

HACMS kickoff meeting: TA2

# Technical Area 2: System Software

John Rushby

Computer Science Laboratory  
SRI International  
Menlo Park, CA

## Introduction

- We are teamed with Prof. Grigore Rosu of University of Illinois at Urbana Champaign on this task
- I'll describe our part
- Then hand over to Grigore

## Background

- **All** incidents and accidents in commercial aircraft in which software was a contributory factor implicate the **gap** between **system** requirements and **software** requirements
- **None** implicate design or coding errors
- **Level A software** for commercial aircraft costs a lot
- Vulnerabilities in other kinds of vehicles may be different
- FM may reduce costs for aircraft and raise quality elsewhere
- But the **gap** may still be there
- That's what we (SRI) are focused on

## A Conundrum

- Top-level safety requirements are **probabilistic** (e.g.,  $10^{-9}$ )
- But software assurance is all about **correctness**
- Just do more of it for higher assurance levels
  - **28** objectives at DO178B **Level D** ( $10^{-3}$ )
  - **57** objectives at DO178B **Level C** ( $10^{-5}$ )
  - **65** objectives at DO178B **Level B** ( $10^{-7}$ )
  - **66** objectives at DO178B **Level A** ( $10^{-9}$ )
- What's the connection?

## A Simple Theorem

- Software assurance establishes a possibility of perfection
  - Will never suffer a failure, wrt. system requirements
- Quantify that as (subjective) probability of (im)perfection
  - An idea due to Bev Littlewood and Lorenzo Strigini
- $p_{np}$  probability the software is imperfect
- $p_{fnp}$  probability that it fails, if it is imperfect
- Then  $P(\text{software fails}) \leq p_{np} \times p_{fnp}$
- Traditionally, nuclear protection assumes  $p_{np}$  is 1, measures  $p_{fnp}$  by massive random testing
- And aircraft certification assumes  $p_{fnp}$  is 1, try to justify small  $p_{np}$  by massive assurance

## A Second Theorem

- Many safety-critical systems have two (or more) diverse “channels” arranged as primary/monitor architectures
- **Cannot** simply multiply the pfd (probabilities of failure) of the two channels to get pfd for the system
  - Failures are unlikely to be independent
  - E.g., failure of one channel suggests this is a difficult case, so failure of the other is more likely
  - Infeasible to measure amount of dependence
- But the **probability of imperfection** of one channel is **conditionally independent** of the **pfd** of the other
- So you **can** multiply these together to get system pfd

## Putting It Together

- Formally synthesize or verify **monitors** for **system requirements**
- Monitors can be **simple**, as well as formally assured
- Thus, feasible to claim **small probability of imperfection**
- Hence, **multiplicative increase** in system reliability
- Though you do need to account for **Type 2** monitor failures
- Monitored architecture **risk** per unit time
$$\leq c_1 \times (M_1 + F_A \times P_{B1}) + c_2 \times (M_2 + F_{B2|np} \times P_{B2})$$
where the  $M$ s are due to mechanism shared between channels



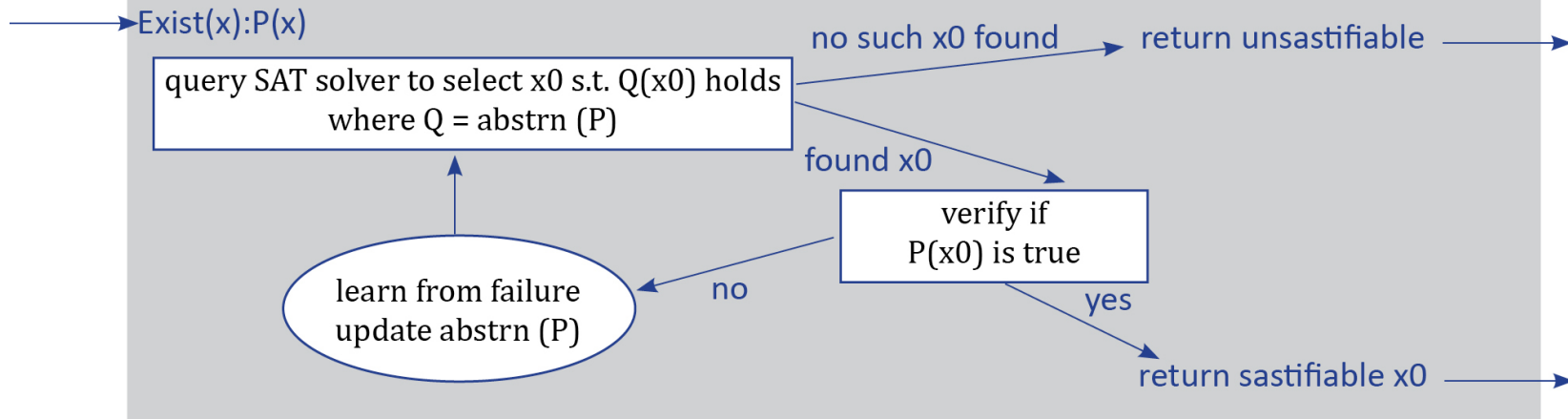
# Mechanization

- Biggest breakthrough in FM over last 20 years was development of high-performance **SMT solvers**
- These solve Forall (UNSAT) and Exists (SAT) problems
- They automate **verification** problems very effectively
- But for **synthesis** need to solve Exists-Forall (EF) problems
- Example: template based invariant synthesis
  - $\exists A, B, C : \forall x, y : A \times x + B \times y < C$
  - Many template- or sketch-driven approaches to synthesis can be cast in this form
- So we plan to synthesize monitors with an EF-SMT solver

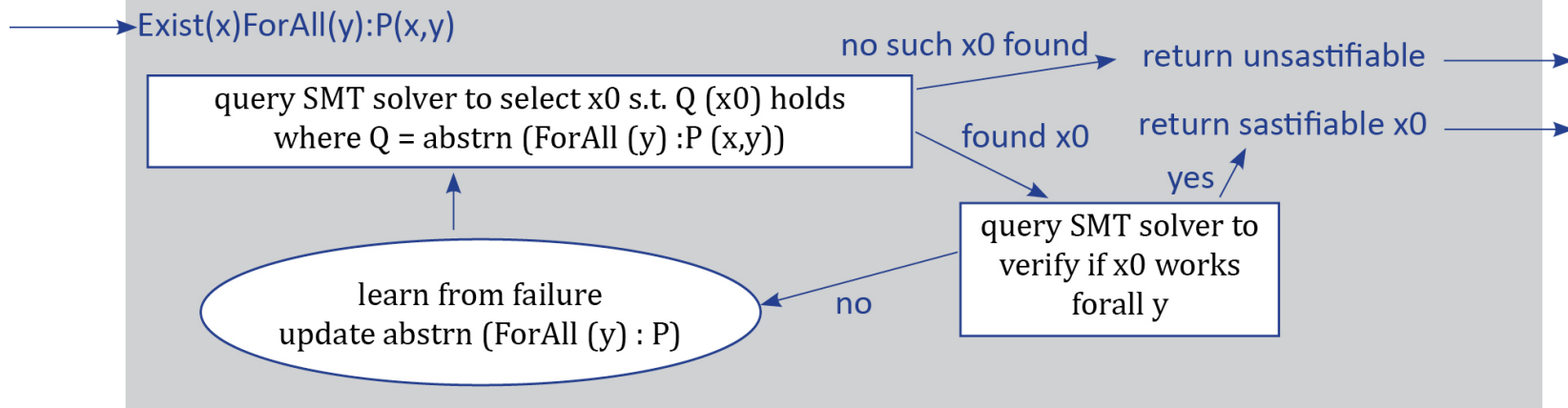
# EF SMT Solver Architecture

## Constraint Solving Using Abstraction Refinement Guided by Learning via Counter Examples

### SMT Solver



### EF SMT Solver



## Plan

- Develop EF-SMT solver
  - Bruno Dutertre
- Use to synthesize monitors and wrappers for systems software
- Share languages, methods, tools with Grigore Rosu of UIUC
  - Who develops complementary approaches to monitoring