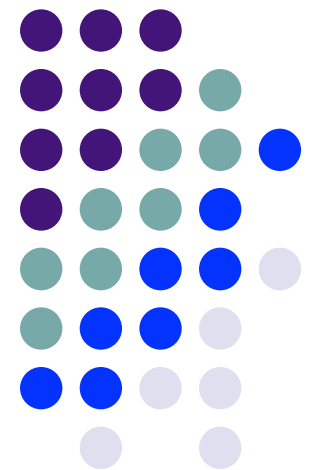
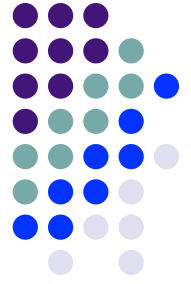


The Path of the Blind Watchmaker

Andy Poggio
SRI International
UC Berkeley
2011

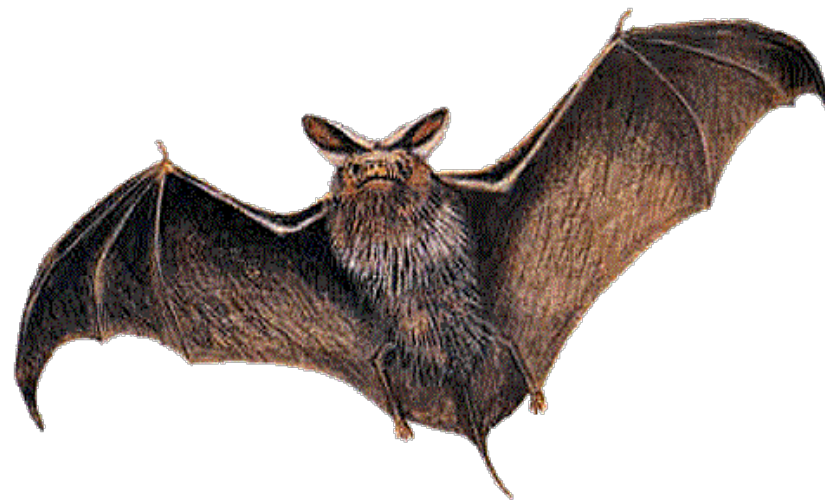
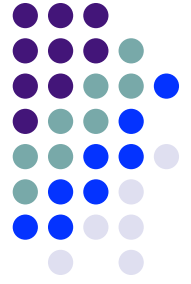




Outline

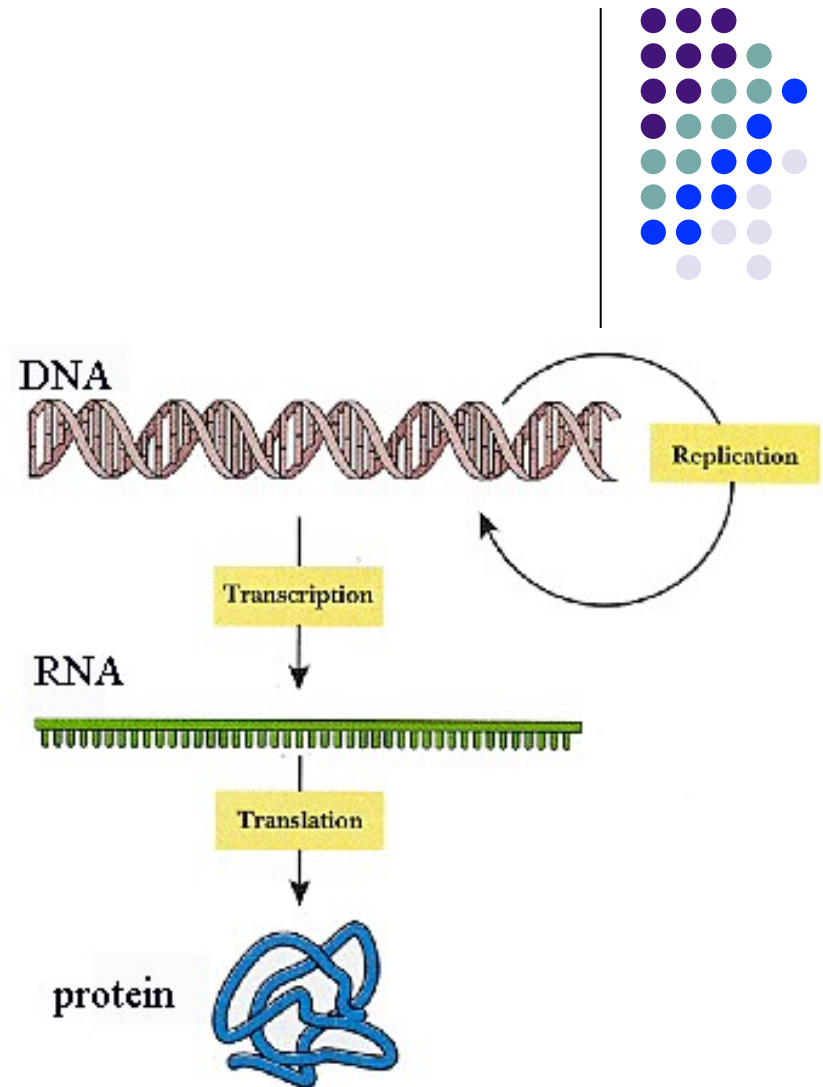
- Biology background
- The Last Universal Common Ancestor, LUCA
- Simple evolution model
- Reference species and their genomes
- Sequence evolution
- Population evolution
- Applications and future work

Evolution: the blind watchmaker

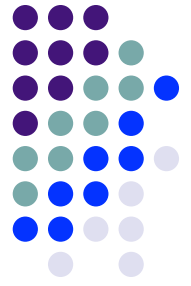


Central dogma of molecular biology

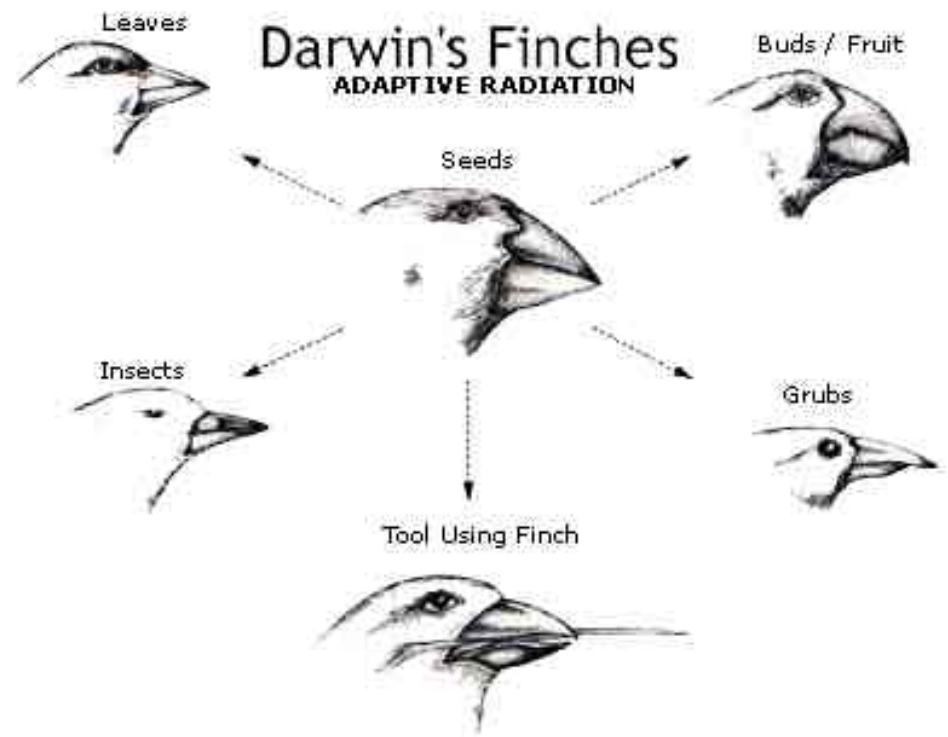
- DNA made up of 4 bases: a, t, c, g
- When replicated, occasional errors (mutations)
- Some DNA in genome is genes that code for proteins and regions that regulate them
 - Homologs are genes that evolved from a common ancestor gene
- Coding DNA transcribed to RNA
- RNA translated to protein by ribosome
- Proteins do work of cell

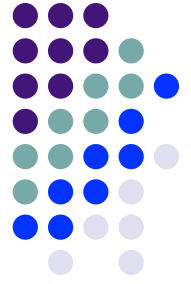


Evolution process



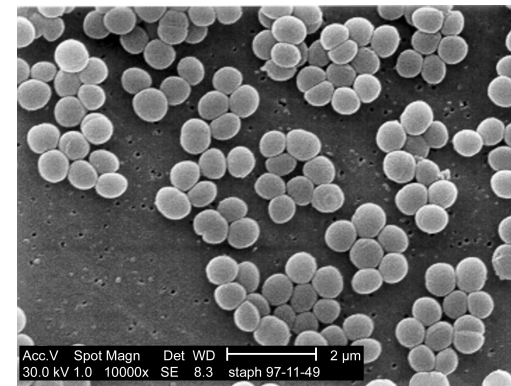
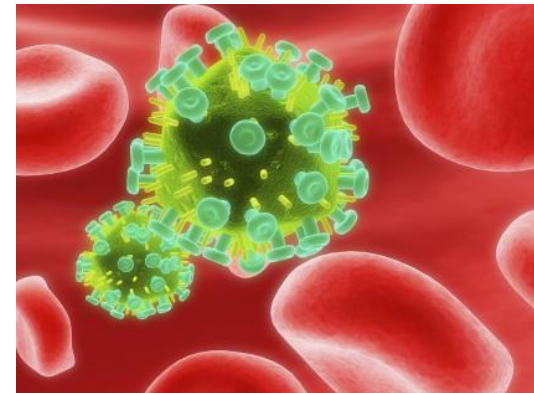
- 1) Variation of characteristics (genetic mutation)
 - 2) Propagation of variation: reproduction and inheritance (duplicate of parent's genome in offspring)
 - 3) Environment has selective effects on variations (fitness affects longevity and/or fecundity)
- **With these three components, evolution must occur**





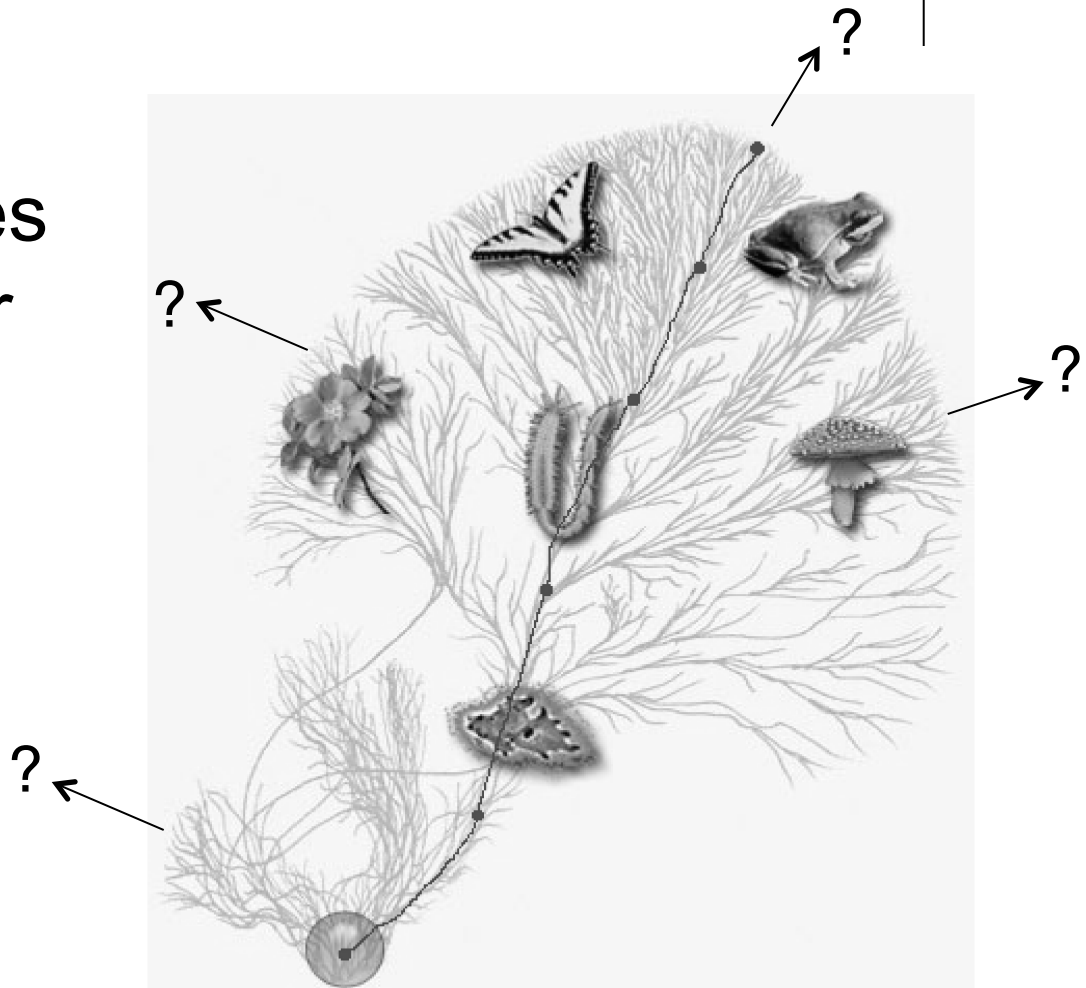
Pathogen evolution

- 3.1 million deaths in 2005 due to HIV virus
- Antibiotic vancomycin “drug of last resort” for bacterial infections
- 20-fold increase in vancomycin-resistant bacteria from 1987-1993
- Pathogens evolve treatment resistance
- We need to understand, predict

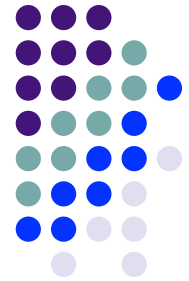


Future of life

- Evolution shapes us (and all other life)
 - Stochastically
 - Consciously determined

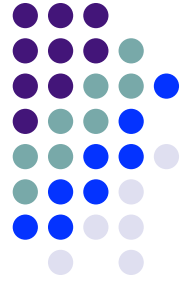


LUCA

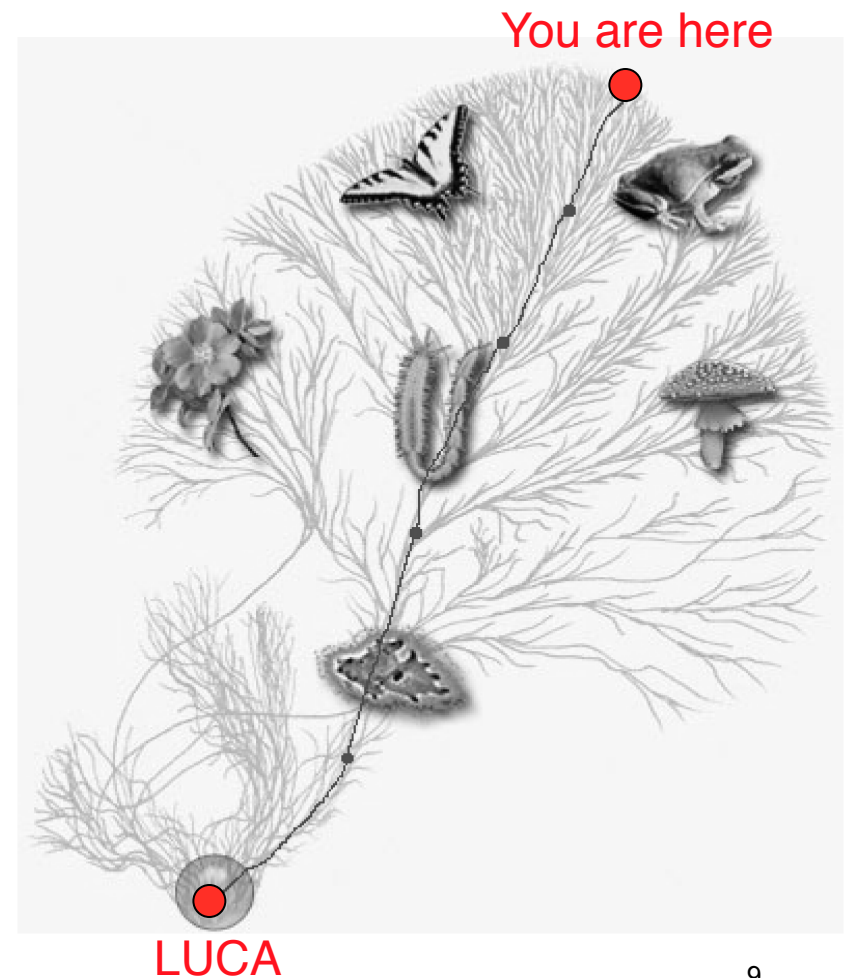


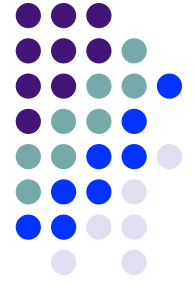
- LUCA is branching point; life exists prior to LUCA
- Consensus:
 - single-celled organism with 500-1000 genes
- Controversy:
 - Simple prokaryote or complex, single-cell protoeukaryote – exons/ introns “piece” together proteins
 - DNA or RNA genome – RNA has high mutation rate, rapid evolution
 - If protoeukaryote, then reductive evolution produced prokaryotes (e.g. bacteria) – prokaryotes “more efficient”

How did we get here from LUCA?

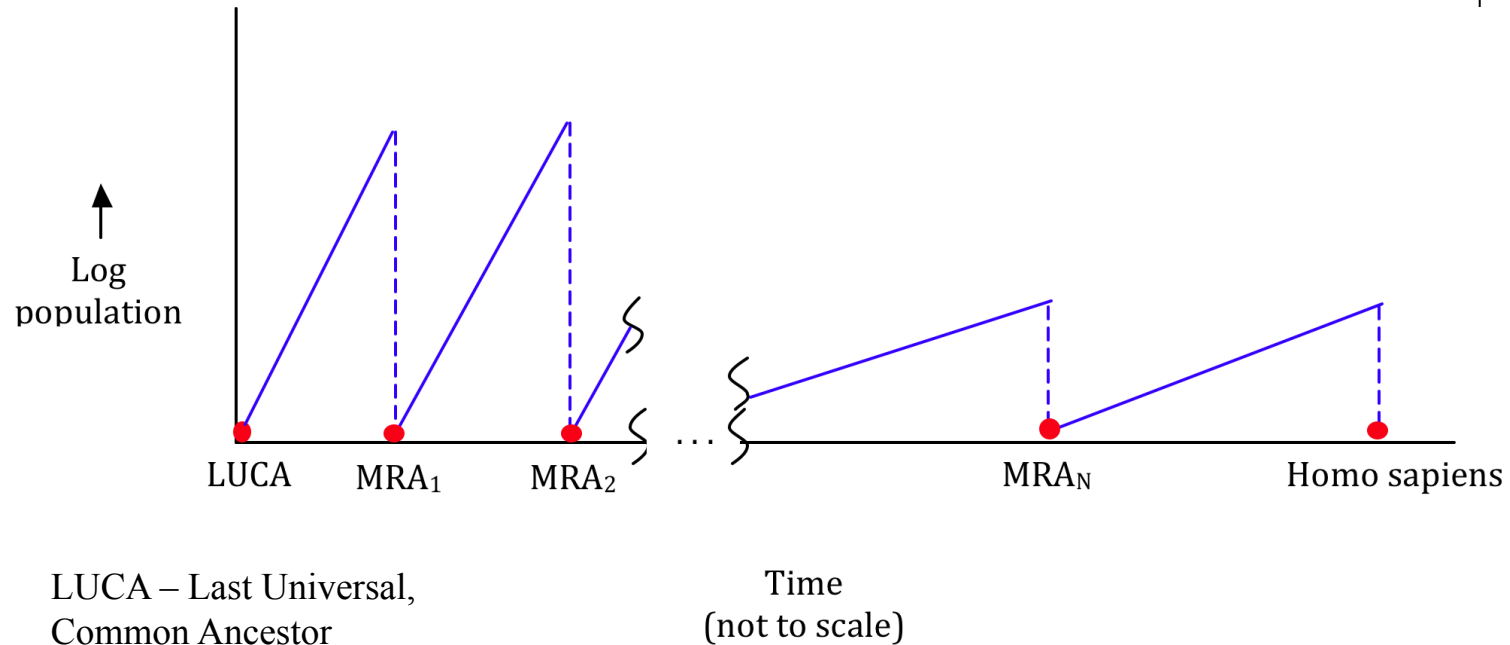


- A simple evolution model:
 - One mutation at a time makes a More Recent Ancestor (MRA)
 - Each MRA proliferates until a next MRA emerges
- $\text{Generation} \leq \text{MRA} \leq \text{Speciation}$





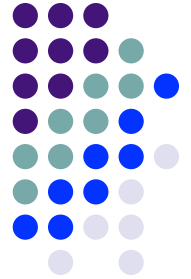
Simple model structure



LUCA – Last Universal,
Common Ancestor

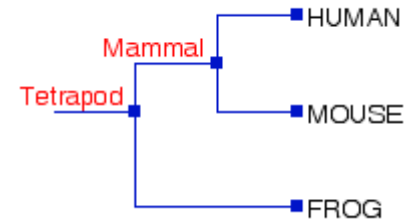
MRA – More Recent Ancestor

- Using mutation rate, growth rate, and sequence length from the literature, calculated $1.1 \cdot 10^9$ years compared to $3.5 \cdot 10^9$ years accepted time
- Relevant to actual process but significantly incomplete



Comprehensive model

- Input data: reference species (including LUCA) and their genomes



- What happened? Sequence evolution model

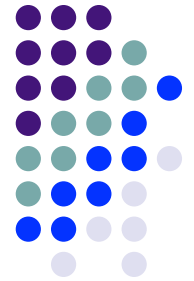
Ra 1 CCCCAGGGTGGTGGCTGGGGGCAG
Rb 2 CCTCATGGTGGTGGCTGGGGGCA A
Rc 3 CCCCATGGTGGCGGCTGGGGACAG
Rd 4 CCCCATGGTGGCGGATGGGGACAG
Re 5 CCTCATGGTGGCGGCTGGGGTCAA

Ra 1 CCCCAGGGTGGTGGCTGGGGGCAG
Rb 2 CCTCATGGTGGTGGCTGGGGGCA A
Rc 3 CCCCATGGTGGCGGCTGGGGACAG
Rd 3 CCCCATGGTGGCGGCTGGGGACAG
Re 4' CCCCATGGTGGTGGCTGGGGACAG
Rf 5 CCTCATGGTGGCGGCTGGGGTCAA

- How did it happen and how long did it take? Population evolution model

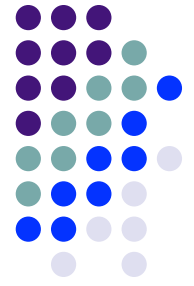


Reference species

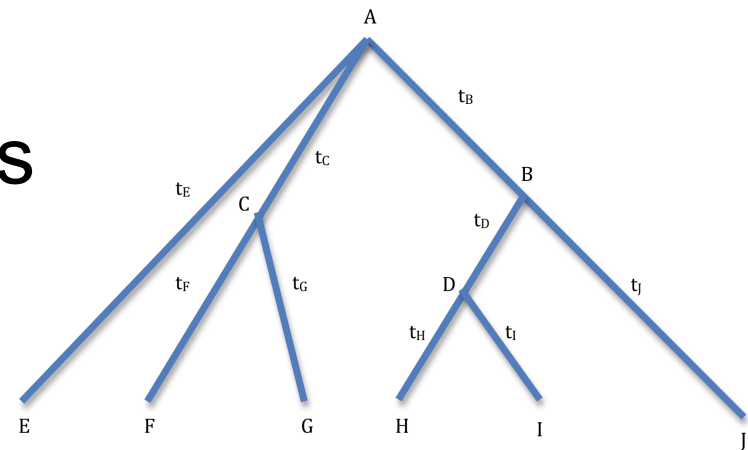


- Chosen for distinctions, not equal time intervals
- LUCA
- LUCAEukaryota -- organelles (e.g. nucleus, mitochondria, chloroplast), multicellular, sexual reproduction, exons/introns
- LUCAMetazoa -- heterotrophic (engulf food), motion, developmental stage due to gene regulation
- LUCAMammalia -- warm-blooded, vertebrate, mothers nourish young, neocortex
- Homo sapiens

Reference species genome reconstruction

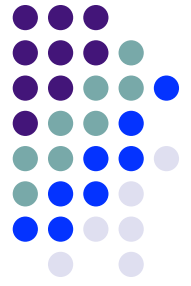


- Need actual sequences
- Infer from existing species sequence data:
 - Phylogenetic tree creation
 - Multiple sequence alignment to determine corresponding bases
- Used existing tools together with new tool for reconstruction



SHESW01757	c-----tt---atcgattcacattgtcatcttga
SHESR01720	c-----tt---atcgattcacattgccatctcga
ERWCT01784	t-----ta---gtggattcccactgtcatcttga
HUMAN26738	t-----tg---gtggactgtcactgccacctctc
NEMVE25830	-----
CAEJA06662	a-----tg---attgacgtccattgccatctggc
PYRHO01249	-----atg---atagatgcgcatgcacatcttga
SULT002195	-----atgctagtagatgcgcacgctcatataga
METMA01264	tatccaatc---attgattctcactgtcaccttga

Reference species genes



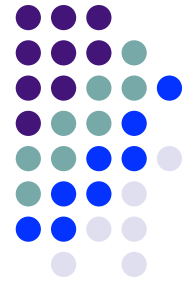
	Nonhomologous	Homologous	Total
LUCA	33		33
LUCAEukaryota	43	33	76
LUCAMetazoa	43	76	119
LUCAMammalia	44	119	163
Homo sapiens	39	163	202

- Nearly 600 genes total
- LUCA deoxyribonuclease, involved in DNA manipulation and repair

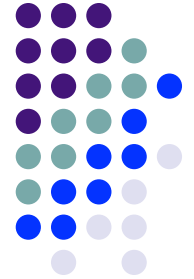
```

atggaatacaaaccatgccttatccaatgattgattctcactgtcatcttgatattccagaattgatc
atgacagagatgaagccattcagaaagccaaaaaacagggtgtgtcgtaatggtggcaattccggaatt
tgcctgaaagaaattgaaaaagtcttgaaaatttcgaggaaaattacgagaatgttcttcagcactg
ggtttcatcccgatatcggtgaaaaagatatcaactaaaatgaattggataaaaagttaagcaatagctg
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acagagagctttattgaaaagctgatcagagctgccaaagaactggaaatgctgtggttgatgcc
agaatggctgaaagagaagccattaatattctccaagagctgacggggacatagtcaccgtaattttc
actctataccggctctgttgaaaccgcaaaggaaatagtggaagcaggctactttatctcaatggctgg
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ctcgaaacagattctcctttctggcccctataagacaccggggtcagaaatgagccatggattgtaat
attatccctgaagagattgccagaattaaggaaatggcacttgaagaagttgctgaaataacaactgaaa
acgcacgcaaattttcctaagctggctcgggtgctcaagatataa
    
```

Mutations



- 14 mutation types
- Essential mutations for model:
 - substitutions $\begin{array}{c} \text{atcg} \\ | \quad || \\ \text{aacg} \end{array}$
 - Insertions/deletions (indels) $\begin{array}{c} \text{a-cg} \\ | \quad || \\ \text{atcg} \end{array} \quad \begin{array}{c} \text{atcg} \\ | \quad || \\ \text{a-cg} \end{array}$
 - Inversions $\text{atcg} \Rightarrow \text{gcta} \Rightarrow \text{cgat}$
(reverse+complement)
- Others common bulk adds or subtracts
- Made survey of empirical mutation rates;
arithmetic means of relevant species used



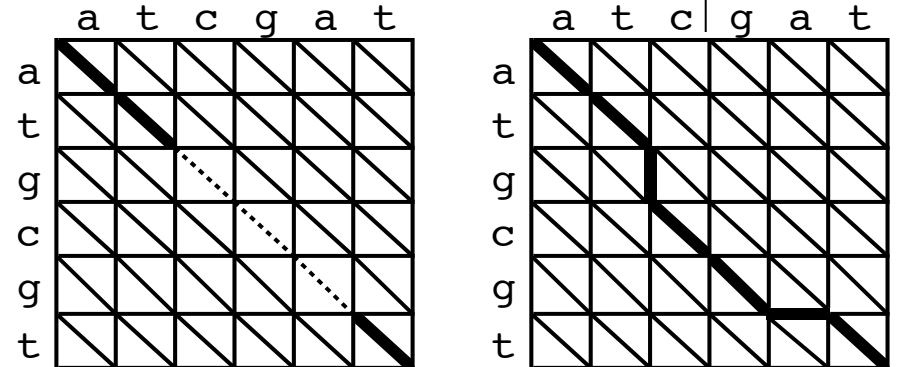
Sequence evolution model

```
cgaaagcggcgttccgaccttcagcggggccatggatggactgt
||||| | | | | | | | | | | | | | | | | | | | | |
agaaagtgggtgttccgaccttcagaggagctggaggt---tatt
```

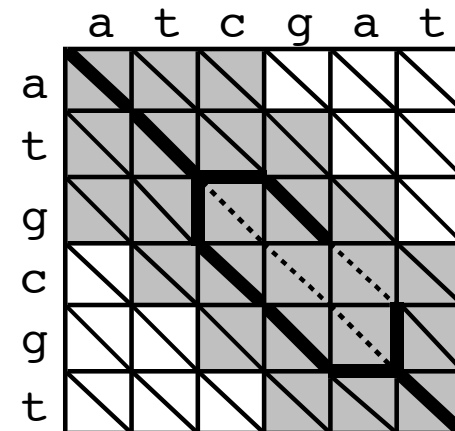
- Sequence evolution is set of mutations that occurred as one sequence evolved to another
- Determined through pairwise sequence alignment of each reference species gene with predecessor reference species homolog or other gene
- Homologs aligned with homolog in previous reference species
- Nonhomologs aligned with unrelated genes in previous reference species and with random sequences

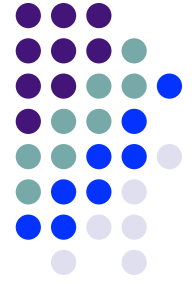
Sequence alignment

- Global, end-to-end alignment
- Alignment scores based on mutation rates
 - indel and inversion scores are a function of length
- Multiple paths/alignment
 - more paths for longer sequences
- Most probable paths near diagonal
- Nearly 50,000 alignment paths produced



a	t	g	c	g	t	a	t	g	c	g	-	t
a	t	c	g	a	t	a	t	-	c	g	a	t

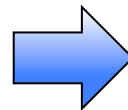




Finding inversions

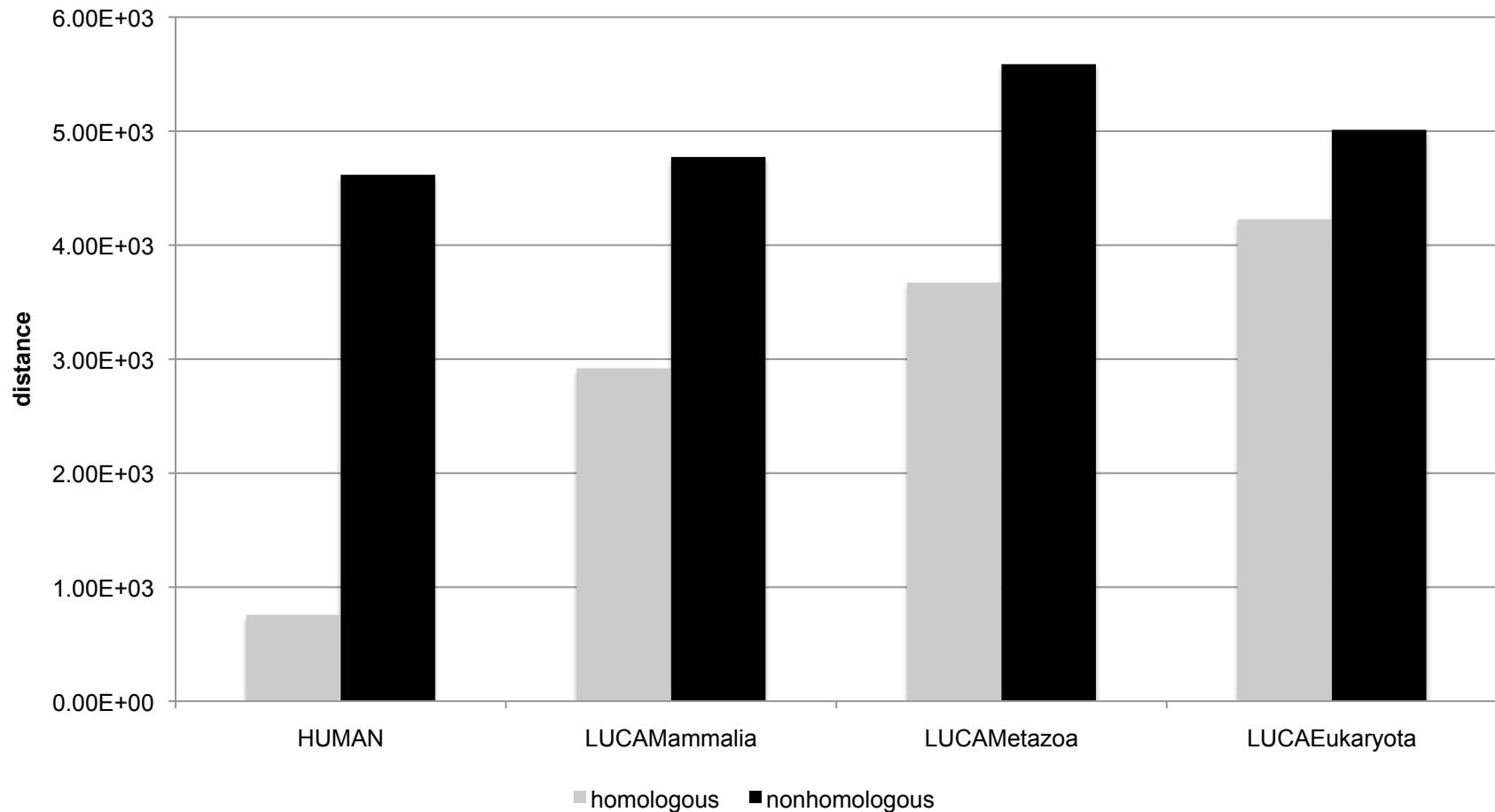
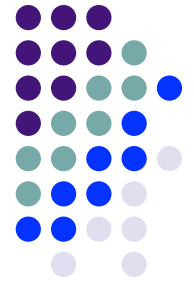
- Distinct from global alignment algorithm – inversions can start/end anywhere; want probable ones
- Inversion must end when no longer probable
- Inversions must be aligned as may contain mutations

	c	c	c	g	a	a
c						
g						
g						
g						
a						
a						

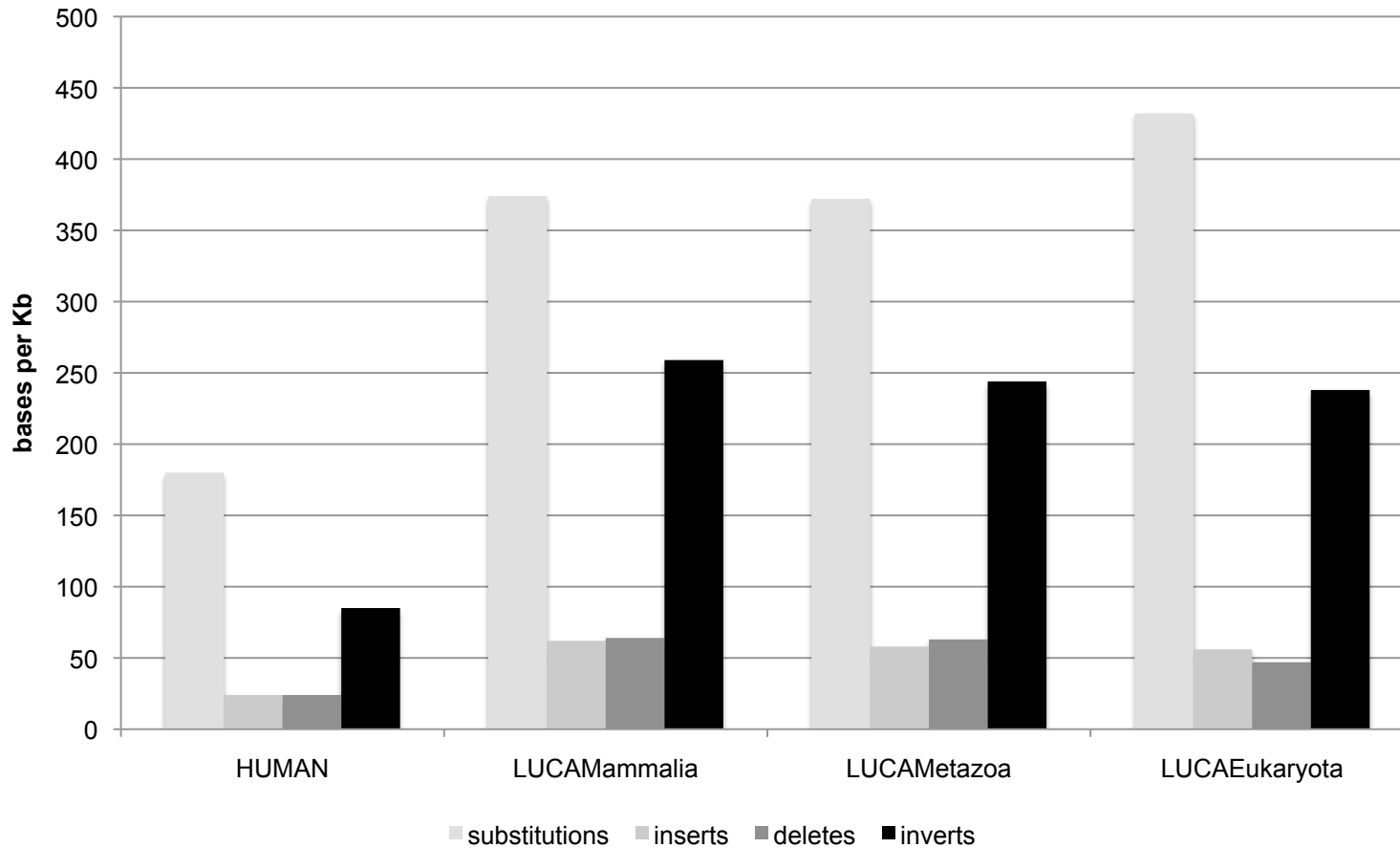
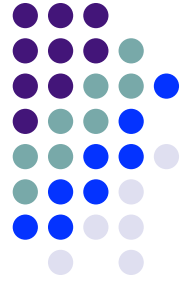


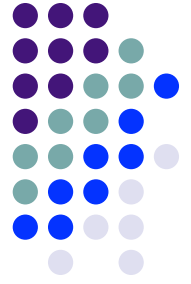
	c	c	c	g	a	a
T			■	■	■	■
T		■	■	■	■	■
C	■	■	■	■	■	■
C	■	■	■	■	■	■
C	■	■	■	■	■	■
G	■	■	■	■	■	■

Homologous/nonhomologous distance comparison



Reference species mutation comparison



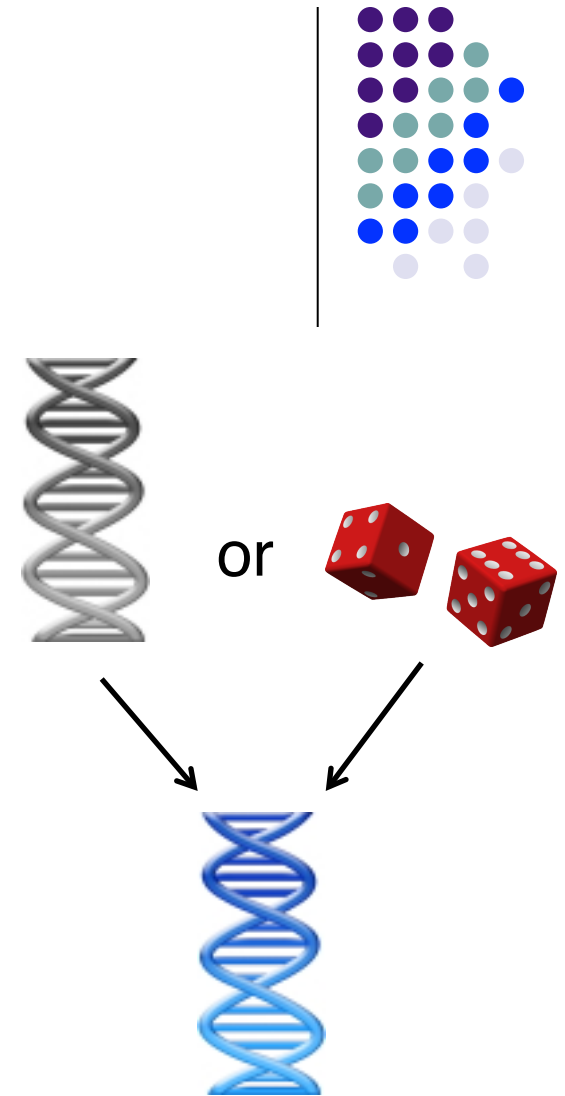


Inversions

- Microinversions length 4 detected under special circumstances
- Minimum length 12
- All alignments performed with and without inversions
- Conclusion: Inversions reduce alignment distance (increase alignment probability), confidence >99%

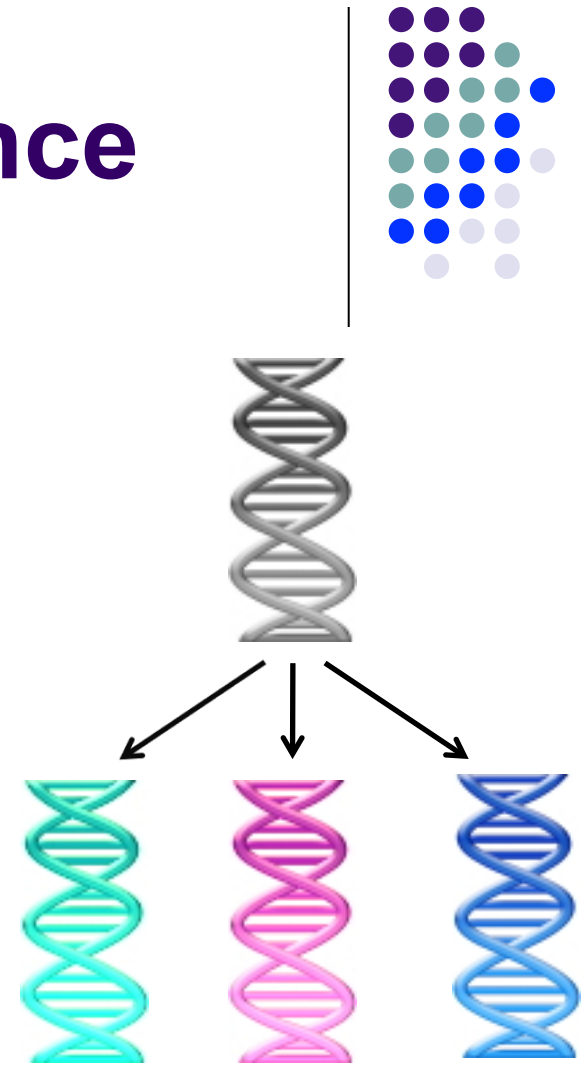
Nonhomologous gene evolution

- Must come from unrelated gene sequence or random sequence
- Modest confidence (>80%) coding sequence more likely for most reference species
- Likely due to protein secondary and tertiary structures that are functional in many contexts

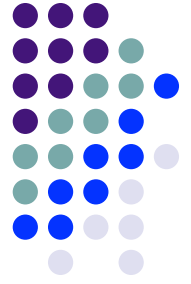


Universal source sequence

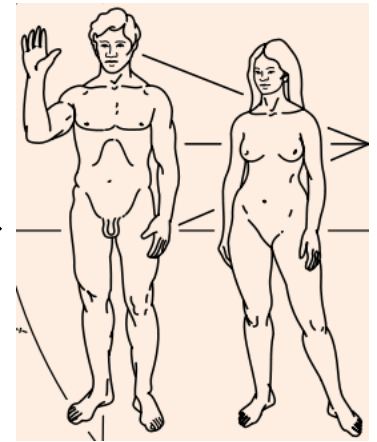
- Gene sequence better than random sequence for creating nonhomologous genes – some genes better than others?
- 4 LUCAMammalia genes aligned with 39 nonhomologous Homo sapiens genes
- Small sample size provided modest evidence for universal source sequence
- Best source gene was 21530LUCAMammalia
 - Homologs back to LUCA
 - No consensus function in LUCA
 - Speculation: function is to act as universal source sequence



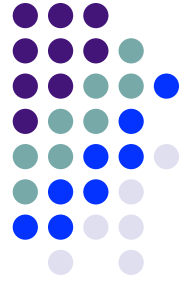
How to make a Homo sapiens



- Start with a LUCA genome
- Insert 26,000,000 bases
- Delete 25,700,000 bases
- Substitute 177,000,000 bases
- Invert 107,000,000 bases
- Add bulk DNA; use any of several available mechanisms
- Enjoy your new species with its consciousness, intelligence, creativity, and empathy
- Key question: how long did it take? Need population model for answer

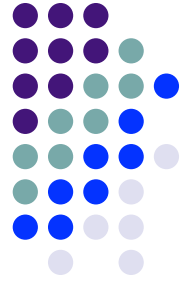


Population model

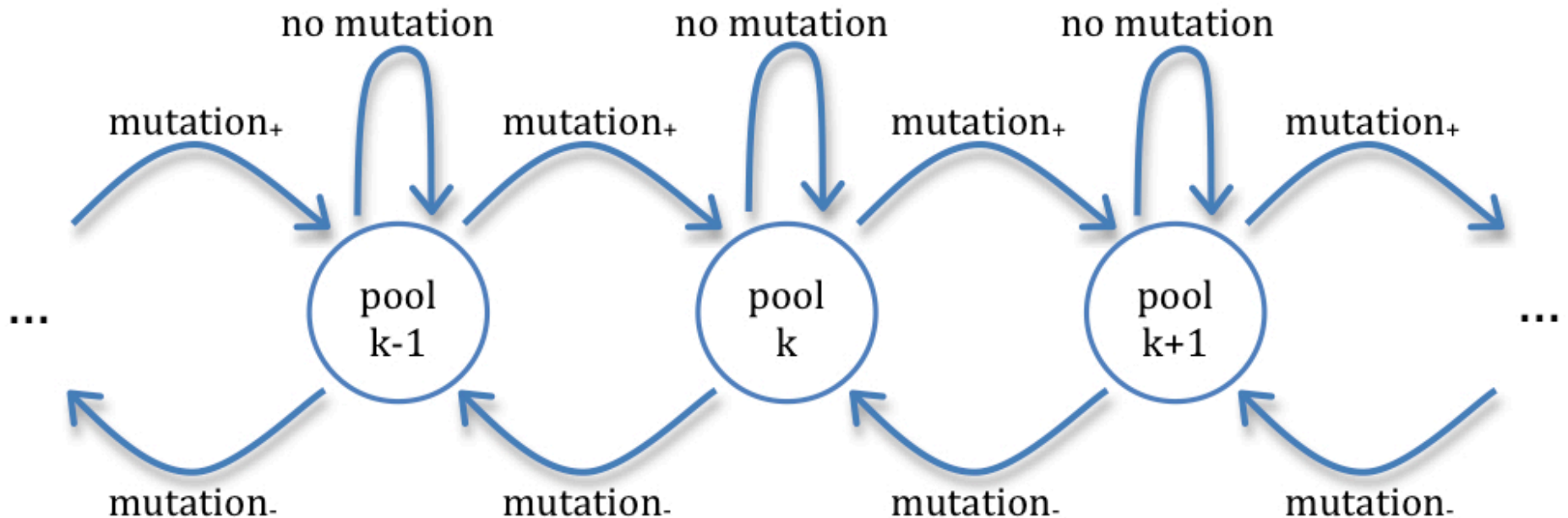


- Population evolution simulation
- Two types of mutations:
 - mutation₊ makes an MRA
 - mutation₋ nullifies a mutation₊
 - probabilities defined by mutation rates survey and sequence evolution model results
 - $P(\text{mutation}_+) < P(\text{mutation}_-)$ – many ways to nullify a mutation₊
- Confined to LUCAMammalia to Homo sapiens evolution because good estimates for earlier species model parameters not available
- Model sequence length < Homo sapiens effective sequence length
- Standard model length 200, scaled up where needed; other lengths also investigated

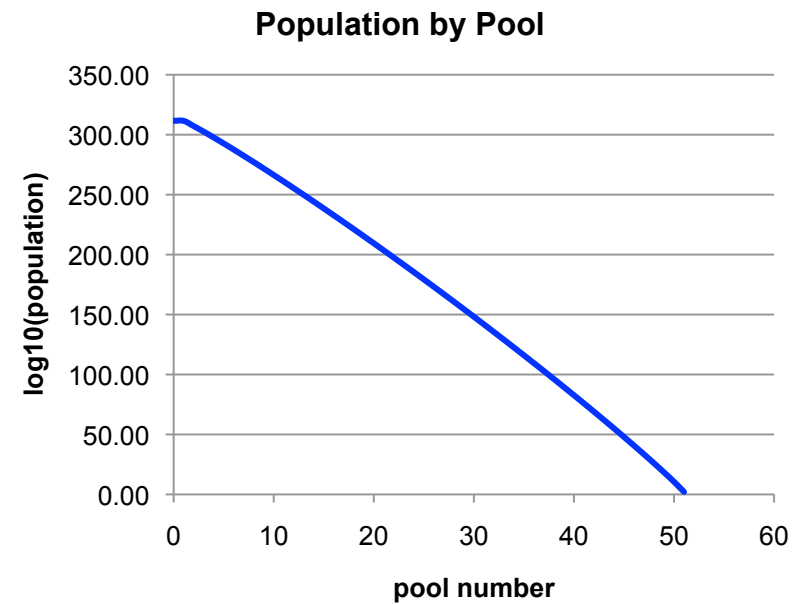
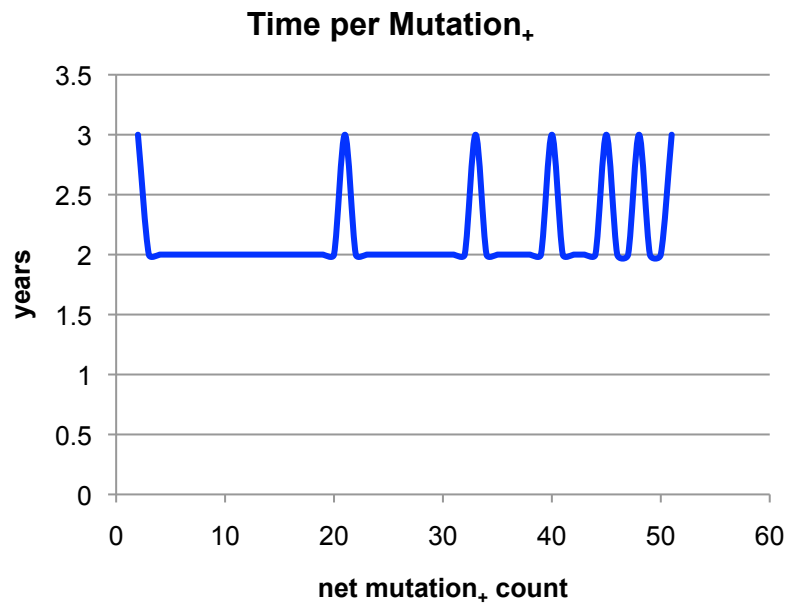
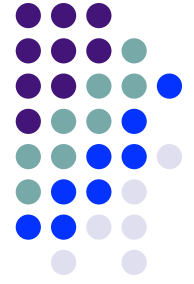
Population pools



- Pools numbered from 0 to n
- Pool _{k} contains individuals with k net mutation₊s
- Newborns have mutations based on empirical probabilities
- When pool n population ≥ 1 , model run complete
- Pools whose numbers are close are said to be similar

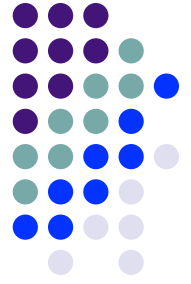


Population evolution model 0.1



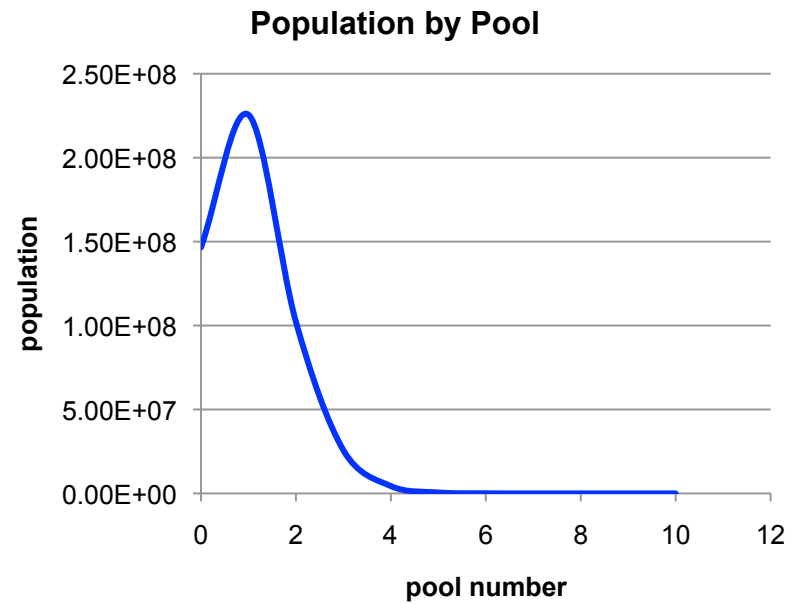
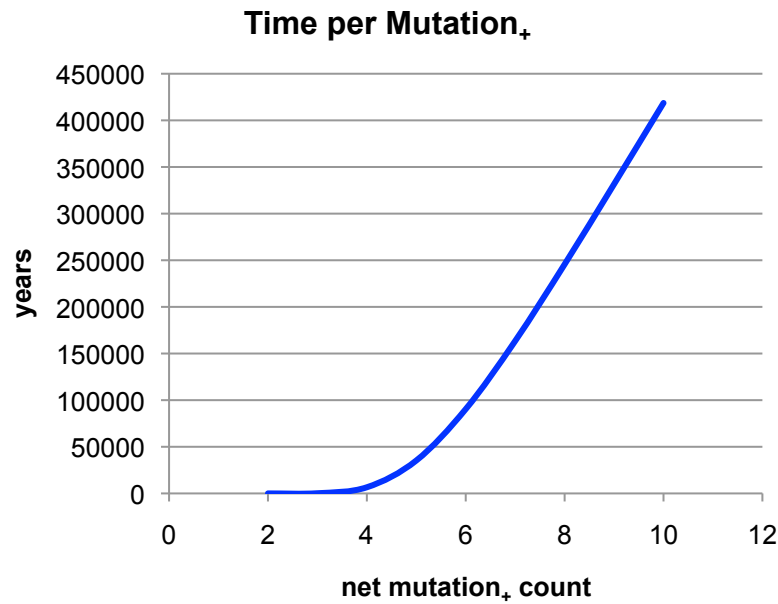
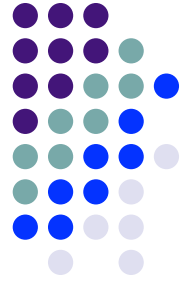
- Reasonable time/mutation₊
- Populations problematic

Carrying capacity



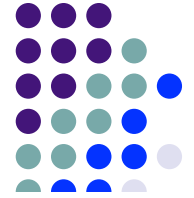
- Resources, competition, predation limit species population in an environment
- $g = \text{birthRate} - \text{deathRate}$
- $d\text{pop}/dt = g * \text{pop} * (1 - (\text{pop}/K))$, K carrying capacity
- pop approaches K , g approaches 0 and birthRate , deathRate approach each other
- $\text{birthRate} \neq 0$
- Used mean of mouse and human estimates

Population evolution model 0.2

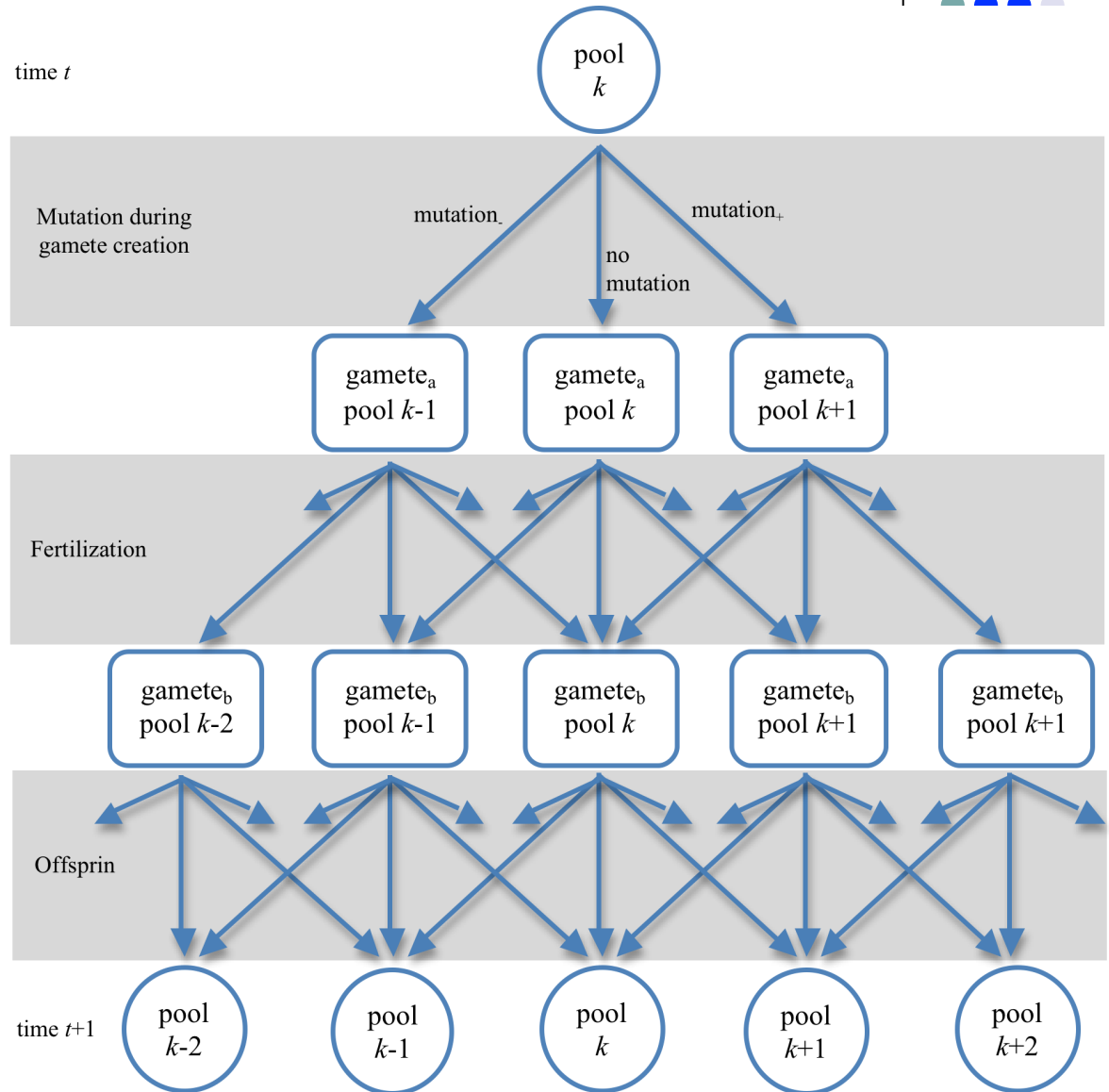


- Time/mutation₊ too long (model run terminated early)
- Populations reasonable

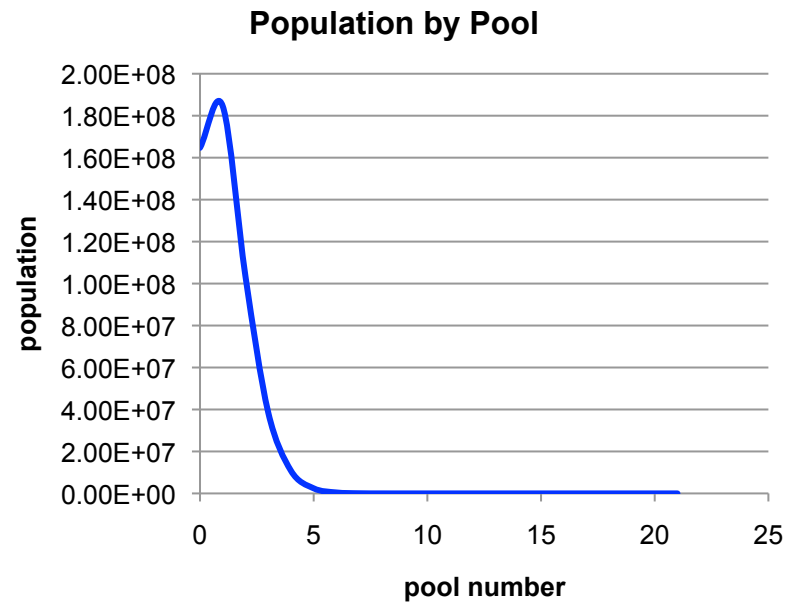
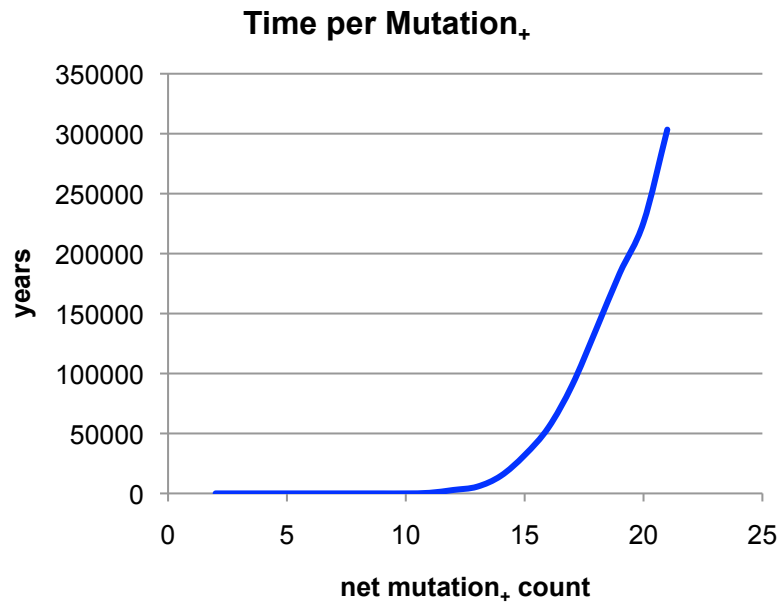
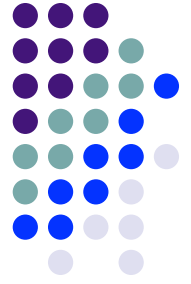
Sexual reproduction



- Two individuals from pool_k, pool_l have $(k+l)/n$ mutation₊s in common
- They have $(k+l)-(2*(k+l)/n)$ distinct mutation₊s
- Offspring inherit all common mutation₊s and a binomial distribution of distinct mutation₊s
- Zygotes placed in broader pool range than parents
 - parents pool₈, pool₉
 - zygotes pool₇ to pool₁₀ inclusive

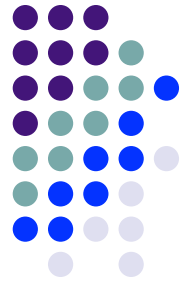


Population evolution model 0.3



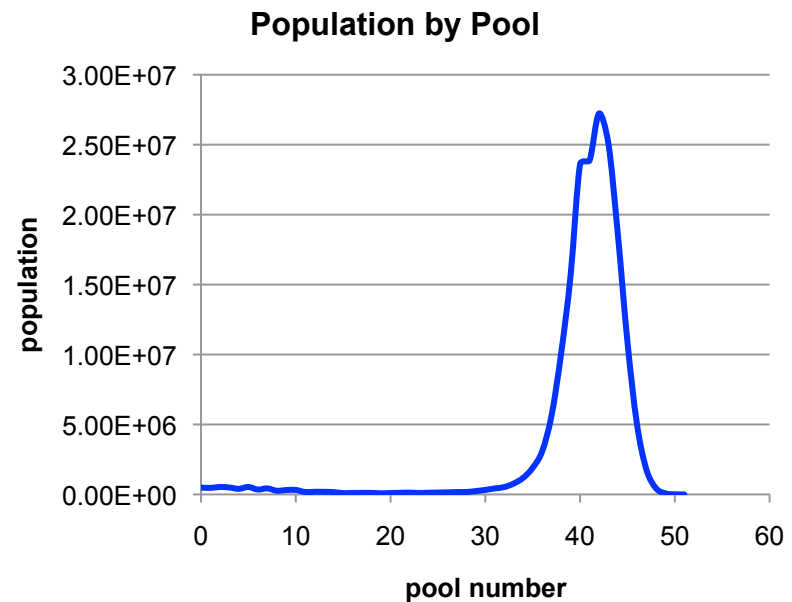
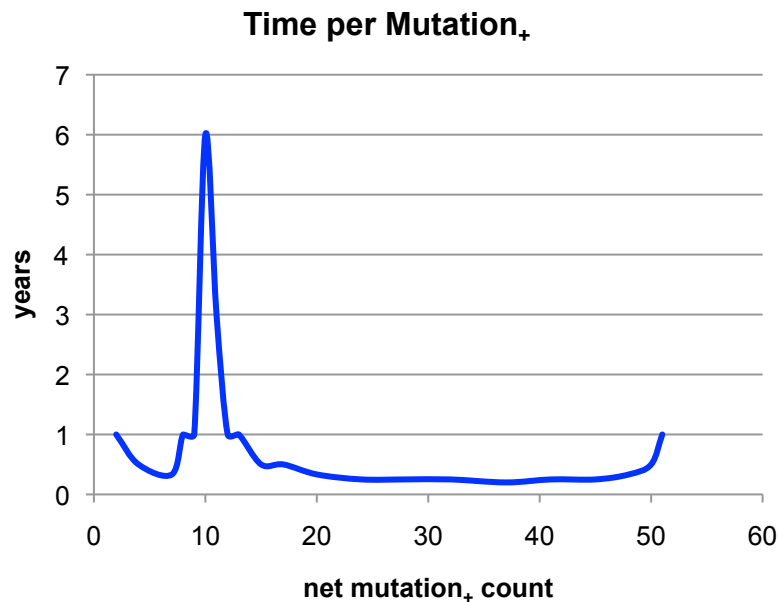
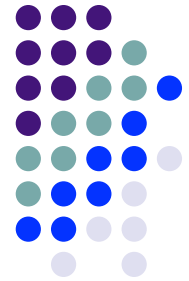
- Time/mutation₊ better but still too long

Fitness



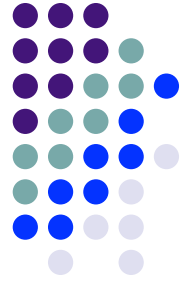
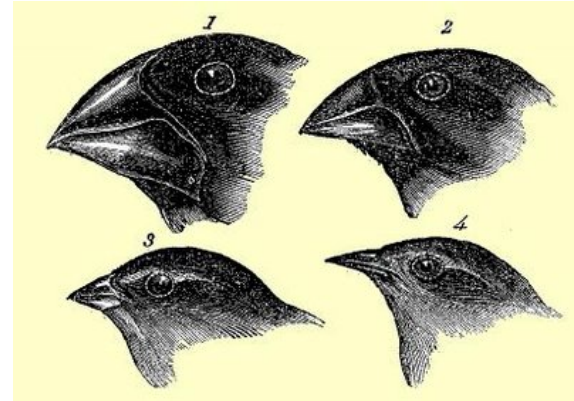
- Mutation₊s may confer some fitness advantage
- Most fit (highest pool) has fitness 1.0
- Less fit genotype i has relative fitness $1-s_i$ where s_i is the selection coefficient against genotype i compared to fittest
- Pool _{i} with less mutation₊s than $pool_{fittest}$ has birth rate reduced by $1-((fittest-i)*s)$ where s is selection coefficient for model

Population evolution model 0.4



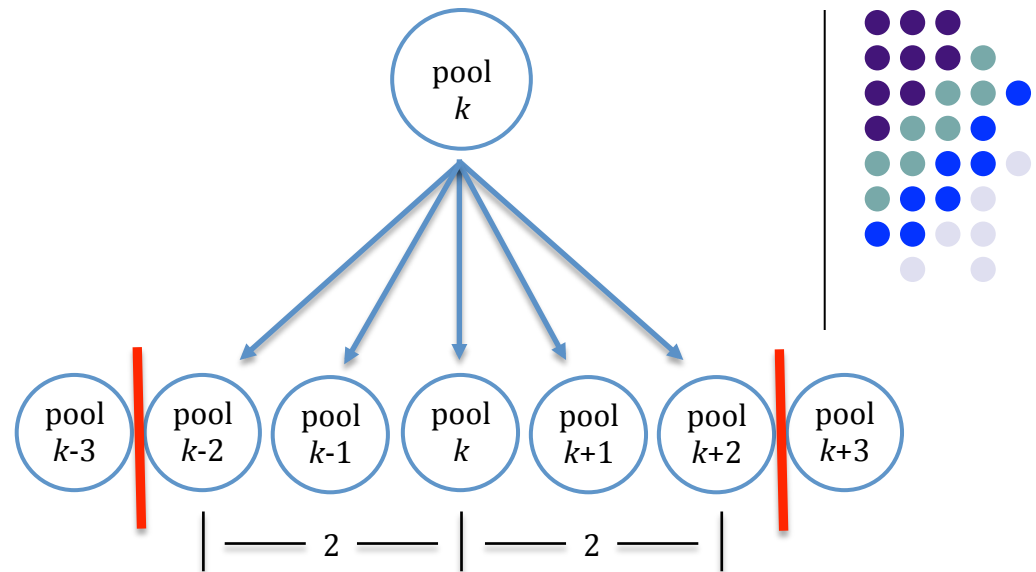
- Fitness selection coefficient 10%
- Time/mutation₊ good
- Selection coefficient unrealistically high
- Modest value of 1% more appropriate

Nonrandom mating



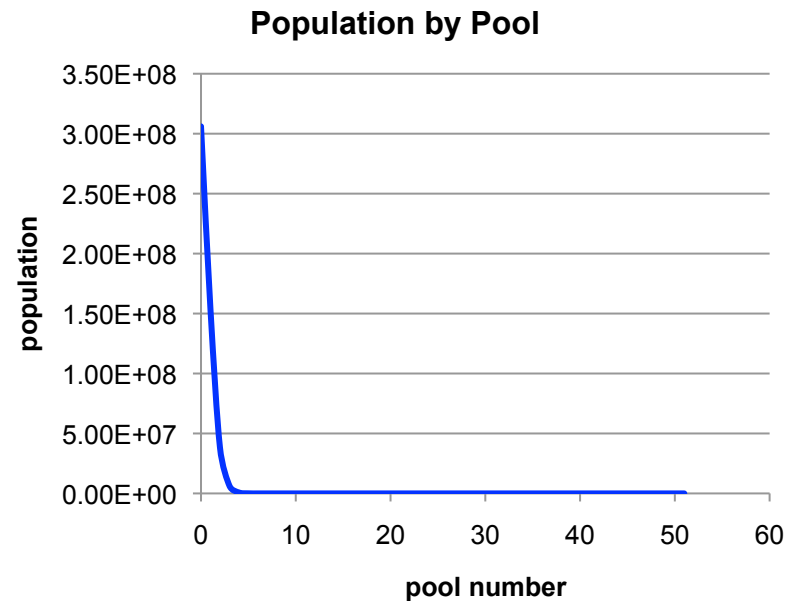
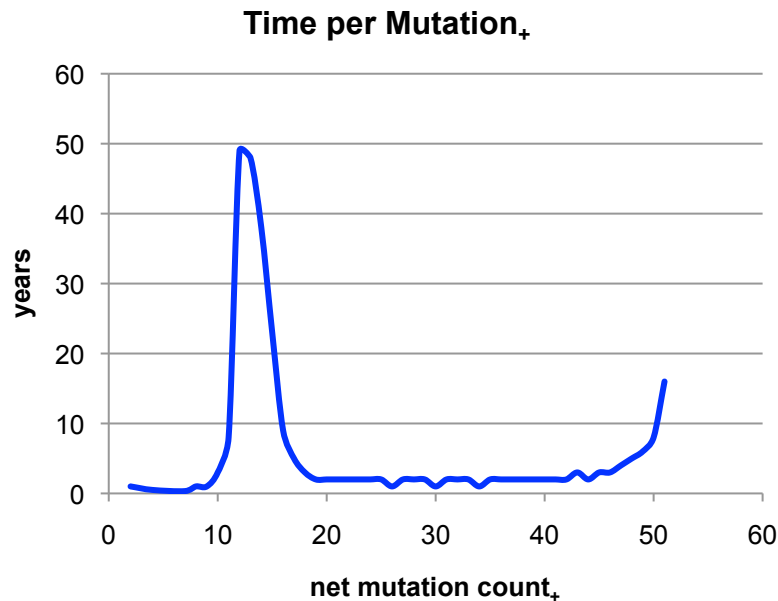
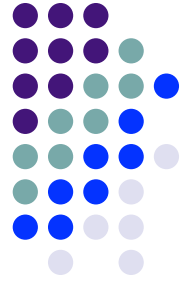
- Classic population models, e.g. Hardy-Weinberg, assume random mating – frequently inaccurate
- Speciation
 - many speciation events between LUCAMammalia and Homo sapiens
 - can't mate outside of species
 - model sequence length less than Homo sapiens sequence length – speciation implied at boundaries of model sequence length

Mating radius



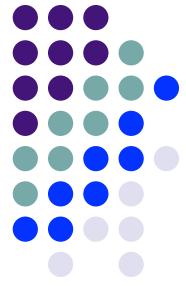
- Maximum difference in pool numbers that two mates can have
- With mating radius 2, pool_k members can mate with pool_{k-2} to pool_{k+2}
- Speciation limits mating radius
- Consider mates from pool_k and pool_l
 - Offspring go into pools with binomial distribution having peak at $(k+l)/2$; offspring go into pools similar to pool_k and pool_l
 - Mammals have small natal dispersal, so mate with individuals from similar pools, hence limited mating radius

Population evolution model 1.0



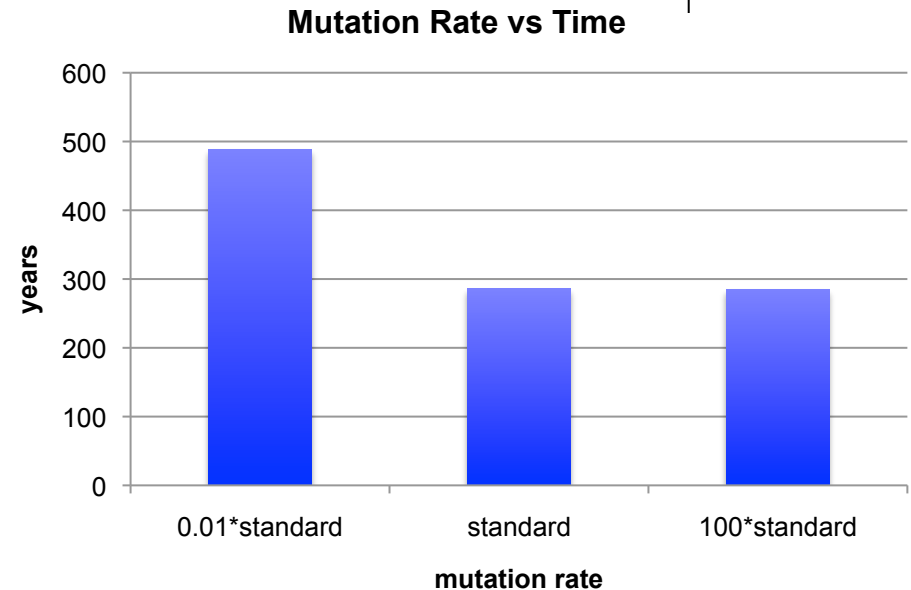
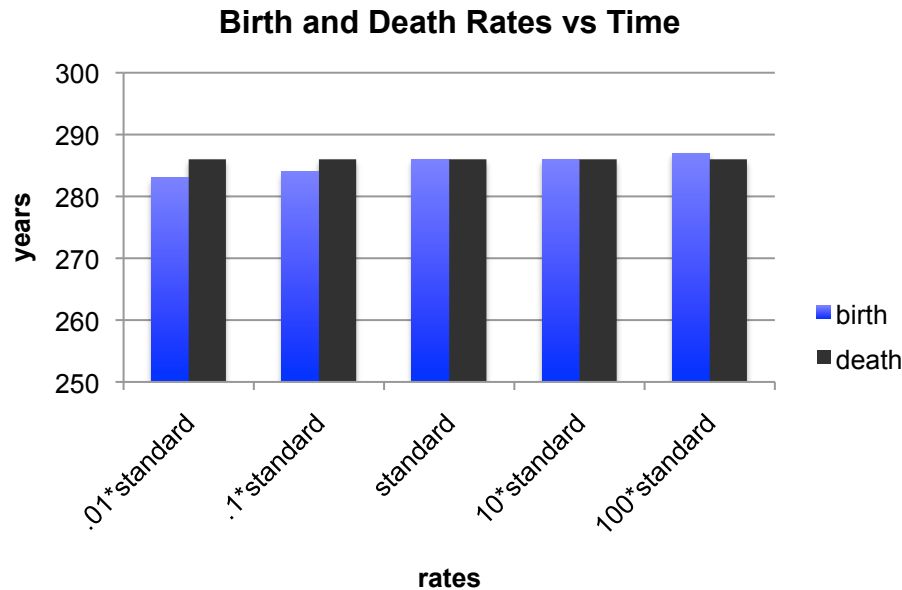
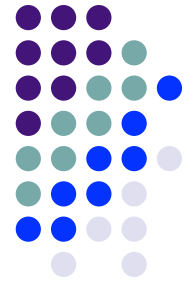
- Standard model has carrying capacity, sexual reproduction, selection coefficient 1%, mating radius 5
- Time/mutation₊, population both good

Evolution duration estimate



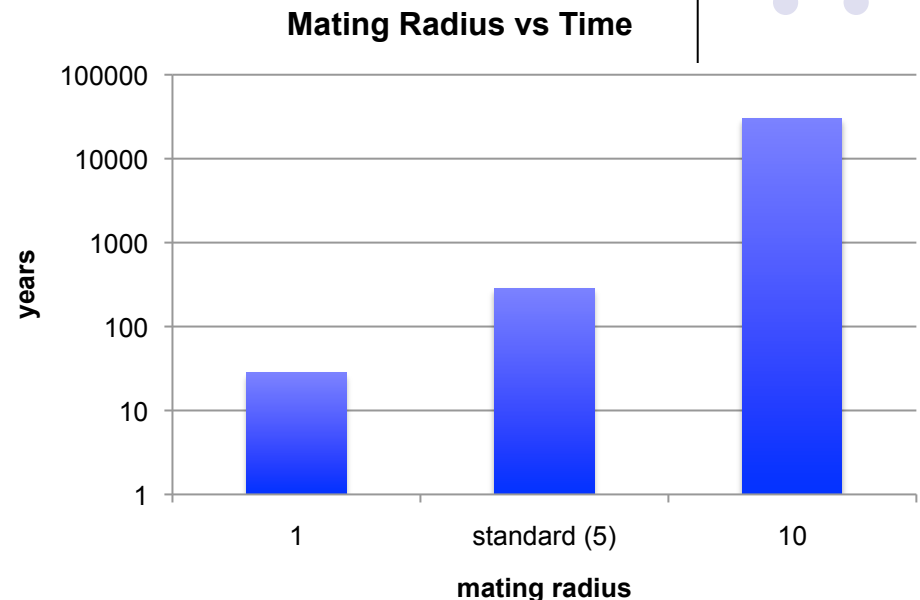
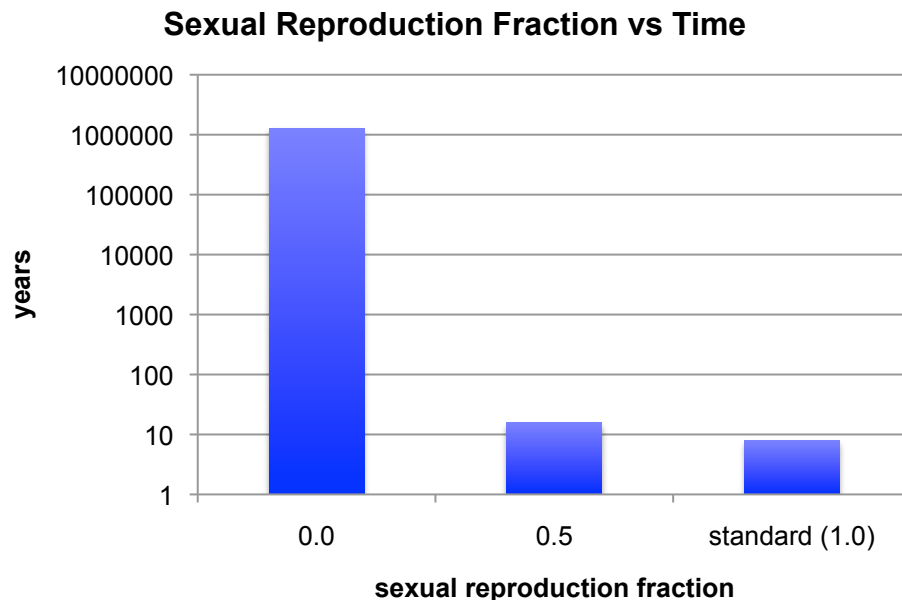
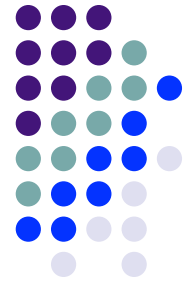
- Estimate for LUCAMammalia to Homo sapiens
- Using standard model with parameter values obtained from literature or otherwise estimated
- Model duration of 186 million years compares well with broadly accepted estimate of just over 200 million years
- Key question: was there enough time? Model demonstrates that there was
- Using other reasonable estimates for parameters, can obtain values from 0.5 million years to greater than age of universe

Inensitive population evolution parameters



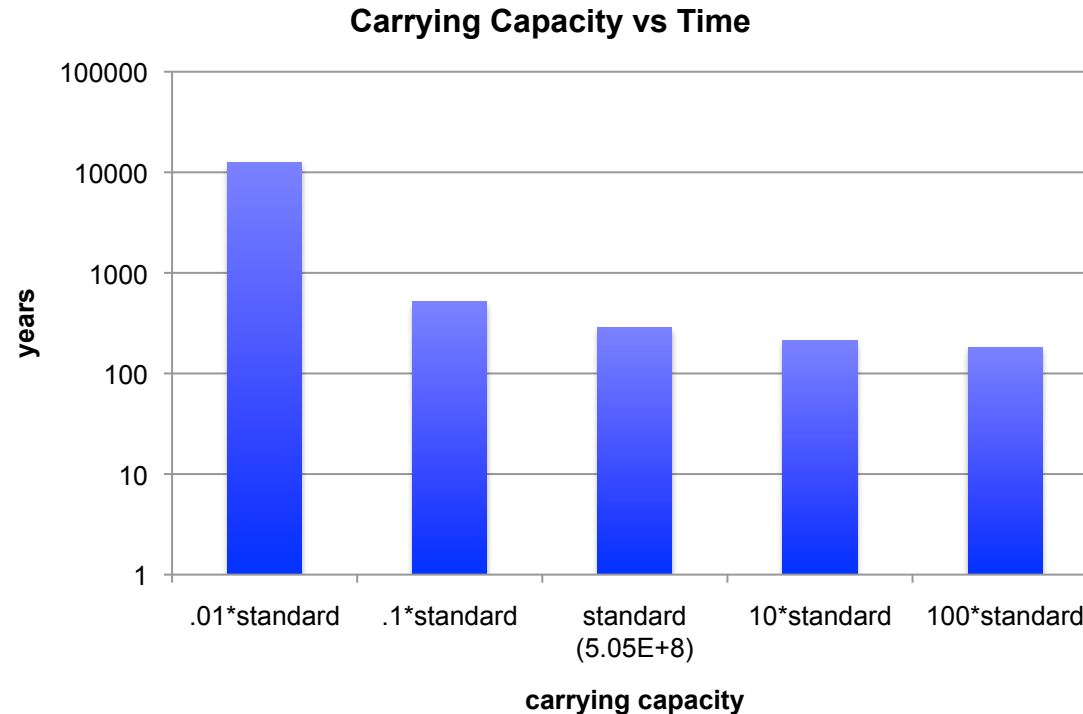
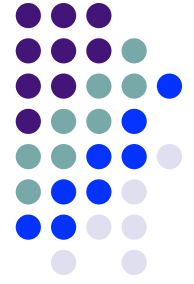
- Birth rate or death rate – very small change over 4 orders of magnitude
- Mutation rate – small change over 4 orders of magnitude

Top 4 population evolution parameters



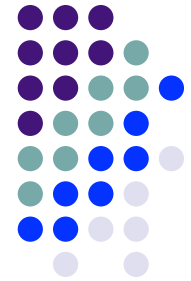
- Sexual reproduction and mating radius both have exponential effects with small changes in parameter values
 - sexual reproduction used model sequence length smaller than standard
- Prokaryote Horizontal Gene Transfer (HGT, absorbing DNA from environment) served same purpose as sexual reproduction
 - model consistent with recent results showing HGT common
- High mating radius sensitivity

Top 4 population evolution parameters

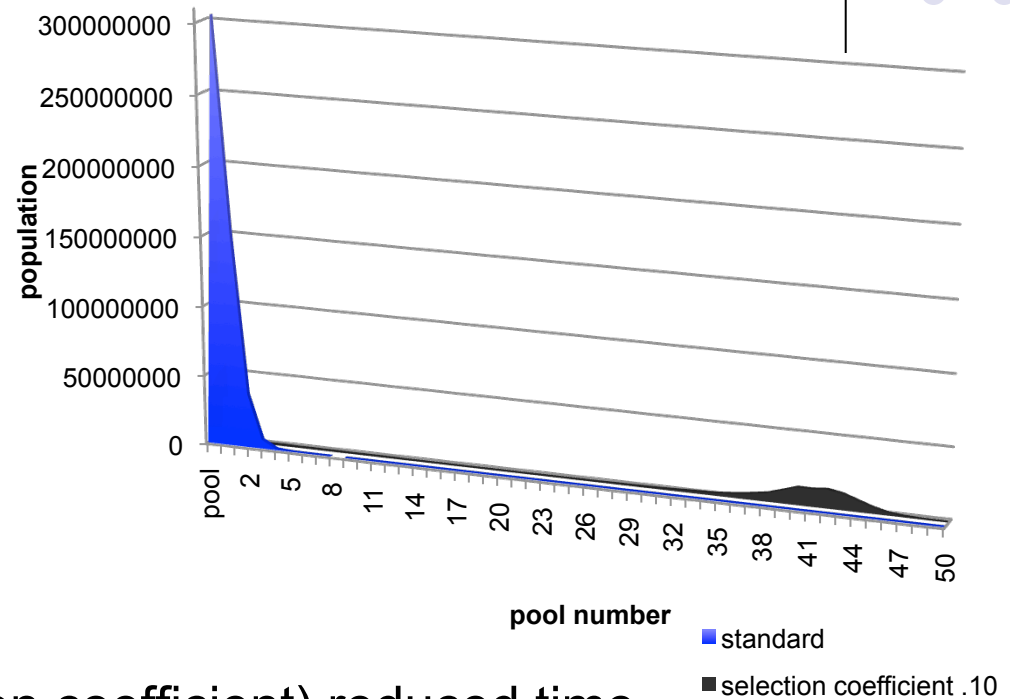
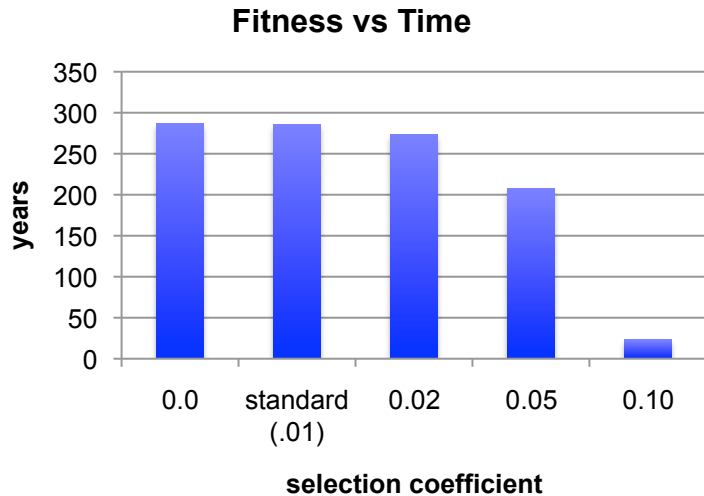


- Large reductions in carrying capacity increased time by a similar magnitude
- Large increases had modest effect

Top 4 population evolution parameters

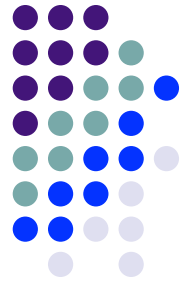


Population by Pool



- A very high fitness (selection coefficient) reduced time substantially
- It reduces the population of early pools, increasing that of later pools (show model runs)
- Fitness is the only one of the four parameters that asymmetrically favors progress

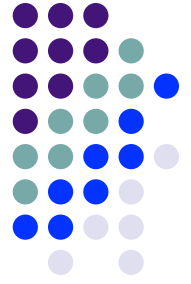
Fundamental population evolution

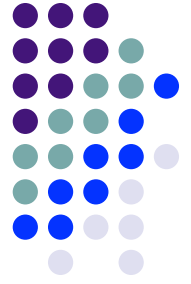


- Mutation₊s and mutation₋s occurred resulting in offspring in higher or lower pools, respectively
- Sexual reproduction produces zygotes in broader pool range than parents; mating radius limited lower-number pool offspring despite higher population
- Increased fitness (selection coefficient) slowed growth of, and ultimately reduced population of, lower-numbered pools; this resulted in increased population of higher-numbered pools
- By limiting how rapidly population pools could grow, carrying capacity slowed evolution to rates we observe in nature

Small population property

- When population \ll carrying capacity, any sequence produced in time linear to length, independent of other parameters
- This is the case when an individual microbe mutates to have antibiotic resistance
- While conferring advantage, resistance also carries fitness cost, mitigated by subsequent evolution; speculate this is due to small population property

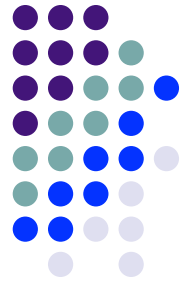




Fitness

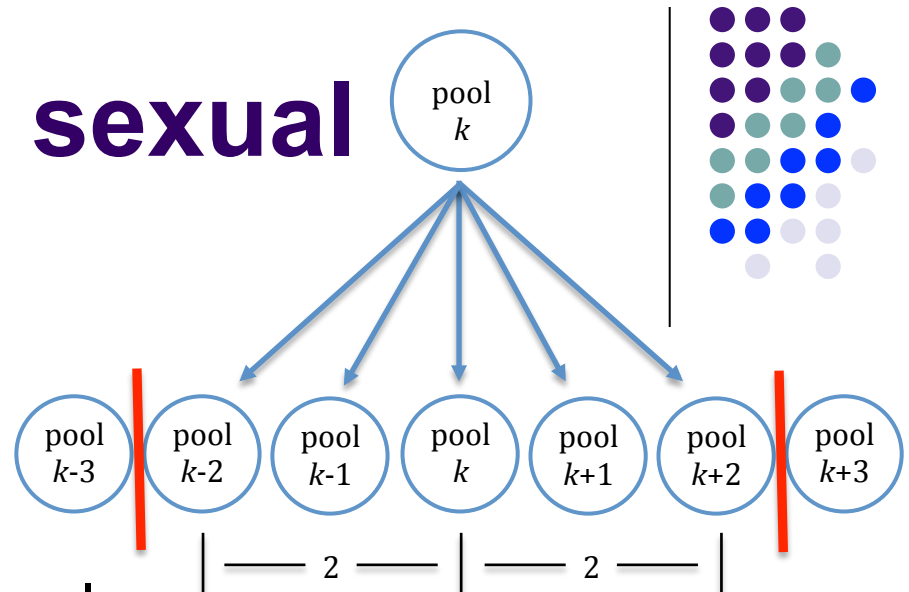
- Fitness is only parameter that is not symmetric
 - selection coefficient > 0 benefits higher-numbered pools
- Fitness effect not required for expected evolution duration
 - mean selection coefficient = 0 is sufficient
- Large fitness effect substantially reduces evolution time

Speciation ratchet



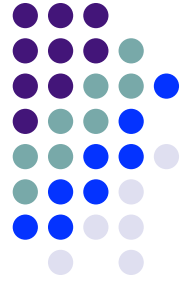
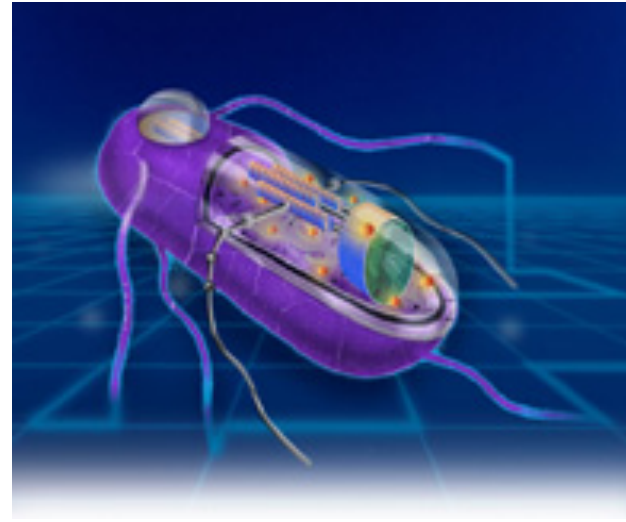
- Speciation prevents mutation_s from regression due to sexual reproduction
- Individuals in new species can't mate with lower-numbered pools as they are different species
- Does not prevent regression due to mutation_s

Mating radius and sexual attraction



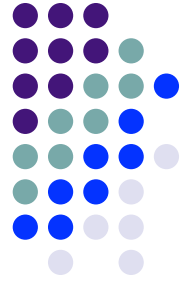
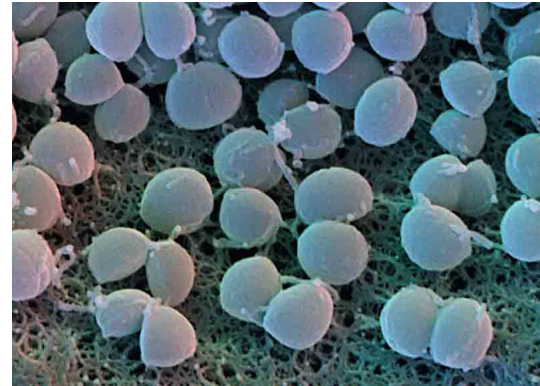
- Radius limited by:
 - must be same species
 - low natal dispersion for mammals
- Sexual attraction may serve to limit mating radius
 - not too different (must be same species)
 - not too similar (otherwise subject to inbreeding issues)
 - Mating with an individual from similar pool provides these characteristics
- Speculation: advantage of limited mating radius partial cause of some human biases such as xenophobia

Application: Synthetic Biology



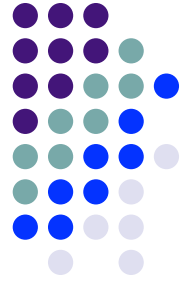
- Create synthetic organisms with valuable properties, e.g. produce biofuel
- Stability requirement
- Can predict time to loss of property using sequence and population model
- Initial recommendations for high stability:
 - make valuable property resistant to SNPs
 - preclude horizontal gene transfer

Application: pathogen evolution



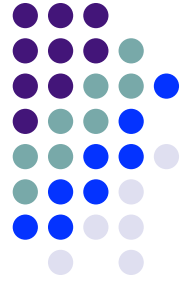
- Pathogens evolve resistance to drugs (or vaccines)
- Using protein structural prediction or empirical data, determine what pathogen mutation(s) confer resistance to a drug
- Using sequence and population models, predict expected time to resistance emergence
- Use models to determine means to postpone resistance

Future work



- In vivo: determine carrying capacity, fitness, and mating radius values in nature
- In vitro: measure more mutation values, especially inversion rates and lengths
- In silico:
 - complete LUCA and other reference species genome reconstructions
 - apply sequence evolution model to entire reference species genomes
 - confirm or refute universal source sequence hypothesis
 - implement fully multithreaded population model and run it on long model sequence lengths, simulating long periods between speciation events
 - model complete LUCA to Homo sapiens evolution
 - determine heterozygosity effects during population evolution

Thanks for the support



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