD.

9 Installing *eXpert-BSM*

Enabling Solaris Audit Module

Solaris auditing must be configured for auditing before *eXpert-BSM* is installed. This can be done as follows:

- 1. Make sure that users are logged off. Log in on the console as root. Reboot the system and from the console, log into the system in single-user mode by using telinit (see init(1M) man page).
- # /etc/telinit 1
- 2. In single-user mode, change directory to /etc/security and run bsmconv.

```
# cd /etc/security
# ./bsmconv
```

This process creates an audit_startup file. Upon completion of bsmconv, you will be prompted to reboot—DO NOT reboot until instructed to do so in step 5.

3. Rename /etc/security/audit_startup to something else, see example below. This is to prevent the audit daemon from starting at system boot. The *eXpert-BSM* installation contains ebsmprobe, which is a replacement for auditd.

```
# mv /etc/security/audit_startup \
  /etc/security/audit_startup.we_dont_want_auditd_to_start
```

4. If there is a line

```
set abort enable = 0
```

in /etc/system, you might want to comment it out by making the first character of the line a star (*). This line is added by bsmconv in Solaris 2.6 and later to disable STOP-A halting. It adds marginal security to a desktop machine, but is inconvenient when you need to halt a server from the console.

- 5. Reboot the system into multiuser mode.
- # /usr/sbin/reboot
- 6. Running the following command as root after reboot should indicate "audit condition = unset".
- # /usr/sbin/auditconfig -getcond

For more information, consult the "SunShield Basic Security Module Guide" for Solaris, available from http://docs.sun.com.

Security Recommendation

eXpert-BSM requires privilege only to capture the audit records from the kernel. This privileged function has been isolated into an independent probe process, which can be granted setuid capability independently from the rest of the *eXpert-BSM* process chain. We recommend the following setup strategy (advisory only, not required):

- 1. Create an exclusive account for running *eXpert-BSM*, called emerald, and an exclusive group with the same name.
- 2. Extract the *eXpert-BSM* package into the target \$Install directory owned by the emerald account.
- 3. Limit accessibility of the directory to the emerald account.

Setup Instructions

Log in with root privilege, invoke the script \$Install_BSM/Install_eXpert_BSM and follow the directions.

Note: The eXpert-BSM process chain does not audit itself. There is no need to configure /etc/security/audit_user to exclude user emerald.

Installation Sample Dialog with Explanation

This section describes the individual steps involved in the installation of *eXpert-BSM*. Additional commentary is numbered. To begin installation, login as root and move to directory \$Install/ BSM/. From there, run

```
# ./Install eXpert BSM
```

1. This script first attempts to determine if the installation host is running Solaris 2.6 or newer. If it is not, the following message appears:

```
Unsupported operating system: X
This version of the EMERALD BSM Monitor is designed for"
Solaris 6, 7, and 8
```

2. If this operating system is supported by this release, the following banner is shown:

```
*************
```

eXpert-BSM BSM monitor installation: <timestamp>

```
* EMERALD (tm) *

* (Event Monitoring Enabling Reponses to Anomalous *

* Live Disturbances) *

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* EMERALD, expert-BSM, expert-Net, expert-HTTP, *

* expert-SMTP, expert-TCP, expert-UDP, expert-FTP, *

* expert-ARP, expert-Session, expert-ICMP, *

* eBayes-TCP, M-Correlator, eAggregator *

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```

Hit return to continue...

Attention: You are about to install the EMERALD (TM) BSM Monitor intrusion detection monitor into your system. This component is designed for Solaris 6 thru 8 operating systems (32/64 bit) with audit facilities installed. If you have not installed the Solaris audit facilities on this machine, please abort this installation and install audit facilities first.

You may ctrl-C out of this script at any time if you do not wish to continue the installation.

It is extremely important that you have read Sections 8, 9

and 10 of the eXpert-BSM User Manual before attempting to install and operate this system. If you have not read these sections, please read them before continuing.

Have you reviewed these section (Y/N)?

To stop execution of the script, hold down the control key while hitting c, and then press return.

You will be asked a question whether you have reviewed this documentation. If you answer no, the script will exit and will indicate that you should review Sections 8, 9, and 10 of this document.

3. Install_eXpert_BSM will provide a warning message to inform you about patch requirements for Solaris:

WARNING: This operating system is SunOS-5.7 in 64-bit mode. It could have the following serious bugs:

Sun Bug ID	Description	Possible Patch
4194454	auditing to pipe causes system to panic	105621-24 (5.6) 106541-12 (5.7)
4229414	Solaris 7 64 bit BSM auditing with +argv policy break exec()	106541-12 (5.7)
4307306 	stopping c2 auditing does not always stop auditing in the kernel	105621-24 (5.6) 106541-12 (5.7) 108875-07 (5.8)

It is VERY IMPORTANT that you make sure that the appropriate patches are installed before you try to run eXpert-BSM. The OS bugs listed above could render your system UNUSABLE when triggered by eXpert-BSM. Use 'showrev -p' to see what patches are installed. See also http://sunsolve.Sun.COM/ for information on bugs and patches.

Do you wish to continue the installation (Y/N)?

You can use the Solaris showrev command to verify that you have a properly patched installation of Solaris before proceeding. If you answer no, the script will exit.

4. Install_eXpert_BSM verifies that you are operating as user root. Root is required to modify the audit configuration and enable real-time access to kernel audit data. If you are not root, you will see the following message:

WARNING: Installation process should be run as root.

```
Do you wish to continue (y/n)?
```

If you wish to employ eXpert-BSM for real-time use, type 'n' to exit this installation script, become root, and restart the installation process. If you intend to use eXpert-BSM exclusively for batch mode processing, you may type 'y' and continue.

Please note that when you do not run as root, the script cannot correctly determine whether BSM is enabled on your system, and you will again be asked whether you want to continue.

5. The installation script automatically constructs the file username_map.conf, which is located in \$Install/resource_object/config/.

```
Now building the first-cut user-name map file.
```

As you add new accounts to your environment, you may wish to re-run this install program to add the additional usernames and IDs.

```
Note: if you are not running yp, you may encounter a yppasswd-related error. Just ignore this error.
```

Would you like to edit the username map (usually not necessary) (Y/N)?

The username_map.conf is automatically generated by the installation script and provides eXpert-BSM with a mapping between Subject IDs and human-readable usernames. Both the local /etc/passwd file and the NIS (yp) passwd database are used as input. This resulting map allows eXpert-BSM to avoid performing expensive name lookups at runtime, as it receives audit records. Here is an example of the username map file:

```
root
          1
daemon
bin
          2
SYS
          3
          4
adm
          71
lρ
uucp
nuucp
          9
listen
          37
operator 28
johnny
          443
suzie
          445
```

Updating the username map: After you have added or deleted user accounts on the system, there are two ways to update the username map. Once you have completed modifications, you may activate these configuration changes by sending a SIGHUP to the eXpert-BSM process:

Edit the file with a text editor, or simply rerun the install script. The username_map will be rebuilt.

If you answer yes the script will prompt you for the editor you wish to use.

Enter the editor you wish to use (default: vi)

If you press enter, your default editor will be used.

Now entering the editor vi on the user-name map file. Make any adjustments to the file, save it, and exit the editor to continue with the installation...

When you are done, the script will reply as follows:

Welcome Back: If you need to modify the usermap file again, it can be found in ./resource-object/config/username_map.conf. For more information on username_map.conf, see the user documentation.

6. eXpert-BSM requires privilege to capture the audit records from the kernel. This privileged function has been isolated into an independent probe process called ebsmprobe.

The eXpert-BSM startup requires root privilege for: ebsmprobe realtime BSM data retrieval code

Do you wish to allow set-UID-to-root for ebsmprobe (Y/N)?

7. You are prompted to enter the group name of the individual(s) needing access to the eXpert-BSM results. For example, if eXpert-BSM will be operated under the emerald group, then type emerald.

Use of eXpert-BSM should be restricted to a limited group of users. Enter the group name or username that will be allowed to run the BSM monitor (e.g., emerald):

8. The script checks whether the audit daemon is currently running. If it is, you are prompted to shut it down. If you do not wish to run eXpert-BSM in real-time mode, you could restart auditd after the install script is finished

ps indicates that auditd is running:

auditd must be shutdown to initialize EMERALD.

Do you wish to shutdown the audit daemon (Y/N)?

If you agree to terminate the process, the following command is run.

```
# /usr/sbin/audit -t
```

9. eXpert-BSM determines whether the audit daemon is currently set to start at boot time on your system. This should not be the case if you want to run in real-time; as eXpert-BSM real-time mode does not work in parallel with the Solaris audit daemon. Type 'Y' to continue with the installation process. To later re-enable the Solaris audit daemon to start at boot time, simply rename the file audit_startup.renamed_by_emerald_file back to audit_startup.

eXpert-BSM has determined that auditing is currently enabled on your system and that auditd will continue to be enabled on system reboot. Note: In real-time mode expert-BSM cannot

operate in parallel with auditd, so disabling auditd facilitates the regular use of eXpert-BSM.

Details:

to

to disable auditd from automatically restarting at system reboot, this script will rename the audit_startup script from /etc/security/audit_startup

/etc/security/audit_startup.renamed_by_emerald.

Do you wish to rename the audit script (y/n)?

10a. eXpert-BSM attempts to install a custom audit configuration.

_____ eXpert-BSM provides a highly optimized BSM configuration, which reduces CPU load and is required to function properly. You can

optionally back up your current configuration before the eXpert-BSM configuration is installed.

10b. eXpert-BSM needs to modify the audit configuration of your Solaris host. Selecting Y (yes) stores your previous files in a file called /etc/security/orig audit_file{timestamp}.tar.

```
Do you wish to back up your current BSM configuration (Y/N)?
```

10c. eXpert-BSM will prompt you to remove the default audit configuration files. Assuming you select 'Y' to question 10b, you will be able to later restore the original Solaris configuration files should you choose to uninstall eXpert-BSM, see <u>Uninstalling eXpert-BSM</u>.

```
BSM configuration files
/etc/security/audit_class /etc/security/audit_control
/etc/security/audit_event /etc/security/audit_user
have been BACKED UP to
/etc/security/orig_audit_01Jun21-0731.tar.Z
```

Next, the install script will ask to remove the old BSM configuration files.

```
The BSM configuration files

/etc/security/audit_class /etc/security/audit_control

/etc/security/audit_event

/etc/security/audit_startup.renamed_by_emerald

/etc/security/audit_user /etc/security/audit_warn

/etc/security/audit_data
will be deleted.

OK to delete (Y/N)?
```

11. eXpert-BSM unloads and installs the following files into /etc/security/:

```
audit_class
audit_control
audit_event
audit_user
```

The files are located in \$Install/resource-object/audit_config.tar for your inspection.

```
Install EMERALD BSM configuration files (Y/N)?
```

12. The files discussed in (11) are moved to /etc/security/, and permissions are set appropriately.

13. You may enable eXpert-BSM to automatically startup during the system boot process:

eXpert-BSM Autoboot Installation:

You have the opportunity to configure eXpert-BSM to automatically start during the boot procedure. If you elect to enable eXpert-BSM to automatically start at system boot, the following files will be created: 1) sh script /etc/init.d/eXpert-BSM, 2) symlink /etc/rc2.d/S80eXpert-BSM which points to the sh script, and 3) alert log directory /var/adm/securityd/.

To temporarily disable eXpert-BSM autoboot mode, we recommend you rename /etc/rc2.d/S80eXpert-BSM to /etc/rc2.d/disabled-S80eXpert-BSM.

See Section 9 for more details.

Do you wish to enable eXpert-BSM autoboot mode (Y/N)?

14. This completes the installation phase. Before running eXpert-BSM you must follow the configuration phase discussed in Configuring *eXpert-BSM*.

eXpert-BSM installation phase complete.

Configuration Phase is required before running eXpert-BSM

Please refer to Section 10 of the eXpert-BSM User Manual for information on configuring this component. The following configuration files should be configured before running eXpert-BSM:

```
{emerald_install}/_BSM/eXpert-config.sh
{emerald_install}/resource-object/config/accesspolicy.conf
{emerald_install}/resource-object/config/eXpert-Config.inc
{emerald_install}/resource-object/config/local_netmap.conf
{emerald_install}/resource-object/config/username_map.conf
```

Do you wish to configure eXpert-BSM now? (Y/N)?

Now that you have completed installation, proceed to Chapter 10 for information on prop-						
ly configuring eXpert-BSM for you environment.						

10 Configuring eXpert-BSM

eXpert-BSM provides an unprecedented degree of dynamically adjustable user control over its runtime operation. However, this greater user flexibility also implies greater responsibility on you, the user, to fully understand how to configure this engine for your needs and environment.

After completion of the installation phase of eXpert-BSM, described in the previous section, you must perform the eXpert-BSM configuration phase. While we provide generally applicable default values, some aspects of the configuration process requires customization to your environment before eXpert-BSM can properly operate. The configuration phase of eXpert-BSM proceeds as follows:

- <u>Configuring the Run eXpert-BSM Script</u>: sets various external parameters to control the settings for your local time, debug mode, script prompt invocations, IDIP alert production, and socket use.
- Configuring the eXpert-BSM Knowledge-Base: provides the user unprecedented control over the intrusion detection heuristics. Required for proper operation of eXpert-BSM.
- <u>Configuring the Local Network Address List</u>: provides eX*pert-BSM* a list of internal IP addresses for use in network-related heuristics.
- Configuring the Surveillance Policy for Local File Access: (optional) provides an optional configuration facility for specifying an access policy to be monitored by eXpert-BSM.

Configuring the Run_eXpert_BSM Script

eXpert-BSM is run through the csh script \$Install/_BSM/Run_eXpert_BSM script. See Operating Instructions for more information on using Run_eXpert_BSM. The following settings are available for modification through file \$Install/_BSM/eXpert_config.sh, which is referenced by Run_eXpert_BSM.

- This variable will cause the Run_eXpert_BSM script to run silently, with no user command prompts. This overrides all interactive settings below except CHECK_EFUNNEL. If set to "off", then by default the GUI will not be invoked and the results directory will *not* be cleared.Values: "on", "off", "yes", "no"
 - set Interactive = "on"
- SETTING LOCAL TIME ZONE: You can set the default timezone as appropriate for this installation by setting the variable called Local_Timezone. Valid values are UTC, GMT, ET, EST, EDT, CT, CST, CDT, MT, MST, MDT, PT, PST, PDT, or an ±hour[:min] offset from GMT such as "+9". The ET, CT, MT, and PT versions auto-adjust for daylight saving time in these time zones (e.g., ET is

EDT between 2AM on the first Sunday in April and 2A.M. on the last Sunday in October; otherwise it is EST) and set the default timezone to standard time:

- set Local_Timezone = "PT"
- SETTING DEBUG MODE: *eXpert-BSM* can operate in debug mode, under which it generates a console debug message for every BSM record it encounters. The settings for this variable are "off" (default) and "on" to produce event stream debug messages.
 - set DEBUG MODE = "off"
- SETTING DELETION PROMPT FOR RESULTS DIRECTORY: You can specify whether Run_expert_BSM will prompt you to delete the current contents of the results directory. You can disable this check for non-interactive batch runs by setting this variable to "off"; "on" is the default.
 - set CLEAR RES DIR = "on"
- SETTING INVOCATION PROMPT FOR GUI: Run_expert_BSM can be configured to prompt the user for GUI invocation. This check can be disabled for non-interactive batch runs by setting this variable to "off"; "on" is the default.
 - set CHECK GUI INVOCATION = "on"
- ENABLING IPC TRANSPORT METHOD: IPC_METHOD tells eXpert-BSM that its components shall use Solaris sockets, unamed pipes, or shared memory. By default, sockets are used for communication between eXpert-BSM and ebsmgen.
 - set IPC_METHOD = "SOCKETS"
- EFUNNEL_MODE: Run_eXpert_BSM can be configured to forward its alerts to other subscriber EMERALD correlation, response, or visualization services located on remote servers. Connection establishment can be set to 1) filemode, indicating alerts should be sent to the local log file 2) passive, indicating eXpert-BSM should allow a subscriber running on the EFUNNEL_HOST to connect to it, or 3) initiate, indicating eXpert-BSM should connect into the subscriber on the EFUNNEL_HOST useful for firewall policies that may prevent eXpert-BSM from connecting out. Filemode is the default.
 - set EFUNNEL MODE = "FILEMODE"
- EFUNNEL__HOST: If set, this is the host that eXpert-BSM will send its resolver alerts to if this function was enabled as described above. This parameter is commented out by default, causing Run_eXpert_BSM to prompt the user for the host-name. You can give either a hostname or an IP address.
 - set EFUNNEL HOST = "consumer.your-domain.org"

Configuring the eXpert-BSM Knowledge-Base

eXpert-BSM provides parameters for customizing its knowledge-base for use in your environment. The parameters are accessible from **\$Install/resource-object/config/eXpert-config.inc**. The complete list of parameters that are available for knowledge-base custimization are provided below. At a minimum, the operator should closely consider the following parameter settings before using eXpert-BSM:

- EXPERT_ACTIVE_REPORTS_ENABLED
- BSM_ADMINISTRATOR_USER_LIST
- BSM_USER_HOMES_LOCATION
- BSM_LAST_RESERVED_ACCOUNT
- BSM_LOCAL_FTPD_UID
- BSM_FTP_UPLOAD_PATHS
- BSM_TCP_WRAPPER_LIST

Parameter: EXPERT_ACTIVE_REPORTS_ENABLED

- Dependent Rules: Status Message Generatrion
- Purpose: This flag enables the production of "I'm alive" status messages for use by EMERALD remote user interface software.
- Default: None. 0 (disabled)

Ulong EXPERT ACTIVE REPORTS ENABLED 0

Parameter: BSM_ADMINISTRATIVE_USER_LIST

- Dependent Rules: BSM_Suspicious_Setuid, BSM_Illegal_Shadow_Passwd_Access, BSM_Promiscuous_Mode, BSM_Root_by_Nonadmin, BSM_Setreuid_by_Nonadmin
- Purpose: This list informs eXpert-BSM who the current list of users are that may legally acquire root control. Note: leaving this list empty effectively disables heuristics that depend on it.
- Default: None. root.

MsgString BSM_ADMINISTRATIVE_USER_LIST { root }

Parameter: BSM_MAX_BACKWARD_TIME

- Dependent Rules: BSM_TIME_Warp.
- Purpose: Indicates the number of seconds the host's time is allowed to be set backward before an alarm is raised.
- Default: 600 seconds (10 minutes)

```
Ulong BSM_MAX_BACKWARD_TIME = 600
```

Parameter: BSM_SUSPICIOUS_EXEC_LIST

- Dependent Rules: BSM_SUSPICIOUS_EXEC_ARGUMENT
- Purpose: A list of highly suspicious program names that may be worthy of administrative review if executed on the host. The list can also be employed for site-specific surveillance needs.
- Default: A small set of well-known hacker programs.

```
MsgString BSM_SUSPICIOUS_EXEC_LIST {
    perlmagic rootk ps_exp
    smurf pepsi nfsshell
    sniffer slammer satan
    nmap }
```

Parameter: BSM_EXEC_LESS_ACCOUNTS

- Dependent Rules: BSM_Special_User_Exec
- Purpose: A list of user accounts not intended to run processes. These accounts are present strictly for file ownership purposes. Other good candidates include ingress, uucp, nuucp, adm, listen.
- Default: bin, sys, noaccess

```
MsgString BSM_EXEC_LESS_ACCOUNTS {bin sys noaccess}
```

Parameter: BSM_USER_ENV_FILES

• Dependent Rules: BSM_Change_User_Environ_File

- Purpose: a list of environment initialization files that should not be modified by anyone other than the owner of the files. Other good candidate files include X server and mail configuration files.
- Default: .cshrc, .forward, .rhosts, .login, .logout, .profile, .tcshrc, .bach_login, .bash_profile

```
MsgString BSM_USER_ENV_FILES {.cshrc .forward
.rhosts .login .logout .profile .tcshrc .bash_login
.bash_profile}
```

Parameter: BSM_USER_HOMES_LOCATION

- Dependent Rules: BSM_Access_Private_File
- Purpose: The top directory under which user home directories are available from the host machine.
- Default: /homes/

```
Char BSM USER HOMES LOCATION = /homes/
```

Parameter: BSM_EMERALD_NIC_NAMES

- Dependent Rules: BSM_PROMISCUOUS_MODE_ATTEMPT
- Purpose: The list of interfaces available on this machine. Use if config -a to list the interface names.
- Default: hme0

```
MsgString BSM_EMERALD_NIC_NAMES {hme0 }
```

Parameter: BSM_SYSTEM_BIN_LOCATIONS

- Dependent Rules: BSM_MOD_SYSTEM_EXECUTABLE
- Purpose: The list of directories under which system binaries are stored. Alterations of files from these locations are not allowed.
- Default: /bin/, /usr/bin/, /usr/local/bin/, /opt/local/bin/, /usr/sbin

```
/opt/local/bin/
}
```

Parameter: BSM_SYSTEM_LOG_LOCATIONS

- Dependent Rules:
 BSM_MOD_SYSTEM_RESOURCES/BSM_SYSTEM_RESOURCE_FILES
- Purpose: The list of directories under which system logging files are stored. Alterations of the log files under these directories from non-authorized users in these locations are not allowed.
- Default: /var/log/, /var/adm/

```
MsgString BSM SYSTEM LOG LOCATIONS {/var/log/ /var/adm/}
```

Parameter: BSM_SYSTEM_RESOURCE_FILES

- Dependent Rules: BSM_MOD_SYSTEM_RESOURCES/BSM_SYSTEM_RESOURCE_FILES
- Purpose: An explicit list of files within which security-relevant configuration parameters are stored. Alterations of files from non-authorized users in these locations are not allowed.
- Default: Selected configuration files.

Parameter: BSM_LAST_RESERVED_ACCOUNT

- Dependent Rules: BSM_MOD_SYSTEM_RESOURCES
- Purpose: Indicates the last priviledged UID present on the system. Unix systems, often by convention, will assign priviledged or other system accounts low number

UIDs (e.g., between 0 and 100). Such accounts include root, sys, bin, daemon, ftp, uucp, and lp. If the target host employs this convention, then assign to this variable the last system account ID. If not, set this value to the last UID (disable its use).

• Default: UID = 100

Ulong BSM_LAST_RESERVED_ACCOUNT = 100

Parameter: BSM_LOCAL_FTPD_UID

- Dependent Rules: BSM_FTP_Anon_Write, BSM_FTP_Warez_Activity
- Purpose: For environments in which a non-zero UID is employed for the ftpd system process.
- Default: UID = 0

Ulong BSM_LOCAL_FTPD_UID = 65533

Parameter: BSM_MAX_LOGIN_THRESHOLD

- Dependent Rules: BSM_Reach_Max_BadLogin
- Purpose: Indicates the number of bad logins that must occur during the FAILED_LOGIN_WINDOWS before a warning is raised for repeated failed logins.
- Default: 4

Ulong BSM_MAX_LOGIN_THRESHOLD = 4

Parameter: BSM_FAILED_LOGIN_WINDOW

- Dependent Rules: BSM_Reach_Max_BadLogin, BSM_FTP_Passwd_Guesser
- Purpose: Indicates the time window in which the failed logins must occur. That
 is, if N bad logins occur during S seconds (where N =
 BSM_MAX_LOGIN_THRESHOLD and S =
 BSM_FAILED_LOGIN_WINDOW), then a repeated failed login warning is
 raised.
- Default: 180 seconds (3 minutes)

Ulong BSM_FAILED_LOGIN_WINDOW = 180

Parameter: BSM_MAX_FTP_BADPASSWORDS

- Dependent Rules: BSM_FTP_Passwd_Guesser, BSM_FTP_Username_Guesser
- Purpose: Indicates the number of failed FTP login attempts that must occur before an alert is raised. This applies to failed FTP logins resulting from either bad usernames or bad passwords.
- Default: 4 bad usernames or passwords submitted to the ftp authentication service.

```
Ulong BSM_MAX_FTP_BADPASSWORDS = 4
```

Parameter: BSM_MAX_NOSPACE_ERRORS

- Dependent Rules: BSM_File_Exhaustion_Threshold
- Purpose: Indicates the number of repeated failed write attempts that must occur during the time window before a filesystem exhaustion alert is raised.
- Default: 8 file write or create failures due to no space errors per threshold cycle.

```
Ulong BSM_MAX_NOSPACE_ERRORS = 8
```

Parameter: BSM_WRITE_ERR_THRESHOLD_WINDOW

- Dependent Rules: BSM_File_Exhaustion_Threshold
- Purpose: the time window, represented in seconds, during which repeated failed write attempts must occur.
- Default: 60 seconds

```
Ulong BSM_WRITE_ERR_THRESHOLD_WINDOW = 60
```

Parameter: BSM_MAX_CLIENT_PROCS_PER_CYCLE

- Dependent Rules: BSM_Client_INET_Watch
- Purpose: Indicates the number of inetd connections that may occur during the time window. This heuristic is relevant for detecting process table exhaustion denial of service.
- Default: 8 connections

```
Ulong BSM_MAX_CLIENT_PROCS_PER_CYCLE = 8
```

Parameter: BSM_EXTERNAL_CONN_THRESHOLD_WINDOW

- Dependent Rules: BSM_Client_INET_Watch
- Purpose: The time window, represented in seconds, during which repeated inetd connections are measured.
- Default: 60 seconds

Ulong BSM_EXTERNAL_CONN_THRESHOLD_WINDOW = 60

Parameter: BSM_MAX_FAILED_PROCS_PER_CYCLE

- Dependent Rules: BSM_PROC_EXHAUST_THRESOLD
- Purpose: Indicates the number of failed forks observed by eXpert-BSM during the time window. This heuristic is relevant for detecting process table exhaustion denial of service.
- Default: 8 connections over 60-second period.

Ulong BSM_MAX_FAILED_PROCS_PER_CYCLE = 8

Parameter: BSM_MAX_FAILED_PROCS_THRESHOLD_WINDOW

- Dependent Rules: BSM_PROC_EXHAUST_THRESOLD
- Purpose: The time window, represented in seconds, during which repeated failed forks may be observed.
- Default: 60 seconds

Ulong BSM_FAILED_PROCS_THRESHOLD_WINDOW = 60

Parameter: BSM_MAX_ECHOS_RECEIVED

- Dependent Rules: BSM_Self_Echo_Flood
- Purpose: Indicates the number of local pings that must be observed during the time window before the self-ping denial-of-service alert is raised.
- Default: 30 echoes received in this cycle (see BSM_ECHO_FLOOD_WINDOW)

Ulong BSM_MAX_ECHOS_RECEIVED = 30

Parameter: BSM_ECHO_FLOOD_WINDOW

- Dependent Rules: BSM_Self_Echo_Flood
- Purpose: The time window, represented in seconds, during which repeated echo flood must occur.
- Default: 60 seconds

```
Ulong BSM ECHO FLOOD WINDOW = 60
```

Parameter: BSM_UNACCEPTABLE_PORT_CONNECTS

- Dependent Rules: BSM_Alert_On_Port
- Purpose: List of TCP ports to which external clients should not connect.
- Default: ports 53 (dns), 143 (imap), 514 syslog

```
Ulong BSM_UNACCEPTABLE_PORT_CONNECTIONS {53 143 514}
```

Parameter: BSM_NONADMIN_EXPIRE

- Dependent Rules: BSM_Root_By_Nonadmin
- Purpose: Once an alert is raised indicating that a non-administrative user is operating as an administrator, eXpert-BSM suppresses repeated alerts of this condition for a duration of BSM_NONADMIN_EXPIRE seconds.
- Default: 600 seconds, 10 minutes

```
Ulong BSM_NONADMIN_EXPIRE = 600
```

Parameter: BSM_FTP_WAREZ_COMPLAINT

- Dependent Rules: BSM_FTP_Warez_Activity
- Purpose: In some environments an external anonymous user may be permitted to
 upload a file. This capability is subject to several abuses, including the potential
 for turning the target host into a warez site. This variable specifies the number of
 times an anonymously uploaded file can be downloaded by other external ftp clients.
- Default: 5

```
Ulong BSM_FTP_WAREZ_COMPLAINT = 5
```

Parameter: BSM_ANON_FILE_EXPIRE

- Dependent Rules: BSM_FTP_Warez_Activity
- Purpose: Indicates the amount of time *eXpert-BSM* will remember a file written by an anonymous ftp user. During this period, if there is a subsequent flood of anonymous external reads of this file, an alert is raised of potential warez client activity.
- Default: 259200 seconds, or 72 hours

```
Ulong BSM_ANON_FILE_EXPIRE = 259200
```

Parameter: BSM_FTP_UPLOAD_PATHS

- Dependent Rules: BSM_FTP_Anon_Write
- Purpose: Indicates the directory path under which anonymous ftp writes are allowed.
- Default: /pub/ftp/incoming

Parameter: BSM_TCP_WRAPPER_LIST

- Dependent Rules: BSM_Inetd_Subversion
- Purpose: Indicates the full pathname of any and all TCP wrapper binaries employed by Inetd services.
- Default: empty list

```
MsgString BSM_TCP_WRAPPER_LIST
    {
      }
```

Parameter: BSM ENABLED HEURISTICS

• Dependent Rules: All

Purpose: Indicates the list of active heuristics enabled within the knowledge-base. By removing an entry, you effectively disable the rule upon the next initialization of *eXpert-BSM*. Heuristics: BSM_Time_Warp, BSM_Root_Core_Creat,

```
BSM_Reach_Max_BadLogin, BSM_Root_Core_Event,
BSM_FTP_Passwd_Guesser, BSM_FTP_Username_Guesser, BSM_PS_Exploit,
BSM Suspicious Exec Argument, BSM Root Core Access,
BSM_Access_Private_File, BSM_Make_Temp_Sym,
BSM Mod System Resource, BSM FTP Anon Write,
BSM_FTP_Warez_Activity, BSM_Setreuid_By_Nonadmin,
BSM Proc Exhaust Threshold, BSM Client INET Watch,
BSM_File_Exhaust_Threshold, BSM_Attempted Root_Login,
BSM_Suspicious_Setuid, BSM_Port_Sweep, BSM_Suspicious_Port_Probing,
BSM_Bad_Port_Connection, BSM_AfterHours_Access,
BSM_Buffer_Overflow_Exec, BSM_Special_User_Exec,
BSM Exec Non Author, BSM Change User Environ File,
BSM Self Echo Alert, BSM Illegal Shadow Passwd Access,
BSM_Root_By_NonAdmin, BSM_Disallowed_File_Read,
BSM_Disallowed_File_Exec, BSM_Disallowed_File_Write,
BSM_Promiscuous_Mode, BSM_Mod_System_Executable,
BSM Inetd Subversion
```

Default: All rules enabled

```
MsgString BSM_ENABLED_HEURISTICS
  BSM Time Warp
  BSM_Root_Core_Creat
  BSM Reach Max BadLogin
  BSM Root Core Event
  BSM_FTP_Passwd_Guesser
  BSM_FTP_Username_Guesser
  BSM_Suspicious_Exec_Argument
  BSM AfterHours Access
  BSM_Root_Core_Access
  BSM_Access_Private_File
  BSM Mod System Resource
  BSM_FTP_Anon_Write
  BSM_FTP_Warez_Activity
  BSM_Setreuid_By_Nonadmin
  BSM Client INET Watch
  BSM_Proc_Exhaust_Threshold
  BSM_File_Exhaust_Threshold
  BSM_Attempted Root_Login
  BSM Suspicious Setuid
  BSM_Port_Sweep
  BSM_Suspicious_Port_Probing
  BSM_Bad_Port_Connection
  BSM_PS_Exploit
  BSM_Buffer_Overflow_Exec
  BSM_Special_User_Exec
  BSM Exec Non Author
  BSM_Change_User_Environ_File
  BSM_Illegal_Shadow_Passwd_Access
  BSM_Mod_System_Executable
```

```
BSM_Root_By_NonAdmin
BSM_Disallowed_File_Read
BSM_Disallowed_File_Exec
BSM_Disallowed_File_Write
BSM_Promiscuous_Mode
BSM_Self_Echo_Alert
BSM_Inetd_Subversion
}
```

Configuring the Local Network Address List

eXpert-BSM maintains a local IP address list that is used to distinguish internal from external port connections in those heuristics that deal with network connections. The local network IP address list is located in:

```
$Install/resource_object/config/local_netmap.conf.
```

It should enumerate the list of IP addresses that are considered local to your administrative domain. These IP addresses can be enumerated in either of two ways: by subnet mask or by specific IP address.

```
syntax:
    net <network address[/network-bits]>
or
    host <ip_address or fully qualified hostname>
```

The optional network-bits field indicates how many of the most significant bits in the network address are considered to be the network or subnet while the rest of the bits denote the host.

The file can contain any number of net and host entries. The following is an example of specifications of addresses in the local_netmap.conf file:

```
net 172.16.0.0
net 190.80.20.0/24
host 192.168.1.1
host myhost.mydomain.com
```

The above entry will inform *eXpert-BSM* that hosts from the class B network 172.16.*.*, subnet 190.80.20.*, host 192.168.1.1, and host myhost.mydomain.com are local to the administrative domain of the *eXpert-BSM* host machine.

Configuring the Surveillance Policy for Local File Access

eXpert-BSM provides a facility for specifying a surveillance policy over file reads, writes, and executions. Under this policy, you may specify groups of users and files or directories, and then use these groups to specify surveillance policies regarding file accesses.

Please note that this is a *surveillance* policy that is used to warn about access violations; *eXpert-BSM* is a passive monitor that cannot prevent the access violations from taking place.

There are three distinct components to be specified within an *eXpert-BSM* access policy specification. The first, the UserGroups {} section, allows you to specify groups of users, which are then referenced in the access policy. The UserGroups {} section is specified as follows:

```
UserGroups {
                user_list_1 {user1a user1b ...}
                user_list_2 {user2a user2b ...}
                ...
}
```

The names specified under the user groups should be present as valid login names defined within the password file, and user names can appear in multiple lists.

The second section, FileGroups {}, allows you to specify a set of files and directories that may be referenced together as a group while enumerating the access policy. The FileGroups {} section is specified as follows:

```
fileGroups {
     file_list_1{file1a file1a ... directory1a ...}
     file_list_2{file1a file1a ... directory1a ...}
     ...
}
```

Files specified in the file groups should be fully qualified pathnames. You can also specify directories, as shown below in the example access policy specification. Files and directories can appear in multiple lists.

The third section is Policy {}, within which you specify illegal read, write, and execute accesses between users and files. The Policy {} section is specified as follows:

```
Policy {
    user_list_1{
        nread [ file_list_1 file_list_2 ... ]
        nwrite[ file_list_3 file_list_4 ... ]
        nexec [ file_list_5 file_list_6 ... ]
    }
    user_list_2{
        nread [ file_list_1 file_list_2 ... ]
        nwrite[ file_list_3 file_list_4 ... ]
        nexec [ file_list_5 file_list_6 ... ]
```

```
}
```

The policy involves a series of relations defined between user and file groups. For each user group entered in the policy, three possible relations can be specified: nread, nwrite, and nexec. nread indicates that users in the associated list are not allowed to read files matching the file lists specified in the bracket clause. Illegal file writes and executions are specified similarly. It is not necessary for every relation to be specified in the user list, and file lists may be empty, indicating no defined restrictions.

The following is an example EMERALD access policy specification:

```
UserGroups { RegStaff
                        (em_user1 em_user2)
             Management (em_admin )
             Accnt
                        (em acct)
FileGroups { Programs ( /bin /usr/bin
                        /usr/local/bin
                        /usr/local/ftp/bin )
             Admtools ( /etc/bin /etc/sbin
                        /usr/sbin /sbin )
             CompanySecrets ( /secret )
             Payroll ( /accounting/DBMS/payroll.db )
Policy {
             RegStaff (
                   nread[CompanySecrets Payroll]
                   nwrite[CompanySecrets Programs Payroll
                          Admtools]
                   nexec[Admtools] )
             Management (
                   nread[]
                   nwrite[Programs Admtools]
                   nexec[])
             Accnt (
                   nwrite[Programs Admtools]
                   nread[CompanySecrets]
                   nexec[Admtools] )
}
```

In the above example, which illustrates a valid access policy specification, there exists a small group of regular staff defined as em_user1 and em_user2. There is a management staff, with one manager em_admin and an accounting group consisting of user em_acct. Four file groups are defined. The first is the programs group, where programs are defined as being located in /bin, /usr/bin/, /usr/local/bin/,

and /usr/local/ftp/bin. An administrative tools bin consists of files in /etc/bin, /etc/sbin, /usr/sbin, and /sbin. A directory containing company secrets is named /secret. A payroll file group consists of a file called /accounting/DBMS/payroll.db.

The access policy is now ready to be specified. In the example, regular staff are not allowed to read company secrets or payroll data, as specified by the associated nread function. Regular staff may not write to files in the company secrets, programs, payroll, or admin tools. Further, regular staff may not execute admin tools. If *eXpert-BSM* observes user activity that contradicts this policy, an alert is raised. Management staff is not allowed to modify files in the program or admin tools file groups, but have unrestricted read and execute access over the entire system. Members of the accounting staff are not allowed to modify files in the program or admin file groups, read company secret files, or execute admin tools.

Dynamically Adjusting eXpert-BSM's Configuration

Modifications to the configuration parameters specified in eXpert-Config.inc, user-name.map, accesspolicy.conf, and local_netmap.conf, can be dynamically recognized without restarting *eXpert-BSM*. To do this, perform a SIGHUP (see *kill(1)* for more information on sending SIGHUP signals to processes) on the running *eXpert-BSM*, and all parameters in these files will be reloaded from the disk.

Using the Configuration GUI to Set Parameters

eXpert-BSM provides a Java-based configuration management interface for setting the values of runtime parameters. This interface may be invoked directly from the *eXpert-BSM* installation program or it may be invoked at any time using the Run_config script.

11 Operating Instructions

eXpert-BSM can be invoked in three operating modes as follows:

```
$Install/_BSM/Run_eXpert_BSM
```

Real-time: The advantage of running eXpert-BSM with direct kernel record capture is that it significantly reduces the overhead of secondary storage write and read operations, as well as the expense of secondary-storage to maintain a permanent audit file. Instead, eXpert-BSM reads audit records directly from the kernel and alerts about those records representing malicious activity. To begin analysis, move to the eXpert-BSM run directory (\$Install/_BSM) and execute the following command:

```
% Run eXpert BSM
```

Test Mode: *eXpert-BSM* can be directed to process an EMERALD-encoded binary audit file to test and illustrate the effectiveness and reporting structure of this component. The binary file \$Install/samples/emerald-attack-battery.ebin will automatically be accessed when the TEST flag is set:

```
% Run eXpert BSM -TEST
```

Batch-Mode Post-processing of Solaris Audit Files: *eXpert-BSM* can be targeted to an arbitrary BSM audit file. To begin analysis, move to the *eXpert-BSM* run directory (\$Install/_BSM) and execute the following command

```
% Run eXpert BSM <BSM Audit File>
```

Security Daemon Mode (autoboot operation): The Solaris operating system can be configured to automatically start eXpert-BSM as part of its initialization procedures. This capability is done by inserting the script in the /etc/init.d/expert-BSM, and creating a symbolic link /etc/rc2.d/S80eXpert-BSM to that shell script. If

you would like to alter the startup ordering position of eXpert-BSM you can do so by altering the name of the symbolic link. We recommend that if you would like to temporarily disable eXpert-BSM, you do so by modifying the name of the symbolic link to /etc/rc2.d/disabled-S80eXpert-BSM. To reinsert eXpert-BSM into the Solaris Startup procedure, simply restore the name of the symbolic link.

In Security daemon mode, all eXpert-BSM alert logs are stored in directory /var/adm/securityd/. During the startup and shutdown process, syslog entries are provided as facility type daemon and severity level notice, and allow the user to determine the state of eXpert-BSM. The following syslog entries are possible:

Solaris security daemon mode...started – eXpert-BSM has been successfully started.

Solaris security daemon mode...shutdown - eXpert-BSM has successfully shutdown.

securityd error...missing argument – a problem has occurred in with the /etc/init.d/eXpert-BSM script. Please try re-running Install_eXpert_BSM.

securityd path not located – Perhaps the eXpert-BSM installation directory has been moved or is no longer available. Please locate the eXpert-BSM installation directory and rerun Install_eXpert_BSM.

securityd cannot run with auditd - eXpert-BSM determines whether the audit daemon is currently set to start at boot time on your system. This should not be the case if you want to run in real-time; as eXpert-BSM real-time mode does not work in parallel with the Solaris audit daemon. Auditd should have been deleted as part of the installation procedure. Please rerun the installation script.

securityd directories unavailable — Perhaps the eXpert-BSM installation directory has been moved or is no longer available, or a key configuration file is missing. Please locate the eXpert-BSM installation directory and rerun Install_eXpert_BSM. If that doesn't work, reinstall the eXpert-BSM package.

securityd resource object not available — Please locate the eXpert-BSM installation directory and rerun Install_eXpert_BSM. If that doesn't work, reinstall the eXpert-BSM package.

securityd results directory unavailable-directory

/var/adm/securityd/ does not exist and eXpert-BSM could not create the directory.

securityd EFUNNEL_HOST undefined – variable EFUNNEL_HOST in \$INSTALL/_BSM/eXpert-config.sh references a host that is unreachable by eXpert-BSM. Disable alert forwarding, or reassign the target hostname.

securityd access map not found – eXpert-BSM could not find file \$INSTALL/resource-obect/config/accesspolicy.conf. This is not a required file.

securityd alerts are forwarding to <EFUNNEL_HOST> – eXpert-BSM has successfully connected to the efunnel host target and will send intrusion alerts to that machine.

securityd alerts are availble in <results file> - eXpert-BSM will send intrusion alerts to the named results file.

securityd stop path not located – Perhaps the eXpert-BSM installation directory has been moved or is no longer available. Please locate the eXpert-BSM installation directory and rerun Install_eXpert_BSM.

The eXpert-BSM Process Chain

Run_eXpert_BSM is a csh script that invokes the following programs

- ebsmsetpolicy (real-time mode) establishes an optimized audit policy configuration with the kernel. This utility needs to be setuid root and is therefore not distributed as a shell script. It exits immediately after setting the audit configuration.
- ebsmprobe (real-time mode) establishes process-to-process communication between the Solaris kernel and ebsmgen. This is a setuid application. Proper shutdown of *eXpert-BSM* requires this utility to be terminated first, by either a SIGTERM or SIGHUP signal.
- throttle (real-time mode) is an intermediate message utility to handle safe buffering between the kernel and ebsmgen. Always terminate ebsmprobe before terminating this application, otherwise the kernel may enter an unstable state as it fills its internal audit record queues.
- ebsmgen (all modes) accepts Solaris BSM audit records, and converts and forwards them as EMERALD messages to *eXpert-BSM*.
- expert-BSM (all modes) is the EMERALD forward-chaining expert system.

12 Shutdown Instructions

Login under the account that started eXpert-BSM (or root) and invoke

\$Install/_BSM> Shutdown_eXpert_BSM

This script kills the process chain for the eXpert-BSM. In real-time mode, this script kills ebsmprobe, throttle, ebsmgen, and eXpert-BSM in that order.

CAUTION: When running in real-time mode do not attempt to kill the process throttle "by hand" before shutting down ebsmprobe. Doing so will cause system instability.

Note: If several start-stop runs are made, the output will accumulate in the results directory (i.e., the results of each run **do not** overwrite the previous results, but you could tell the run script to clear the results directory before starting a new run). You may delete any old (i.e., *.log, *.resolver, or *.ascii) results at any time, as long as they are not the output of a currently running monitor.

Autoboot Shutdown

When running in autoboot mode, *eXpert-BSM* can be manually terminated by the following command:

\$Install/_BSM> /etc/init.d/eXpert-BSM stop

13 Uninstalling *eXpert-BSM*

The eXpert-BSM monitor can be safely uninstalled as follows:

- 1. If eXpert-BSM is currently running, shut it down before attempting to uninstall this component.
- 2. Remove the eXpert-BSM install directory.
- 3. If you want to restore the original BSM audit configuration of the host, as root move to directory /etc/security and untar file /etc/security/orig_audit_file{install timestamp}.tar.gz.
- 4. If you would like to disable the audit capability of the system, you could follow the procedure in <u>Solaris Audit Installation</u> but use the bsmunconv script instead of bsmconv.
- 5. If you have configured *eXpert-BSM* for autoboot mode, the following files and directories should be removed: /etc/init.d/expert-BSM, /etc/rc2.d/S80eXpert-BSM, /var/adm/securityd/

14 *eXpert-BSM* Report Formats

The EMERALD eX*pert-BSM* monitor produces three forms of intrusion reports: console alert, EMERALD resolver alerts, and IDIP alerts.

Console Alert Format

eXpert-BSM produces attack alerts, which by default are placed in

```
$Install/_BSM/results/bsm-expert-{timestamp}.log
```

The console alert format is structured as follows.

```
0.
1.
    (RepID | ThreadID) <Severity > <rule > Target: <> Count: <>;
2.
        Observer: <>; Observer_location: <>; Observer_src: <>
3.
        Start_time: <> End_time: <>
4.
        Command: <>
                       Parent cmd: <> Outcome = <>
        Attacker: <>
        Attacker_attrs: <attribute list>
7.
        Command arg: <>
        Resource: <> Resource_owner: <>
9.
        Recommendation: <>
10.
        Comment: <>
```

Console alerts contain a maximum of 10 lines. Lines 6-10 are optional.

Line 1: provides a summary of the key attributes of the attack. The RepID is a unique identifier for this alert (its value is derived from the event count of the audit record under which the alert was generated). In addition, a ThreadID is provided which is used to associate the alert with a previous report. The ThreadID is usually equal to the RepID, unless the report is a "follow-on" with additional information from a previously written report. In that case, the ThreadID equals the RepID of the preceding associated alert. The Severity field indicates the type of alert this report represents (Debug, Informative, Warning, Severe_Warning, Attack. These values are defined as follows:

DEBUG_INFO	Optional console message only for event stream debugging and low-priority messages.
INFORMATIVE	Optional low-priority messages on monitor status.
WARNING	Exceptional activity that is symptomatic of possible system distress or security-relevant operations. The accumulation of WARNING level alerts is worthy of administrative review.
SEVERE_WARNING	Activity that maps to known intrusive activity. Other nonmalicious explanations are possible.

Indicates activity maps to known intrusive activity. Nonmaliciously produced occurrences of this activity are rare or non-existent
are rare or non-existent

Next, the rule represents the name of the rule that has fired, which may be potentially useful for tuning rules should the user not desire some alerts. The Target field indicates the hostname of the machine, and the Count field indicates the number of times the malicious activity is observed for this report.

Line 2: indicates the name of the sensor that produced the alert; in this case the observer is eXpert-BSM. In addition, the observer_location represents the IP address of the host on which observer is run, and observer_src indicates whether the sensor is operating in real-time or batch mode. If batch-mode, the BSM filename is provided.

Line 3: provides the Start_time and End_time of the attack. The Start_time is mandatory, and represents the timestamp relative to the event stream, at which the malicious activity is observed. The End_time is optional, and used only for intrusion reports that span a duration.

Line 4: provides the name of the operation that is being performed. With respect to BSM, this represents the system call name or high-level audit event name provided by the BSM audit trail of the key record used to distinguish the attack. The Parent_cmd is a synthetically generated string derived by tracing the process within the audit stream. For example, if the file /bin/rm is invoked such that *eXpert-BSM* reports an illegal unlink(2) operation, the command reported by the alert is unlink, and the Parent_cmd will be /bin/rm. The Outcome reports the audit return value on a given operation. Interpretation of this field is operation dependent.

Line 5: indicates the identity of the attacker. If at all possible, this represents the username of the individual responsible for the attack. For network-related attacks, this represents the remote IP address of the attacking host.

Line 6: (optional) provides an alert-dependent enumeration of supportive information.

Line 7: (optional) where applicable provides additional information regarding the arguments used to invoke an operation. With respect to BSM analysis, the Command_arg field is used to represent the exec_args parameter with respect to process executions.

Line 8: (optional) where applicable, this line provides additional information regarding resources (usually files) that are manipulated during the malicious activity, and the owner of the object.

Line 9: (optional) provides recommended countermeasure directives for responding to intrusive activities. eXpert-BSM employs

- KILL | KILL_ALL <session_id> --- terminate the intrusive session (e.g., kill -9 <session_id>).
- LOCKOUT <username> --- disable the user account until the individual responsible for the malicious activity associated with this account is found.
- FIXPERMS <filename> --- alter the target file access permissions as specified.
- FILTER <IP address> --- if a firewall is available, disallow network connectivity from this indicated IP address.
- CHECKCFG <Host> <Service> --- identifies system service that appears to have been attacked or has died.
- DIAGNOSE <Network Service | Filesystem> --- Validate the correct operation of the named network service, or the availability of the named filesystem.

Line 10: (optional) The primary use of this line is to indicate the relevant user configuration parameters that modify the behavior of the rule that generated this alert.

EMERALD Resolver alerts

The EMERALD resolver alerts are by default written to

but could also be sent to another EMERALD components such as the alert collection application *efunnel* or an analysis engine on a higher level. Resolver alerts can be displayed by the graphical EMERALD Alert Management Interface described in the following section.

Alert Management Interface

EMERALD provides a unique graphical user interface for managing alerts produced by EMERALD sensors. Using this interface, you can view individual alerts, manage incident handling reports, print reports, forward reports via email, and view recommendations on responding to attacks. For more information on the Alert Management Interface, refer to the EMERALD Alert Management Interface User's Guide, Version 1.2 (available in \$Install/doc/Emerald-AMI-1-2-manual.pdf).

15 *eXpert-BSM* Testing

EMERALD provides an extensive test suite of attacks to exercise its host-IDS knowledge base. The attack battery is an EMERALD-encoded Solaris BSM data set that can be invoked directly from the Run expert BSM script:

% Run_eXpert_BSM -TEST

A full test description of the EMERALD host-based attack battery is available in <u>Appendix I</u>. The console alerts produced from the EMERALD host-based attack battery are available for review in <u>Appendix II</u>.

Remember that when testing eXpert-BSM in real-time mode, you must ensure that the session you are mounting test attacks from is not the same session under which you initialized eXpert-BSM (i.e., to initiate a new session, log completely out of the target host).

The use of network-based vulnerability scanners has become a prominent practice in security evaluation procedures. An evaluator pointing a scanner, such as one of the popular commercial or free network-based vulnerability scanners, against a host system with a host-based intrusion detection system such as eXpert-BSM is likely to be disappointed when eXpert-BSM does not react to all elements of the scan.

16 Caveats and Known Bugs

For the latest set of caveats, known bugs, and frequently asked questions, visit our current Release Notes, at

http://www.sdl.sri.com/emerald/releases/eXpert-BSM/Release_Notes.html

For the list of Frequently Asked Questions regarding eXpert-BSM, visit

http://www.sdl.sri.com/emerald/releases/expert-BSM/faq.html

17 Version Status

EMERALD eX*pert-BSM*, Version 1.5, April 2002. See the EMERALD software distribution web page http://www.sdl.sri.com/emerald/releases for further information regarding our follow-on release that will precede the expiration of this release.

18 Credits and Acknowledgements

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EMERALD Development Project

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19 License, Feedback, & Contact Information

This Section describes the license and distribution terms for the release of eXpert-BSM evaluation edition. See the EMERALD software distribution web page http://www.sdl.sri.com/emerald/releases/ for further information regarding follow-on releases. See the end of this Section, Contact and Experience Reporting Information, for pointers on where to send questions, bug reports, and detected attack summaries.

Your responsibilities as an EMERALD eXpert-BSM User

There is no charge to use this application. Support for this evaluation edition is very limited in that the EMERALD team is not able to provide individual support. However, technical support is provided to licensees of the advanced version of eXpert-BSM, called eXpert-BSM Enterprise Edition, which is directly available for licensing from SRI International (contact emerald@sdl.sri.com for pricing information and licensing conditions).

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- 1. Tell us your experiences using this software. Let us know if eXpert-BSM leads to the detection of any security compromises in your site. If so, please tell us which alert name(s) succeeded in providing useful detections. Tell us if, in your environment, any rules are encountered which repeatedly misfire on what you consider to be normal operating functions.
- 2. Tell us of any suggestions you may have in additional attack heuristics that you would like us to incorporate in future versions of eXpert-BSM
- 3. Tell us of any documentation errors, script failures, or system errors that you experience while using eXpert-BSM.

See Contact and Experience Reporting Information for information on how to submit feedback and bug reports.

Contact and Experience Reporting Information

If you experience problems or locate a problem in eXpert-BSM, please inform us using our address emerald-release@sdl.sri.com. We will do our best to incorporate fixes to your problems in the next release of EMERALD eXpert-BSM. We regret that individual end user support is not possible in this evaluation edition release. For other questions regarding the EMERALD program and the availability of other specialized security tools, you may contact the EMERALD Program Director, Phil Porras, at porras@sdl.sri.com.

For users requiring technical support for eXpert-BSM evaluation edition, direct all questions regarding special arrangement support agreements and licensing conditions to emerald-support@sdl.sri.com.

Please direct all experience reporting and feedback discussed in the Reporting and Feedback Agreement to emerald-feedback@sdl.sri.com.

Appendix I: Attack Battery Test Data Description

This document describes the 33 attack tests used for the EMERALD eXpert-BSM self-test attack battery.

Test 1: Buffer overflow in ps (BSM_PS_EXPLOIT)

Run the appropriate exploit program (or use LL data, uid 2053).

Start_time: 1998-07-29 19:27:29.562456 EDT
Command: execve(2) Parent_cmd: /usr/bin/ps Outcome: 0
Attacker_attrs: auid= 2053 ruid= 2053 euid= 0 pid= 5593 sid=
5584

Command_arg: ps

Resource: /usr/bin/ps Resource_owner: root

Test 2: Selfping (BSM_SELF_ECHO_ALERT)

Start_time: 1999-04-05 20:17:10.001999 EDT End_time: 1999-04-05 20:18:09.992008 EDT

Command: echo Parent_cmd: inetd Outcome: 0

Attacker: 130.107.15.118

Attacker_attrs: auid= 2037 ruid= 0 euid= 0 pid= 24892 sid=

24802

Recommendation: KILL 24802

Comment: relevant params: BSM_MAX_ECHOS_RECEIVED,

BSM_ECHO_FLOOD_WINDOW

Test 3: General buffer overflow (except ps) (BSM_BUFFER_OVERFLOW_EXEC)

Run the eject exploit program, renamed to something non-suspicious.

Time: 1999-12-30 19:08:13.371242 EST

UserName : admin_u EffectiveName: root AuditName: admin_u

RUID: 2037 EUID: 0 AUID: 2037 PID: 25345

Test 4: Known attack name (BSM_SUSPICIOUS_EXEC_ARGUMENT)

Run a phony program (such as an empty script) where the program name contains any of the forbidden words in BSM_SUSPICIOUS_EXEC_LIST.

Time: 1999-12-30 19:08:51.011335 EST
UserName: em_user1 EffectiveName: em_user1 AuditName: em_user1
RUID: 50001 EUID: 50001 AUID: 50001 PID: 25346
Path List: [/usr/bin/anyexploitany]

Time: 1999-12-30 19:08:51.011335 EST
UserName: em_user1 EffectiveName: em_user1 AuditName: em_user1
RUID: 50001 EUID: 50001 AUID: 50001 PID: 25346
Path List: [/usr/emerald/em_user1/anyexploitany]

Test 5: Special User Executes Program (BSM_SPECIAL_USER_EXEC)

As em_admin, su to root, then su to one of BSM_EXEC_LESS_ACCOUNTS, for example 'bin' and run 'ls'.

Time: 1999-12-30 19:09:27.631431 EST
UserName: bin EffectiveName: bin AuditName: admin_u
RUID: 2 EUID: 2 AUID: 2037 PID: 25350
Command: execve(2) Ret_Val: 0 Error_Number: 0
Parent Command: su

Time: 1999-12-30 19:09:33.451448 EST
UserName: bin EffectiveName: bin AuditName: admin_u
RUID: 2 EUID: 2 AUID: 2037 PID: 25352
Command: execve(2) Ret_Val: 0 Error_Number: 0
Parent Command: ls

Test 6: SUID program execs non-authored program (BSM_EXEC_NON_AUTHOR)

As user em_user1, run a program that is setuid to em_user2 and which exec:s a program owned by em_user1.

Time: 1999-12-30 19:10:05.101532 EST
UserName: em_user1 EffectiveName: em_user2 AuditName:
em_user1
RUID: 50001 EUID: 50002 AUID: 50001 PID: 25354
Command: execve(2) Ret_Val: 0 Error_Number: 0

Test 7: Root Core File Created (BSM_ROOT_CORE_CREATE)

As root, run 'touch core' in a directory where there was no core file already.

Time: 1999-12-30 19:10:40.051626 EST
UserName: root EffectiveName: root AuditName: admin_u RUID: 0 EUID: 0 AUID: 2037 PID: 25362
Command: creat(2) Ret_Val: 3 Error_Number: 0
Parent Command: touch
Path List: [/export/home/core]
object_owner: (root | 0)

Test 8: Root Core File Access (BSM_ROOT_CORE_ACCESS)

Test 9: Change User Environment File (BSM_CHANGE_USER_ENVIRON_FILE)

As em_user1, use vi to create a new file .cshrc in a dir named em_user2.

Time: 1999-12-30 19:12:56.712041 EST
UserName: em_user1 EffectiveName: em_user1 AuditName:

em_user1
RUID: 50001 EUID: 50001 AUID: 50001 PID: 25389
Command: creat(2) Ret_Val: 5 Error_Number: 0

Parent Command: vi

Path List: [/usr/emerald/em_user2/.cshrc]

Also as em_user1, run 'touch .rhosts' in a dir named em_user2 in which there was no .rhosts file already.

Time: 1999-12-30 19:13:14.562088 EST
UserName: em_user1 EffectiveName: em_user1 AuditName: em_user1

PULD: 50001 FULD: 50001 AULD: 50001 PLD: 25391

RUID: 50001 EUID: 50001 AUID: 50001 PID: 25391 Command: creat(2) Ret_Val: 3 Error_Number: 0

Parent Command: touch

Path List: [/usr/emerald/em_user2/.rhosts]

object_owner: (em_user1|50001)

Time: 1999-12-30 19:13:14.562088 EST

em_user1

RUID: 50001 EUID: 50001 AUID: 50001 PID: 25391 Command: old utime(2) Ret_Val: 0 Error_Number: 0

Parent Command: touch

Path List: [/usr/emerald/em_user2/.rhosts]

object_owner: (em_user1|50001)

Test 10: Private File Access (BSM_ACCESS_PRIVATE_FILE)

As em_user2, run 'touch file1' where file1 is a file owned by em_user1

and whose full path begins with the prefix defined as location of home

directories in BSM_USER_HOMES_LOCATION.

Time: 1999-12-30 19:13:51.042193 EST

em user2

RUID: 50002 EUID: 50002 AUID: 50002 PID: 25395 Command: old utime(2) Ret Val: -1 Error Number: 13

Parent Command: touch

Path List: [/export/home/file1]
object_owner: (em_user1|50001)

Test 11: Non-admin Enabled Setuid File (BSM SUSPICIOUS SETUID ENABLER)

As em_user1, set the SUID bit on a file that you own, e g "chmod u+s gurka".

Time: 1999-12-30 19:15:02.952379 EST

em user1

RUID: 50001 EUID: 50001 AUID: 50001 PID: 25402

```
Command: chmod(2) Ret_Val: 0 Error_Number: 0
 Parent Command: chmod
 Path List: [ /usr/emerald/em user1/gurka ]
 object_owner: (em_user1|50001)
Test 12: Non-owner Enabled Setuid File
(BSM_SUSPICIOUS_SETUID_ATTACKER)
As em_user1, set the SUID bit on a file owned by em_user2. This is
little tricky, you need a program which is setuid to em_user2 that
performs the chmod operation.
Time: 1999-12-30 19:15:16.402415 EST
em user1
RUID: 50001 EUID: 50002 AUID: 50001
                                        PID: 25406
Command: chmod(2)
                  Ret_Val: 0 Error_Number: 0
Parent Command: chmod
Path List: [ /usr/emerald/em_user1/file_owned_by_2 ]
object_owner: (em_user2|50002)
Test 13: Root core dump event (BSM_ROOT_CORE_EVENT)
As root, run for example 'sleep 20' and hit cntrl-\ (hold control
and
press backslash) while the program is running to force a core
dump.
Time: 1999-12-30 19:16:08.512544 EST
UserName: root EffectiveName: root AuditName: admin_u
                   AUID: 2037 PID: 25411
        EUID: 0
RUID: 0
Command: process dumped core Ret_Val: 0 Error_Number: 0
Path List: [ /export/home/core ]
 object_owner: (root 0)
Test 14: Suspicious symlink creation (BSM_MAKE_TMP_SYM)
As em_user1, create a symbolic link in /tmp.
Time: 1999-12-30 19:17:15.672732 EST
UserName : em user1 EffectiveName: em user1 AuditName:
em user1
RUID: 50001
            EUID: 50001 AUID: 50001 PID: 25420
Command: symlink(2) Ret_Val: 0 Error_Number: 0
```

Path List: [/tmp/grepa]

Parent Command: ln

```
object_owner: (em_user1|50001)
```

Test 15: Illegal (Shadow) Password Access Violation (BSM_ILLEGAL_SHADOW_PASSWD_ACCESS)

As em_user1, run 'rm /etc/shadow' (make sure you are NOT root!).

Time: 1999-12-30 19:17:46.182810 EST
UserName: em_user1 EffectiveName: em_user1 AuditName:
em_user1
RUID: 50001 EUID: 50001 AUID: 50001 PID: 25422
Command: unlink(2) Ret_Val: -1 Error_Number: 13
Parent Command: rm
Path List: [/etc/shadow]
object_owner: (root | 0)

Test 16: Promiscious Mode succeeded by non-admin user (BSM_PROMISCUOUS_MODE)

As em_user1, run a setuid root program which sets the network interface in promiscuous mode (e g tcpdump).

Time: 1999-12-30 19:18:07.622872 EST
UserName: em_user1 EffectiveName: root AuditName: em_user1
RUID: 50001 EUID: 0 AUID: 50001 PID: 25424
Command: open(2) - read,write Ret_Val: 3 Error_Number: 0
Parent Command: ./tcpdump
Path List: [/devices/pseudo/clone@0:hme]
object_owner: (root | 0)

Test 17: Alteration to system executable BSM_MOD_SYSTEM_EXECUTABLE)

As root, make a modification to something in /usr/bin, e g 'chmod g-x /usr/bin/who' and change it back again.

Time: 1999-12-30 19:18:37.552959 EST

UserName : root EffectiveName: root AuditName: admin_u
RUID: 0 EUID: 0 AUID: 2037 PID: 25426

Command: chmod(2) Ret_Val: 0 Error_Number: 0
Parent Command: chmod
Path List: [/usr/bin/who]
object_owner: (bin|2)

Time: 1999-12-30 19:18:41.722972 EST

UserName : root EffectiveName: root AuditName: admin_u
RUID: 0 EUID: 0 AUID: 2037 PID: 25427

```
Command: chmod(2) Ret_Val: 0 Error_Number: 0
 Parent Command: chmod
 Path List: [ /usr/bin/who ]
 object_owner: (bin|2)
Test 18: Unpriv'd user changed system resource
(BSM_MOD_SYSTEM_RESOURCE)
As em user1, make a change to a directory in
BSM_SYSTEM_LOG_LOCATIONS,
e g 'touch /var/log/.nasty'.
 Time: 1999-12-30 19:19:15.333061 EST
 UserName : em user1 EffectiveName: em user1 AuditName:
em user1
 RUID: 50001 EUID: 50001 AUID: 50001
                                          PID: 25429
 Command: creat(2) Ret Val: -1 Error Number: 13
 Parent Command: touch
 Path List: [ /var/log/.nasty ]
[Disabled loadmodule rules, now triggers BSM_SUSPICIOUS_SETUID_ENABLER
twice]
Test 19: Root acquired by non-admin user (BSM_ROOT_BY_NONADMIN)
As em user1, su to root.
 Time: 1999-12-30 19:21:36.283444 EST
 UserName: root EffectiveName: root AuditName: em_user1
 RUID: 0 EUID: 0 AUID: 50001 PID: 25446
                    Ret Val: 0 Error Number: 0
 Command: execve(2)
 Parent Command: tcsh
 Exec Args: [ tcsh ]
 Path List: [ /usr/bin/tcsh /usr/lib/ld.so.1 ]
 object_owner: (root | 0)
Test 20: Admin SU performed by non-admin user
(BSM_SETREUID_BY_NONADMIN)
As em_user1, su to em_admin.
 [also triggered by the su to root test, if root is listed as an
admin]
       1999-12-30 19:21:36.283444 EST
 Time:
 UserName: root EffectiveName: root AuditName: em user1
 RUID: 0 EUID: 0 AUID: 50001 PID: 25446
 Command: old setuid(2) Ret_Val: 0 Error_Number: 0
```

Parent Command: su

```
Time: 1999-12-30 19:21:57.423508 EST
 UserName : em admin EffectiveName: em admin AuditName:
em user1
 RUID: 50000 EUID: 50000 AUID: 50001 PID: 25448
 Command: old setuid(2) Ret Val: 0 Error Number: 0
 Parent Command: su
Test 21: Maximum Bad Logins Reached (BSM MAX BAD LOGINS)
Make repeated failed logins (mix invalid username/passwd).
 ([ invalid user name ]): login - telnet
 from (user invalid username; UID 0) on host ?
 PID= 25456, time= 1999-12-30 19:25:40.634080 EST, sequence num-
ber = -1
 Etype = 6154, machineID = 130.107.15.118, error = 3
 ([ invalid password ]): login - telnet
 from (user em_user2; UID 50002) on host ?
 PID= 25456, time= 1999-12-30 19:25:30.734056 EST, sequence num-
ber = -1
 Etype= 6154, machineID= 130.107.15.118, error= 4
 ([ invalid password ]): login - telnet
 from (user em user1; UID 50001) on host ?
 PID= 25456, time= 1999-12-30 19:25:11.564003 EST, sequence num-
ber = -1
 Etype= 6154, machineID= 130.107.15.118, error= 4
 ([ invalid password ]): login - telnet
 from (user em_user1; UID 50001) on host ?
 PID= 25456, time= 1999-12-30 19:25:04.483990 EST, sequence num-
ber = -1
```

Test 22: Process exhaustion (BSM_PROC_EXHAUST_THRESHOLD)

Etype= 6154, machineID= 130.107.15.118, error= 4

Make fork() fail BSM_MAX_FAILED_PROCS_PER_CYCLE, times during BSM_FAILED_PROCS_THRESHOLD_WINDOW. This little C prog does the trick:

```
#include<signal.h>
#include <stdio.h>
#include <errno.h>
main()
{
   while( (fork()) >= 0 )
   ;
```

```
perror("while1fork");
  sigsend(P_PGID, P_MYID, SIGKILL);
Be aware that this brings the machine to its knees for several
minutes,
and can have some bizarre effects. Use with great caution!
 Start_time: 2000-01-05 20:45:34.375296 EST
 Command: fork(2)
                     Parent_cmd: not_present
                                                    Outcome: 11
     Attacker: em user1
     Attacker_attrs: auid= 50001 ruid= 50001 euid= 50001 pid=
16307
                        sid= 15242
Test 23: File system exhaustion (BSM_FILE_EXHAUST_THRESHOLD)
Make a file system run out of inodes (preferably a floppy disk),
and
then try to create a file there BSM_MAX_NOSPACE_ERRORS times
within
BSM_WRITE_ERR_THRESHOLD_WINDOW.
This little C prog consumes all inodes:
#include <stdio.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
main(int argc, char *argv[])
  int i, fd;
  char filename[FILENAME_MAX+1];
  if (argc != 2)
     fprintf(stderr, "Usage: %s path\n", argv[0]);
     exit();
  fprintf(stdout, "WARNING: This will consume all inodes on the
                     filesystem\n"
     "where %s is resided, by creating a very large number of empty \n"
      "files in %s. Hit Cntrl-C NOW if you do not want this to happen.\n"
     "Otherwise, hit the return key to proceed.\n", argv[1], argv[1]);
  fprintf(stdout, "Hold on while filling %s...\n", argv[1]);
  for( i= 0; 1; i++)
      filename[0] = ' \0';
      sprintf(filename, "%s/file%d", argv[1], i);
      fprintf(stderr, "Filename: %s\n", filename);
      if ( (fd = creat(filename, 0)) < 0 )</pre>
        {
           perror("creat()");
```

```
exit();
       close(fd);
  }
}
 Start time: 2000-01-11 12:04:04.631142 EST
     Command: creat(2) Parent_cmd: /usr/bin/tcsh Outcome: 28
 Start_time: 2000-01-11 12:04:09.621150 EST
     Command: creat(2) Parent_cmd: /usr/bin/tcsh Outcome: 28
Test 24: Attempted root login on non-console terminal
(BSM_ATTEMPTED_ROOT_LOGIN)
Try to telnet or rlogin as root.
  Start_time: 2000-01-11 12:51:56.836267 EST
 Command: login - telnet Parent_cmd: <unknown-12782> Outcome:
255
 Start time: 2000-01-11 12:52:10.226282 EST
     Command: login - rlogin Parent_cmd: <unknown-12785> Out-
come: 255
Test 25: Port scanning (BSM_SUSPICIOUS_PORT_PROBE)
Run for example nmap against the host. Please note the following:
 - Accept records are only produced on 5.6 and later
 - Only TCP connect scans can produce accept records
 - There must be a service responding on the port for an
   accept record to be produced
severity ports hit (port weight) sum threshold
Warning 512(4), 21(3), 540(1), 13(1) 9 9
Severe warning 513(4), 21(3), 23(3), 25(3) 13 13
Attack 512(4), 21(3), 540(1), 13(1),
  513(4), 23(3), 7(1), 9(1) 18 18
    Start_time: 2000-01-14 11:12:34.378988 EST
    End_time: 2000-01-14 11:12:34.468992 EST
    Command: connect
                     Parent_cmd: not_present
                                                Outcome: 0
    Attacker: 130.107.15.118
    Attacker_attrs: target_ports = [ 13 540 512 21 ]
    Start_time: 2000-01-14 11:16:33.073903 EST
    End_time: 2000-01-14 11:16:33.993933 EST
    Command: connect Parent_cmd: not_present Outcome: 0
```

```
Attacker: 130.107.15.118
Attacker_attrs: target_ports = [ 25 513 23 21 ]

Start_time: 2000-01-14 11:21:49.210476 EST
End_time: 2000-01-14 11:21:49.400490 EST
Command: connect    Parent_cmd: not_present    Outcome: 0
Attacker: 130.107.15.118
Attacker_attrs: target_ports = [ 13 9 7 540 512 513 23 21 ]
```

Test 26: External connection to forbidden port (BSM BAD PORT CONN)

Telnet from a machine not listed in local_netmap.confn to one of the ports in BSM_UNACCEPTABLE_PORT_CONNECTIONS, e g 514 (provided there is a service responding on the victim port).

```
Start_time: 2000-01-21 11:36:49.118565 EST
Command: accept(2)    Parent_cmd: <unknown-137>    Outcome: 0
Attacker: 130.107.15.118
Attacker_attrs: src_port = 1903    dst_port = 514
```

Test 27: FTP username guessing (BSM_FTP_UNAME_GUESSER)

Conect using FTP, and give invalid usernames

BSM MAX FTP BADPASSWORDS

within BSM_FAILED_LOGIN_WINDOW.

ftp access,,Fri Jan 21 09:41:57 2000, + 82522111 msec,
subject,-1,-1,-1,-1,-1,21110,21110,0 20 pooh.emerald.sri.com,
text,unknown user APA,return,failure,2

ftp access,,Fri Jan 21 09:42:03 2000, + 342394836 msec,
subject,-1,-1,-1,-1,21111,21111,0 20 pooh.emerald.sri.com,
text,unknown user bepa,return,failure,2

ftp access,,Fri Jan 21 09:42:16 2000, + 292135865 msec,
subject,-1,-1,-1,-1,21112,21112,0 20 pooh.emerald.sri.com,
text,unknown user cepa,return,failure,2

ftp access,,Fri Jan 21 09:42:20 2000, + 752048324 msec, subject,-1,-1,-1,-1,21113,21113,0 20 pooh.emerald.sri.com, text,unknown user depa,return,failure,2

ftp access,,Fri Jan 21 09:42:30 2000, + 71863177 msec, subject,-1,-1,-1,-1,-1,21114,21114,0 20 pooh.emerald.sri.com, text,unknown user fepa,return,failure,2

ftp access,,Fri Jan 21 09:42:36 2000, + 31742396 msec, subject,-1,-1,-1,-1,21115,21115,0 20 pooh.emerald.sri.com, text,unknown user gepa,return,failure,2

ftp access,,Fri Jan 21 09:42:44 2000, + 21586038 msec, subject,-1,-1,-1,-1,21116,21116,0 20 pooh.emerald.sri.com, text,unknown user hepa,return,failure,2

Test 28: FTP password guessing (BSM_FTP_PASSWD_GUESSER)

Conect using FTP, and give valid usernames but invalid passwords BSM_MAX_FTP_BADPASSWORDS within BSM_FAILED_LOGIN_WINDOW.

ftp access,,Fri Jan 21 09:47:23 2000, + 46354724 msec, subject,50001,50001,512,50001,512,21127,21127,0 20 pooh.emerald.sri.com,text,bad password,return,failure,1

ftp access,,Fri Jan 21 09:47:36 2000, + 236091094 msec, subject,50002,50002,512,50002,512,21128,21128,0 20 pooh.emerald.sri.com,text,bad password,return,failure,1

ftp access,,Fri Jan 21 09:47:56 2000, + 715689103 msec,
subject,50000,50000,512,50000,512,21130,21130,0 20
pooh.emerald.sri.com,text,bad password,return,failure,1

ftp access,,Fri Jan 21 09:48:06 2000, + 925481601 msec,
subject,50001,50001,512,50001,512,21131,21131,0 20
pooh.emerald.sri.com,text,bad password,return,failure,1

ftp access, Fri Jan 21 09:48:16 2000, + 945280661 msec, subject, 50001, 50001, 512, 50001, 512, 21132, 21132, 0 20 pooh.emerald.sri.com, text, bad password, return, failure, 1

Test 28: FTP anonymous write (BSM FTP ANON WRITE)

FTP in as user 'ftp' or 'anonymous' and upload a file to a directory which is not in BSM_FTP_UPLOAD_PATHS.

open(2) - write,creat,trunc,,Fri Jan 21 09:52:09 2000, + 850943250 msec,path,/usr/local/ftp/pub/upload/passwd, attribute,100666,65533,65533,8388614,80160,0, subject,-2,65533,65533,root,root,21147,0,0 0 0.0.0.0, return,success,4

chown(2),,Fri Jan 21 09:52:09 2000, + 870945353 msec, argument,2,0xfffd,new file uid,argument,3,0xfffffffff,

```
new file gid,path,/usr/local/ftp/pub/upload/passwd, attribute,100666,65533,65533,8388614,80160,0, subject,-2,65533,65533,root,root,21147,0,0 0 0.0.0.0, return,success,0

open(2) - write,creat,trunc,,Fri Jan 21 09:54:08 2000, + 168689095 msec,path,/usr/local/ftp/pub/warez/win2000, attribute,100666,65533,65533,8388614,137088,0, subject,-2,65533,65533,root,root,21154,0,0 0 0.0.0.0, return,success,4

chown(2),,Fri Jan 21 09:54:08 2000, + 188688803 msec, argument,2,0xffffd,new file uid,argument,3,0xffffffff, new file gid,path,/usr/local/ftp/pub/warez/win2000, attribute,100666,65533,65533,8388614,137088,0, subject,-2,65533,65533,root,root,21154,0,0 0 0.0.0.0, return,success,0
```

Test 29: FTP 'warez' activity (BSM_FTP_WAREZ_ACTIVITY)

Upload a file anonymously and then download it in BSM_FTP_WAREZ_COMPLAINT anonymous sessions.

```
open(2) - read,,Fri Jan 21 09:54:25 2000, + 938331667 msec, path,/usr/local/ftp/pub/warez/win2000, attribute,100666,65533,65533,8388614,137088,0, subject,-2,65533,65533,root,root,21156,0,0 0 0.0.0.0, return,success,4
```

Repeated on the following times:

```
Fri Jan 21 09:55:03 2000, + 937574993 msec
Fri Jan 21 09:55:23 2000, + 417191074 msec
Fri Jan 21 09:55:42 2000, + 416812353 msec
Fri Jan 21 09:55:57 2000, + 506512892 msec
Fri Jan 21 09:56:13 2000, + 416197895 msec
Fri Jan 21 09:56:27 2000, + 25943165 msec
Fri Jan 21 09:56:42 2000, + 95650128 msec
```

Test 30: Inetd exhaustion (BSM_CLIENT_INET_WATCH)

telnet victim >& /dev/null & telnet victim >& /dev/null &

etc for at least BSM_MAX_CLIENT_PROCS_PER_CYCLE connects in total during BSM_EXTERNAL_CONN_THRESHOLD_WINDOW.

NOTE: sisko (5.6) did not produce inetd records, but owl (5.5.1) did.

```
inetd,,Mon Feb 07 19:29:20 2000, + 916180946 msec,
subject,root,root,root,root,0,0,0 0
sevenof9.emerald.sri.com,
text,telnet,ip address,sevenof9.emerald.sri.com,ip port,0x8043,
return,success,0
Repeated on the following times:
```

```
Mon Feb 07 19:29:20 2000, + 966180837

Mon Feb 07 19:29:21 2000, + 46180242

Mon Feb 07 19:29:21 2000, + 126183000

Mon Feb 07 19:29:21 2000, + 196182216

Mon Feb 07 19:29:21 2000, + 266183540

Mon Feb 07 19:29:21 2000, + 326185824

Mon Feb 07 19:29:21 2000, + 396185327
```

Test 31: Access policy for direct access

```
as run result policy

em_user1 /usr/sbin/iffconfig failure disallowed

em_user1 /usr/sbin/ifconfig success disallowed

em_user1 cat /secret/file failure disallowed

em_user1 cat /accounting/DBMS/payroll.db success disallowed

em_accnt cat /accounting/DBMS/payroll.db success allowed

em_user1 rm /accounting/DBMS/payroll.db failure disallowed

(a chmod in between)

em_user1 rm /accounting/DBMS/payroll.db success disallowed
```

Test 32: Access policy with respect to ftp

```
result policy
FTP in as
                run
em_user1 get /secret/file file
                                                failure disallowed
em_user1 get /accounting/DBMS/payroll.db payroll.db success dis-
allowed
em_admin get /secret/file file
                                                      failure al-
lowed
em_admin get /accounting/DBMS/payroll.db payroll.db success al-
lowed
ftp
     put ls /bin/ls
                                    failure disallowed
                 (translates to /usr/local/ftp/usr/bin/ls)
```

Test 33: Time warp (BSM TIMEWARP)

To the end of the stream of audit records, add a single record which has a timestamp that is at least BSM_MAX_BACKWARD_TIME earlier

than

the previously last record, for example

cat singlerec.bsm >> big_test.bsm

where singlerec.bsm contains a single accept record with timestamp Fri Jan 21 08:11:13 2000, + 118566453 msec

Appendix II: Attack Battery Console Alerts

```
PBEST runtime library built Wed Oct 6 09:56:34 PDT 1999
ser Map [/usr/emerald/test/final/Emerald_eXpert_BSM_v1.4/resource-object/config-
FEST/username_map.conf] Loaded Successfully
EMERALD expert P-BEST Signature Engine
An unpublished work of SRI International
System Design Laboratory, SRI International
All Rights Reserved. EMERALD (tm) Trademark SRI International.
pirect all comments or questions to: emerald-release@sdl.sri.com
Monitor Started: Sat Sep 29 17:28:21 2001
Dperating from:
  Hostname: kess
   IP Address: 130.107.12.70
   Report Log: <STDOUT>
  _____
Loading Internal IP List (/usr/emerald/test/final/Emerald_eXpert_BSM_v1.4/resource-
bbject/config//local_netmap.conf)...load complete.
Access Policy Configuration File [/usr/emerald/test/final/Emerald_eXpert_BSM_v1.4/resource-
bject/config//accesspolicy.conf] Loaded Successfully
ATTACK (1|1|2) BSM_BUFFER_OVERFLOW_EXEC Target: 197.218.177.69 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1998-07-29 16:27:29.562456 PDT
   Command: execve(2) Parent_cmd: /usr/bin/ps Outcome: 0
   Attacker: user_v
   Attacker_attrs: auid = 2053 ruid = 2053 euid = 0 pid = 5593 sid = 5584
   Command_arg: ps
   Resource: /usr/bin/ps Resource owner: root
   Recommendation: lockout -uname user_v -da kess; killall -uname user_v -pid 5593 -da kess
   Comment: root compromise
SEVERE WARNING (2|2|6309) BSM_SELF_ECHO_ALERT Target: 130.107.12.70 Count: 6306
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-04-05 17:17:10.001999 PDT End_time: 1999-04-05 17:18:09.992008 PDT
                 Parent_cmd: inetd Outcome: 0
   Command: echo
   Attacker: 172.16.114.50
   Recommendation: checkcfg -da kess -name BSM_MAX_ECHOS_RECEIVED; checkcfg -da kess
   -name BSM_ECHO_FLOOD_WINDOW
   Comment: relevant params: BSM_MAX_ECHOS_RECEIVED, BSM_ECHO_FLOOD_WINDOW
ATTACK (3|3|6562) BSM_BUFFER_OVERFLOW_EXEC Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
```

```
Start_time: 1999-12-30 16:08:13.371242 PST
   Command: execve(2) Parent_cmd: /usr/bin/eject Outcome: 0
   Attacker: admin_u
   Attacker_attrs: auid = 2037 ruid = 2037 euid = 0 pid = 25345 sid = 24792
   Command_arg: eject
   Resource: /usr/bin/eject Resource_owner: root
   Recommendation: lockout -uname admin_u -da kess; killall -uname admin_u -pid 25345
   -da kess
   Comment: root compromise
WARNING (4|4|6575) BSM_SUSPICIOUS_EXEC_ARGUMENT Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:08:51.011335 PST
   Command: execve(2) Parent_cmd: /usr/bin/anyexploitany Outcome: 2
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25346 sid = 25336
   Resource: /usr/bin/anyexploitany Resource_owner: not_present
   Recommendation: fixperms -fn /usr/bin/anyexploitany -da kess -newattr 000; checkcfg
   -da kess -name BSM_SUSPICIOUS_EXEC_LIST
   Comment: relevant params: BSM_SUSPICIOUS_EXEC_LIST
WARNING (5|5|6576) BSM_SUSPICIOUS_EXEC_ARGUMENT Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:08:51.011335 PST
   Command: execve(2) Parent_cmd: /usr/emerald/em_user1/anyexploitany Outcome: 2
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25346 sid = 25336
   Resource: /usr/emerald/em_user1/anyexploitany Resource_owner: not_present
   Recommendation: fixperms -fn /usr/emerald/em_user1/anyexploitany -da kess
   -newattr 000; checkcfg -da kess -name BSM_SUSPICIOUS_EXEC_LIST
   Comment: relevant params: BSM_SUSPICIOUS_EXEC_LIST
ATTACK (6|6|6644) BSM_SPECIAL_USER_EXEC Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:09:27.631431 PST
   Command: execve(2) Parent_cmd: /usr/bin/sh Outcome: 0
   Attacker: bin
   Attacker_attrs: auid = 2037 ruid = 2 euid = 2 pid = 25350 sid = 25039
   Command_arg: su
   Resource: /usr/bin/sh Resource_owner: bin
   Recommendation: killall -uname admin_u -pid 25350 -da kess; checkcfg -da kess
   -name BSM_EXEC_LESS_ACCOUNTS
   Comment: relevant params: BSM_EXEC_LESS_ACCOUNTS
TTACK (7|7|6652) BSM_SPECIAL_USER_EXEC Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:09:33.451448 PST
   Command: execve(2) Parent_cmd: /usr/bin/ls Outcome: 0
   Attacker: bin
   Attacker_attrs: auid = 2037 ruid = 2 euid = 2 pid = 25352 sid = 25039
   Command_arg: ls
   Resource: /usr/bin/ls Resource_owner: bin
   Recommendation: killall -uname admin_u -pid 25352 -da kess; checkcfg -da kess
   -name BSM_EXEC_LESS_ACCOUNTS
   Comment: relevant params: BSM_EXEC_LESS_ACCOUNTS
TTACK (8 | 8 | 6676) BSM_EXEC_NON_AUTHOR Target: 130.107.15.118 Count: 1
```

```
Observer: eXpert-BSM
                         Observer_Location: kess
                                                   Observer_src: big_test.bsm
   Start time: 1999-12-30 16:10:05.101532 PST
   Command: execve(2)
                      Parent_cmd: /usr/emerald/em_user1/sample Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50002 pid = 25354 sid = 25336
   Command_arg: sample
   Resource: /usr/emerald/em_user1/sample
                                         Resource_owner: em_user1
   Recommendation: killall -uname em_user1 -pid 25354 -da kess; fixperms -fn
   /usr/emerald/em_user1/sample -da kess -newattr 000; notify -uid 50001 -da kess;
   checkcfg -da kess -name BSM_LAST_RESERVED_ACCOUNT
   Comment: relevant params: BSM_LAST_RESERVED_ACCOUNT
WARNING (9|9|6743) BSM_ROOT_CORE_CREATE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:10:40.051626 PST
   Command: creat(2)
                      Parent_cmd: /usr/bin/touch Outcome: 0
   Attacker: admin_u
   Attacker_attrs: auid = 2037 ruid = 0 euid = 0 pid = 25362 sid = 25039
   Resource: /export/home/core
                               Resource_owner: root
   Recommendation: fixperms -fn /export/home/core -da kess -newattr 000
SEVERE WARNING (10|10|6834) BSM_ROOT_CORE_ACCESS Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:11:09.361710 PST
   Command: open(2) - read Parent_cmd: /usr/bin/file Outcome: 13
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25368 sid = 25336
   Resource: /export/home/core Resource_owner: root
   Recommendation: kill -pid 25368 -sid 25336 -da kess; fixperms -fn /export/home/core
   -da kess -newattr 000
ATTACK (11|11|7231) BSM_CHANGE_USER_ENVIRON_FILE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:13:26.812124 PST
   Command: unlink(2)
                      Parent_cmd: /usr/bin/rm Outcome: 0
   Attacker: em_user2
   Attacker_attrs: auid = 50002 ruid = 50002 euid = 50002 pid = 25393 sid = 25372
   Resource: /usr/emerald/em_user2/.rhosts Resource_owner: em_user1
   Recommendation: fixperms -fn /usr/emerald/em_user2/.rhosts -da kess -newattr 000;
   fixperms -fn /usr/emerald/em_user2/.rhosts -da kess -newname
   /usr/emerald/em_user2/.rhosts.corrupted-by-em_user2; notify -uid 50001 -da kess;
   checkcfg -da kess -name BSM_USER_ENV_FILES
   Comment: relevant params: BSM_USER_ENV_FILES
EVERE WARNING (12|12|7254) BSM_ACCESS_PRIVATE_FILE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:13:51.042193 PST
   Command: old utime(2)
                         Parent_cmd: /usr/bin/touch Outcome: 13
   Attacker: em_user2
   Attacker_attrs: auid = 50002 ruid = 50002 euid = 50002 pid = 25395 sid = 25372
   Resource: /export/home/file1 Resource_owner: em_user1
   Recommendation: fixperms -fn /export/home/file1 -da kess -newattr 000; notify -uid
   50001 -da kess; checkcfg -da kess -name BSM_USER_HOMES_LOCATIONS
   Comment: relevant params: BSM_USER_HOMES_LOCATION
```

```
NARNING (13|13|7323) BSM_SUSPICIOUS_SETUID Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:15:02.952379 PST
   Command: chmod(2)
                      Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25402 sid = 25336
   Resource: /usr/emerald/em_user1/gurka Resource_owner: em_user1
   Recommendation: fixperms -fn /usr/emerald/em_user1/gurka -da kess -newattr 000; kill
   -pid 25402 -sid 25336 -da kess; notify -uid 50001 -da kess; checkcfg -da kess -name
   BSM_ADMINISTRATIVE_USER_LIST
   Comment: relevant-params: BSM_ADMINISTRATIVE_USER_LIST
ATTACK (14|14|7355) BSM_SUSPICIOUS_SETUID Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:15:16.402415 PST
   Command: chmod(2)
                      Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50002 pid = 25406 sid = 25336
   Resource: /usr/emerald/em_user1/file_owned_by_2 Resource_owner: em_user2
   {\tt Recommendation: fixperms -fn /usr/emerald/em\_user1/file\_owned\_by\_2 -da kess -newattr}
   000; kill -pid 25406 -sid 25336 -da kess; notify -uid 50002 -da kess; checkcfq -da kess
   -name BSM_ADMINISTRATIVE_USER_LIST
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST
     _____
SEVERE WARNING (15|15|7401) BSM_ROOT_CORE_EVENT Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:16:08.512544 PST
   Command: coredump Parent_cmd: not_present Outcome: 0
   Attacker: admin_u
   Attacker_attrs: auid = 2037 ruid = 0 euid = 0 pid = 25411 sid = 25039 Resource: /export/home/core Resource_owner: root
   Recommendation: fixperms -fn /export/home/core -da kess -newattr 000
ATTACK (16|16|7528) BSM_ILLEGAL_SHADOW_PASSWD_ACCESS Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:17:46.182810 PST
   Command: unlink(2) Parent_cmd: /usr/bin/rm Outcome: 13
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25422 sid = 25336
   Resource: /etc/shadow Resource_owner: root
   Recommendation: killall -uname em_user1 -pid 25422 -da kess; lockout -uname em_user1
   -da kess; checkcfq -da kess -name BSM_ADMINISTRATIVE_USER_LIST
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST
   _____
ATTACK (17|17|7553) BSM_PROMISCUOUS_MODE Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:18:07.622872 PST
   Command: open(2) - read, write Parent_cmd: /usr/emerald/em_user1/tcpdump Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 0 pid = 25424 sid = 25336
   Resource: /devices/pseudo/clone@0:hme Resource_owner: root
   Recommendation: killall -uname em_user1 -pid 25424 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name BSM_ADMINISTRATIVE_USER_LIST; checkcfg -da kess
  -name BSM_EMERALD_NIC_NAMES
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST, BSM_EMERALD_NIC_NAMES
```

```
VARNING (18|18|7591) BSM_MOD_SYSTEM_EXECUTABLE
                                               Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:18:37.552959 PST
   Command: chmod(2)
                     Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: admin_u
   Attacker_attrs: auid = 2037 ruid = 0 euid = 0 pid = 25426 sid = 25039
   Resource: /usr/bin/who Resource_owner: bin
   Recommendation: killall -uname admin_u -pid 25426 -da kess; lockout -uname admin_u
   -da kess; fixperms -fn /usr/bin/who -da kess -newattr 000; checkcfq -da kess -name
   BSM_SYSTEM_BIN_LOCATIONS
   Comment: relevant params: BSM_SYSTEM_BIN_LOCATIONS
VARNING (19|19|7600) BSM_MOD_SYSTEM_EXECUTABLE Target: 130.107.15.118
                                                                       Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:18:41.722972 PST
   Command: chmod(2)
                     Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: admin_u
   Attacker_attrs: auid = 2037 ruid = 0 euid = 0 pid = 25427 sid = 25039
   Resource: /usr/bin/who Resource_owner: bin
   Recommendation: killall -uname admin_u -pid 25427 -da kess; lockout -uname admin_u
   -da kess; fixperms -fn /usr/bin/who -da kess -newattr 000; checkcfg -da kess -name
   BSM_SYSTEM_BIN_LOCATIONS
   Comment: relevant params: BSM_SYSTEM_BIN_LOCATIONS
       _____
EVERE WARNING (20|20|7620) BSM_MOD_SYSTEM_RESOURCE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:19:15.333061 PST
   Command: creat(2) Parent_cmd: /usr/bin/touch Outcome: 13
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25429 sid = 25336
   Resource: /var/log/.nasty Resource_owner: not_present
   Recommendation: killall -uname em_user1 -pid 25429 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name BSM_SYSTEM_LOG_LOCATIONS; checkcfg -da kess -name
   BSM_SYSTEM_RESOURCE_FILES; checkcfg -da kess -name BSM_SYSTEM_RESERVED_ACCOUNTS
   Comment: relevant params: BSM_SYSTEM_LOG_LOCATIONS BSM_SYSTEM_RESOURCE_FILES
   BSM_LAST_RESERVED_ACCOUNT
        -----
NARNING (21|21|7695) BSM_SUSPICIOUS_SETUID Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:20:01.183188 PST
   Command: chmod(2)
                    Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25436 sid = 25336
   Resource: /usr/emerald/em_user1/csh Resource_owner: em_user1
   Recommendation: fixperms -fn /usr/emerald/em_user1/csh -da kess -newattr 000; kill
   -pid 25436 -sid 25336 -da kess; notify -uid 50001 -da kess; checkcfg -da kess -name
   BSM_ADMINISTRATIVE_USER_LIST
   Comment: relevant-params: BSM_ADMINISTRATIVE_USER_LIST
NARNING (22|22|7775) BSM_SUSPICIOUS_SETUID Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:20:48.143320 PST
   Command: chmod(2) Parent_cmd: /usr/bin/chmod Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 25443 sid = 25336
   Resource: /tmp/gurka Resource_owner: em_user1
   Recommendation: fixperms -fn /tmp/gurka -da kess -newattr 000; kill -pid 25443
   -sid 25336 -da kess; notify -uid 50001 -da kess; checkcfg -da kess -name
```

```
BSM ADMINISTRATIVE USER LIST
   Comment: relevant-params: BSM ADMINISTRATIVE USER LIST
TTACK (23|23|7864) BSM_ROOT_BY_NONADMIN Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:21:36.283444 PST
   Command: old setuid(2) Parent_cmd: /usr/bin/su Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 0 euid = 0 pid = 25446 sid = 25336
   Recommendation: kill -pid 25446 -sid 25336 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name BSM_ADMINISTRATIVE_USER_LIST; checkcfg -da kess
   -name BSM_NONADMIN_EXPIRE
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST BSM_NONADMIN_EXPIRE
ATTACK (24|24|7970) BSM_ROOT_BY_NONADMIN Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:21:57.423508 PST
   Command: old setuid(2) Parent_cmd: /usr/bin/su Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50000 euid = 50000 pid = 25448 sid = 25336
   Recommendation: kill -pid 25448 -sid 25336 -da kess; lockout -uname em user1
   -da kess; checkcfg -da kess -name BSM_ADMINISTRATIVE_USER_LIST; checkcfg -da kess -name
   BSM_NONADMIN_EXPIRE
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST BSM_NONADMIN_EXPIRE
    -----
ATTACK (25|25|8071) BSM_ROOT_BY_NONADMIN Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:22:23.663584 PST
   Command: old setuid(2) Parent_cmd: /usr/bin/su Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50002 euid = 50002 pid = 25451 sid = 25336
   Recommendation: kill -pid 25451 -sid 25336 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name BSM_ADMINISTRATIVE_USER_LIST; checkcfg -da kess
   -name BSM_NONADMIN_EXPIRE
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST BSM_NONADMIN_EXPIRE
  _____
WARNING (26|26|8230) BSM_REACH_MAX_BADLOGIN Target: kess Count: 4
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 1999-12-30 16:25:40.634080 PST
   Command: login - telnet Parent_cmd: /usr/bin/login Outcome: -1
   Attacker: not_present
   Recommendation: filter -sa ? -da kess; checkcfg -da kess -name BSM_MAX_LOGIN_THRESHOLD;
   checkcfg -da kess -name BSM_FAILED_LOGIN_WINDOW
   Comment: relevant params: BSM_ADMINISTRATIVE_USER_LIST BSM_NONADMIN_EXPIRE
   Comment: 130.107.15.118 login - telnet [ invalid user name ] from invalid username
   Comment: 130.107.15.118 login - telnet [ invalid password ] from em_user2
   Comment: 130.107.15.118 login - telnet [ invalid password ] from em_user1
   Comment: 130.107.15.118 login - telnet [ invalid password ] from em_user1
   Comment: relevant params: BSM_MAX_LOGIN_THRESHOLD, BSM_FAILED_LOGIN_WINDOW
  -----
SEVERE WARNING (27|27|8569) BSM_PROC_EXHAUST_THRESHOLD Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-05 17:45:34.375296 PST
   Command: fork(2)
                   Parent_cmd: not_present Outcome: 11
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 16307 sid = 15242
   Recommendation: checkcfg -da kess -name BSM_MAX_FAILED_PROCS_PER_CYCLE; checkcfg
```

```
-da kess -name BSM_FAILED_PROCS_THRESHOLD_WINDOW
   Comment: relevant params: BSM MAX FAILED PROCS PER CYCLE,
   BSM_FAILED_PROCS_THRESHOLD_WINDOW
SEVERE WARNING (28|28|8723) BSM_FILE_EXHAUST_THRESHOLD Target: 130.107.15.118 Count: 8
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-11 09:04:04.631142 PST
   Command: creat(2) Parent_cmd: /usr/bin/tcsh Outcome: 28
   Attacker: non_present
   Recommendation: diagnose -fs /mnt/floppy/sample3 -da kess; checkcfg -da kess -name
   BSM_MAX_NOSPACE_ERRORS; checkcfg -da kess -name BSM_WRITE_ERR_THRESHOLD_WINDOW
   Comment: relevant params: BSM_MAX_NOSPACE_ERRORS, BSM_WRITE_ERR_THRESHOLD_WINDOW
SEVERE WARNING (29|29|8731) BSM_FILE_EXHAUST_THRESHOLD Target: 130.107.15.118 Count: 8
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-11 09:04:09.621150 PST
   Command: creat(2) Parent_cmd: /usr/bin/tcsh Outcome: 28
   Attacker: non_present
   Recommendation: diagnose -fs /mnt/floppy/sample3 -da kess; checkcfg -da kess
   -name BSM_MAX_NOSPACE_ERRORS; checkcfg -da kess -name BSM_WRITE_ERR_THRESHOLD_WINDOW
   Comment: relevant params: BSM MAX NOSPACE ERRORS, BSM WRITE ERR THRESHOLD WINDOW
SEVERE WARNING (30|30|8766) BSM_ATTEMPTED_ROOT_LOGIN Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-11 09:51:56.836267 PST
   Command: login - telnet Parent_cmd: <unknown-12782> Outcome: 255
   Attacker: 130.107.15.118
   Attacker_attrs: auid = 0 ruid = 0 euid = 0 pid = 12782 sid = 12782
   Recommendation: filter -sa 130.107.15.118 -da kess
   Comment: Attempted remote root login
SEVERE WARNING (31|31|8768) BSM_ATTEMPTED_ROOT_LOGIN Target: 130.107.15.118
                                                                         Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-11 09:52:10.226282 PST
   Command: login - rlogin Parent_cmd: <unknown-12785> Outcome: 255
   Attacker: 130.107.15.118
   Attacker_attrs: auid = 0 ruid = 0 euid = 0 pid = 12785 sid = 12785
   Recommendation: filter -sa 130.107.15.118 -da kess
   Comment: Attempted remote root login
WARNING (32|32|9530) BSM_SUSPICIOUS_PORT_PROBE Target: 130.107.12.70 Count: 4
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Attacker: 130.107.15.118
   Attacker_attrs: target_ports = [ 13 540 512 21 ]
   Recommendation: filter -sa 130.107.15.118 -da kess; checkcfg -da kess -name
   BSM PORTHIT WARNING; checkcfq -da kess -name BSM PORT ANALYSIS WINDOW
   Comment: relevant params: BSM_PORTHIT_WARNING, BSM_PORT_ANALYSIS_WINDOW
       _____
SEVERE WARNING (33|33|9677) BSM_SUSPICIOUS_PORT_PROBE Target: 130.107.12.70
                                                                         Count: 4
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Attacker: 130.107.15.118
   Attacker_attrs: target_ports = [ 25 513 23 21 ]
```

```
Recommendation: filter -sa 130.107.15.118 -da kess; checkcfg -da kess -name
   BSM PORTHIT WARNING; checkefg -da kess -name BSM PORT ANALYSIS WINDOW
   Comment: relevant params: BSM_PORTHIT_WARNING, BSM_PORT_ANALYSIS_WINDOW
ATTACK (34|34|9890) BSM_SUSPICIOUS_PORT_PROBE Target: 130.107.12.70 Count: 8
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Command: connect Parent_cmd: not_present Outcome: 0
   Attacker: 130.107.15.118
   Attacker_attrs: target_ports = [ 13 9 7 540 512 513 23 21 ]
   Recommendation: filter -sa 130.107.15.118 -da kess; checkcfg -da kess -name
   BSM_PORTHIT_WARNING; checkcfg -da kess -name BSM_PORT_ANALYSIS_WINDOW
   Comment: relevant params: BSM_PORTHIT_WARNING, BSM_PORT_ANALYSIS_WINDOW
EVERE WARNING (35|35|10065) BSM_BAD_PORT_CONNECTION Target: kess Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-21 08:36:49.118565 PST
   Command: accept(2) Parent_cmd: <unknown-137> Outcome: 0
   Attacker: 130.107.15.118
   Attacker_attrs: src_port = 1903 dst_port = 514
   Recommendation: filter -sa 130.107.15.118 -da kess; checkcfg -da kess -name
   BSM_MAX_CONN_FACTS; checkcfg -da kess -name BSM_PORT_ANALYSIS_WINDOW
   Comment: relevant params: BSM_UNACCEPTABLE_PORT_CONNECTIONS, host and net lists in
usr/emerald/test/final/Emerald_eXpert_BSM_v1.4/resource-object/config//local_netmap.conf
  SEVERE WARNING (36|36|10222) BSM_FTP_USERNAME_GUESSER Target: kess Count: 5
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Command: open(2) - read, write Parent_cmd: <unknown-122>
   Attacker: 130.107.12.103
   Attacker_attrs: auid = 0 ruid = 0 euid = 0 pid = 122 sid = 0
   Recommendation: filter -sa 130.107.12.103 -da kess -dp 21; checkcfg -da kess
   -name BSM_MAX_FTP_BADPASSWORDS; checkcfg -da kess -name BSM_FAILED_LOGIN_WINDOW
   Comment: relevant params: BSM_MAX_FTP_BADPASSWORDS, BSM_FAILED_LOGIN_WINDOW
EVERE WARNING (37|37|10444) BSM_FTP_PASSWD_GUESSER Target: kess Count: 4
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-21 09:47:23.046354 PST End_time: 2000-01-21 09:48:00.235610 PST
   Command: open(2) - read, write Parent_cmd: <unknown-122>
                                                       Outcome: 0
   Attacker: em_user1
   Attacker_attrs: src_ip = 130.107.12.103 auid = 0 ruid = 0 euid = 0 pid = 122 sid = 0
   Recommendation: filter -sa 130.107.12.103 -da kess -dp 21; checkcfg -da kess -name
   BSM_MAX_FTP_BADPASSWORDS; checkcfg -da kess -name BSM_FAILED_LOGIN_WINDOW
   Comment: relevant params: BSM_MAX_FTP_BADPASSWORDS BSM_FAILED_LOGIN_WINDOW
    _____
ATTACK (38|38|10599) BSM_FTP_ANON_WRITE Target: kess Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-21 09:52:09.850942 PST
   Command: open(2) - write, creat, trunc Parent_cmd: /usr/sbin/in.ftpd Outcome: 0
   Attacker: 130.107.12.103
   Attacker_attrs: auid = 0 ruid = 0 euid = 65533 pid = 21147 sid = 0
   Resource: /usr/local/ftp/pub/upload/passwd Resource_owner: ftp
   Recommendation: reset -sa 130.107.12.103 -da kess -dp 21; kill -pid 21147 -sid 0 -da
   kess; checkcfg -da kess -name BSM_ANON_FILE_EXPIRE; checkcfg -da kess -name
   BSM_LOCAL_FTPD_UID; checkcfg -da kess -name BSM_ANON_FTP_MONITOR_WINDOW; checkcfg
  -da kess -name BSM_FTP_UPLOAD_PATHS
   Comment: relevant params: BSM ANON FILE EXPIRE BSM LOCAL FTPD UID
```

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BSM_ANON_FTP_MONITOR_WINDOW_BSM_FTP_UPLOAD_PATHS
ATTACK (39|39|10693) BSM_FTP_ANON_WRITE Target: kess Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-21 09:54:08.168688 PST
   Command: open(2) - write, creat, trunc Parent_cmd: /usr/sbin/in.ftpd Outcome: 0
   Attacker: 130.107.12.103
   Attacker_attrs: auid = 0 ruid = 0 euid = 65533 pid = 21154 sid = 0
   Resource: /usr/local/ftp/pub/warez/win2000 Resource_owner: ftp
   Recommendation: reset -sa 130.107.12.103 -da kess -dp 21; kill -pid 21154 -sid 0
   -da kess; checkcfg -da kess -name BSM_ANON_FILE_EXPIRE; checkcfg -da kess -name
   BSM_LOCAL_FTPD_UID; checkcfg -da kess -name BSM_ANON_FTP_MONITOR_WINDOW; checkcfg
   -da kess -name BSM_FTP_UPLOAD_PATHS
   Comment: relevant params: BSM_ANON_FILE_EXPIRE BSM_LOCAL_FTPD_UID
   BSM_ANON_FTP_MONITOR_WINDOW BSM_FTP_UPLOAD_PATHS
WARNING (40|40|10949) BSM_FTP_WAREZ_ACTIVITY Target: not_present Count: 5
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Command: open(2) - read Parent_cmd: /usr/sbin/in.ftpd Outcome: 0
   Attacker: root
   Attacker_attrs: auid = 0 ruid = 0 euid = 65533 pid = 21160 sid = 0
   Resource: /usr/local/ftp/pub/warez/win2000 Resource_owner: ftp
   Recommendation: fixperms -fn [ /usr/local/ftp/pub/warez/win2000 ] -da kess
   -newattr 000; checkcfg -da kess -name BSM_FTP_WAREZ_COMPLIANT; checkcfg -da kess
   -name BSM_LOCAL_FTPD_UID
   Comment: relevant params: BSM_FTP_WAREZ_COMPLIANT BSM_LOCAL_FTPD_UID
NARNING (41|41|11516) BSM_DISALLOWED_FILE_EXEC Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:55:19.470184 PST
   Command: execve(2) Parent_cmd: /usr/sbin/iffconfig Outcome: 2
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2653 sid = 2647
   Resource: /usr/sbin/iffconfig Resource_owner: not_present
   Recommendation: killall -uname em_user1 -pid 2653 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
          ______
EVERE WARNING (42|42|11518) BSM_DISALLOWED_FILE_EXEC Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:55:26.850043 PST
   Command: execve(2)
                     Parent_cmd: /usr/sbin/ifconfig Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2654 sid = 2647
   Command_arg: /usr/sbin/ifconfig
   Resource: /usr/sbin/ifconfig Resource_owner: bin
   Recommendation: killall -uname em_user1 -pid 2654 -da kess; lockout -uname em_user1
   -uid 50001 -da kess; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
     _____
NARNING (43|43|11538) BSM_DISALLOWED_FILE_READ Target: 130.107.15.118
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:55:37.079844 PST
   Command: open(2) - read Parent_cmd: /usr/bin/cat Outcome: 2
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2655 sid = 2647
```

```
Resource_owner: not_present
   Resource: /secret
   Recommendation: killall -uname em_user1 -pid 2655 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
SEVERE WARNING (44|44|11553) BSM_DISALLOWED_FILE_READ Target: 130.107.15.118
                                                                             Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:55:48.819615 PST
   Command: open(2) - read Parent_cmd: /usr/bin/cat Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2657 sid = 2647
   Resource: /accounting/DBMS/payroll.db Resource_owner: em_accnt
   Recommendation: killall -uname em_user1 -pid 2657 -da kess; lockout -uname em_user1
   -da kess; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
VARNING (45|45|11794) BSM_DISALLOWED_FILE_WRITE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:56:35.328695 PST
                     Parent_cmd: /usr/bin/rm Outcome: 13
   Command: unlink(2)
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2667 sid = 2647
   Resource: /accounting/DBMS/payroll.db Resource_owner: em_accnt
   Recommendation: killall -uname em_user1 -pid 2667 -da kess; lockout -uname em_user1
   -da kess; fixperms -fn /accounting/DBMS/payroll.db -da kess -newperms 000; checkcfg
   -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
SEVERE WARNING (46|46|11840) BSM_DISALLOWED_FILE_WRITE Target: 130.107.15.118 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 10:57:17.887843 PST
   Command: unlink(2) Parent_cmd: /usr/bin/rm Outcome: 0
   Attacker: em_user1
   Attacker_attrs: auid = 50001 ruid = 50001 euid = 50001 pid = 2672 sid = 2647
   Resource: /accounting/DBMS/payroll.db Resource_owner: em_accnt
   Recommendation: killall -uname em_user1 -pid 2672 -da kess; lockout -uname em_user1
   -da kess; fixperms -fn /accounting/DBMS/payroll.db -da kess -newperms 000; checkcfg
   -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf
WARNING (47|47|11919) BSM_DISALLOWED_FILE_READ Target: kess Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 16:13:52.837138 PST
   Command: open(2) - read Parent_cmd: /usr/sbin/in.ftpd Outcome: 2
   Attacker: 130.107.15.118
   Attacker_attrs: auid = 0 ruid = 0 euid = 50001 pid = 2822 sid = 0
   Resource: /secret Resource_owner: not_present
   Recommendation: kill -uname root -pid 2822 -da kess; filter -sa 130.107.15.118
   -da kess -dp 21; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf. relevant params: BSM_LOCAL_FTPD_UID
     _____
SEVERE WARNING (48|48|11920) BSM_DISALLOWED_FILE_READ Target: kess
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-02-08 16:14:21.076567 PST
   Command: open(2) - read Parent_cmd: /usr/sbin/in.ftpd Outcome: 0
   Attacker: 130.107.15.118
   Attacker_attrs: auid = 0 ruid = 0 euid = 50001 pid = 2822 sid = 0
```

```
Resource: /accounting/DBMS/payroll.db
                                          Resource_owner: admin_u
   Recommendation: kill -uname root -pid 2822 -da kess; filter -sa 130.107.15.118
   -da kess -dp 21; checkcfg -da kess -name accesspolicy.inc
   Comment: see accesspolicy.conf. relevant params: BSM_LOCAL_FTPD_UID
SEVERE WARNING (49|49|12070) BSM_TIME_WARP Target: 130.107.12.70 Count: 1
   Observer: eXpert-BSM Observer_Location: kess Observer_src: big_test.bsm
   Start_time: 2000-01-21 08:11:13.118565 PST
   Command: clock Parent_cmd: not_present Outcome: 0
   Attacker: non_present
   Attacker_attrs: backward_drift = [1584252 seconds]
   Recommendation: diagnose -scv systime -da kess -currtime 950055325 -prevtime 948471073;
   checkcfg -da kess -name BSM_MAX_BACKWARD_TIME
   Comment: relevant params: BSM_MAX_BACKWARD_TIME
appcommon.c:251 NoDataCB(SignificantEvent):
Interface close (idle 1009 msec) event-manager saw 12072 events, last seq # 12071,
      max idle 360000 msec
eXpert-BSM event channel closing. PBEST shutting down.
```