## Logical Interpretation

## Static Program Analysis Using Theorem Proving

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Ideas partly contributed by all my collaborators

## The Problem

Complex Systems: How to

- understand ?
- design ?


## Examples:

- living cell, drug action
- software systems
- embedded systems
- cyber physical systems


## The Only Way We Know

Using formal mathematical models

Explored and analyzed using
Automated Deduction?

Flashback: Use of deduction technology as Embedded Logical Engines Resulted in SMT approaches

## What We Now Need: Part I

Evidence: Embed the technology in tools

- Embedded System Design Tools: Matlab Simulink/Stateflow
- Software Development Tools
- Drug Design Tools
- Medical Devices
- 


## What We Now Need: Part II

Next Generation Automated Deduction Engine: Requirements-

| Attributes | Why | Modern SMT Solvers |
| :--- | :--- | :--- |
| speed | embedded use | yes |
| support for theories | symbols have meaning | yes |
| interface | embedded use | lacking |
| beyond satisfiability | need more | no |
| reduced expressiveness |  | partly |
| stochastic reasoning |  | no |

## Evidence

Some case studies:

| Application | Formalism | Core Technology | Example |
| :--- | :--- | :--- | :--- |
| Embedded Sys. | Hybrid Systems | Th. of Reals | Transmission, <br> Powertrain |
| Systems Bio. | Discrete Sys. | SAT/MaxSAT | Cell Signalling |
| Medical Devices | Continuous Sys. | Linear Arith. | Insulin Control |
| Software Verif. | C programs | --- | Benchmarks, <br> Code Fragments |

## Outline of the Talk

Part I. Over-approximating $V$
Part II. Over-approximating $\vee$ in a combination of theories
Part III. Approximating $\vee, \wedge, \exists, \forall$
Part IV. Theory Anyone?

## Example

$$
\begin{aligned}
& 1 \mathrm{x}:=0 ; \mathrm{y}:=0 ; \mathrm{z}:=\mathrm{n} \text {; } \\
& 2 \text { while (*) \{ } \\
& 3 \text { if (*) \{ } \\
& 4 \mathrm{x}:=\mathrm{x}+1 \text {; } \\
& 5 \quad z \quad:=z-1 \text {; } \\
& 6\} \text { else }\{ \\
& 7 \quad y:=y+1 \text {; } \\
& 8 \quad \mathrm{z}:=\mathrm{z}-1 \text {; } \\
& 9\} \\
& 10\}
\end{aligned}
$$

## Traditional Approach: Annotate \& Check

```
l x := 0; y := 0; z := n;
    [ z - x - y == n ]
2 while (*) {
3 if (*) {
4 x := x+1;
5 z := z-1;
        [ z - x - y == n ]
6 } else {
7 y := y+1;
8 z := z-1;
        [ z - x - y == n ]
9 }
10 }
```


## Traditional Approach: Annotate \& Check

Proof obligation generated:

$$
\begin{aligned}
z-x-y=n \wedge x^{\prime}=x+1 \wedge z^{\prime}=z-1 \wedge & y^{\prime}=y \\
& \stackrel{\mathbb{T}}{\Rightarrow} z^{\prime}-x^{\prime}-y^{\prime}=n \\
z-x-y=n \wedge y^{\prime}=y+1 \wedge z^{\prime}=z-1 \wedge & x^{\prime}=x \\
& \stackrel{\mathbb{T}}{\Rightarrow} z^{\prime}-x^{\prime}-y^{\prime}=n
\end{aligned}
$$

The theory $\mathbb{T}$ determined by semantics of the programming language.

## Example: Abstract Interpretation

Ashish Tiwari, SRI

## Example: Abstract Interpretation

$$
(x=1 \wedge y=0 \wedge z=n-1) \vee(x=0 \wedge y=1 \wedge z=n-1)
$$

Suppose we do not have $V$ in our language
We can only represent conjunctions of atomic facts
We need to overapproximate
We need to find a conjunction of atomic formulas that is implied by both $x=1 \wedge y=0 \wedge z=n-1$ and $x=0 \wedge y=1 \wedge z=n-1$

What is such a fact? $\quad x+y=1 \wedge z=n-1$

## Example: Abstract Interpretation

[ true ]
$1 \mathrm{x}:=0 ; \mathrm{y}:=0 ; \mathrm{z}:=\mathrm{n}$;
$[x=0 \wedge y=0 \wedge z=n \quad]$
2 while (*) \{

$$
[(x=0 \wedge y=0 \wedge z=n) \vee(x+y=1 \wedge z=n-1)]
$$

3 if $(*)\{$
$4 \quad \mathrm{x}:=\mathrm{x}+1$;
$5 \quad \mathrm{z}:=\mathrm{z}-1 ; \quad[(x=1 \wedge y=0 \wedge z=n-1)]$
$6\}$ else $\{$
$7 \quad \mathrm{Y}:=\mathrm{y}+1$;
$8 \quad \mathrm{z} \quad:=\mathrm{z}-1 ; \quad[\quad(x=0 \wedge y=1 \wedge z=n-1) \quad]$
$9\}$
$[(x+y=1 \wedge z=n-1)]$
$10\}$

Hence, we need to over-approximate

$$
\begin{gathered}
((x+y=1 \wedge z=n-1) \vee x=0 \wedge y=0 \wedge z=n) \\
(x+y=1 \wedge z=n-1) \quad \stackrel{\mathbb{T}}{\Rightarrow} z+x+y=n \\
(x=0 \wedge y=0 \wedge z=n) \quad \stackrel{\mathbb{T}}{\Rightarrow} z+x+y=n
\end{gathered}
$$

This is exactly the invariant we had annotated by hand.

## Logical Interpretation

Abstract Interpretation over logical lattices

Lattices defined by
elements : some subset of formulas in $\mathbb{T}$ closed under $\wedge$
partial order : some subset of $\stackrel{\mathbb{T}}{\Rightarrow}$

A common class is strictly logical lattices:
elements : conjunction $\phi$ of atomic formulas in $T h$
partial order : $\quad \phi \sqsubseteq \phi^{\prime}$ if $T h \models \phi \Rightarrow \phi^{\prime}$

In any logical lattice

$$
\begin{array}{lll}
\text { meet } \sqcap & \mapsto & \text { (over-approximation of) logical and } \wedge(\lceil\wedge\rceil) \\
\text { join } \sqcup & \mapsto & \text { over-approximation of logical or }\lceil\vee\rceil \\
\text { partial order } \sqsubseteq & \mapsto & \text { under-approximation of logical implies }\lfloor\Rightarrow\rfloor \\
\text { projection } & \mapsto & \text { over-approximation of logical exists }\lceil\exists\rceil
\end{array}
$$

In strictly logical lattices:

$$
\begin{array}{lll}
\text { meet } \sqcap & \mapsto & \wedge \\
\text { join } \sqcup & \mapsto & \phi_{1}\lceil\bigvee\rceil \phi_{2} \text { is the strongest } \phi \in \Phi \text { s.t. } \phi_{i} \stackrel{\mathbb{T}}{\Rightarrow} \phi \text { for } i=1,2 \\
\text { partial order } \sqsubseteq & \mapsto & \mathbb{T} \\
\text { projection } & \mapsto & \lceil\exists\rceil U . \phi \text { is the strongest } \phi^{\prime} \in \Phi \text { s.t. }(\exists U . \phi) \stackrel{\mathbb{T}}{\Rightarrow} \phi^{\prime}
\end{array}
$$

Challenge: For what domains can we efficiently compute these operations?

## Over-Approximation of $\vee$ : Examples

- Linear arithmetic with equality (Karr 1976)

Eg. $\{x=0, y=1\}\lceil\vee\rceil\{x=1, y=0\}=\{(x+y=1)\}$

- Linear arithmetic with inequalities (Cousot and Halbwachs 1978)

Eg. $\{x=0\}\lceil\vee\rceil\{x=1\}=\{0 \leq x, x \leq 1\}$

- Nonlinear equations (polynomials) (Rodriguez-Carbonell and Kapur 2004) Eg. $\{x=0\}\lceil\vee\rceil\{x=1\}=\{x(x-1)=0\}$
- Term Algebra (Gulwani, T. and Necula 2004)

Eg. $\{x=a, y=f(a)\}\lceil\vee\rceil\{x=b, y=f(b)\}=\{y=f(x)\}$

## UFS does not define a logical lattice

The join of two finite sets of facts need not be finitely presented. [Gulwani, T. and Necula 2004]

$$
\begin{aligned}
\phi_{1} & \equiv\{a=b\} \\
\phi_{2} & \equiv\{f a=a, f b=b, g a=g b\} \\
\phi_{1}\lceil\vee\rceil \phi_{2} & \equiv \bigwedge_{i} g f^{i} a=g f^{i} b
\end{aligned}
$$

The formula $\bigwedge_{i} g f^{i} a=g f^{i} b$ can not be represented by finite set of ground equations.

Proof. It induces infinitely many congruence classes with more than one signature.

## Part II. Over-Approximation in Union of Theories

## Combining Logical Interpreters: Motivation

| $\mathrm{x}:=0 ; \mathrm{y}:=0 ;$ | $\mathrm{x}:=\mathrm{c} ; \mathrm{y}:=\mathrm{c} ;$ | $\mathrm{x}:=0 ; \mathrm{y}:=0 ;$ |
| :--- | :--- | :--- |
| $\mathrm{u}:=0 ; \mathrm{v}:=0 ;$ | $\mathrm{u}:=\mathrm{c} ; \mathrm{v}:=\mathrm{c} ;$ | $\mathrm{u}:=0 ; \mathrm{v}:=0 ;$ |
| while $(*)\{$ | while $(*)\{$ | while $\left(^{*}\right)\{$ |
| $\mathrm{x}:=\mathrm{u}+1 ;$ | $\mathrm{x}:=\mathrm{G}(\mathrm{u}, 1) ;$ | $\mathrm{x}:=\mathrm{u}+1 ;$ |
| $\mathrm{y}:=1+\mathrm{v} ;$ | $\mathrm{y}:=\mathrm{G}(1, \mathrm{v}) ;$ | $\mathrm{y}:=1+\mathrm{v} ;$ |
| $\mathrm{u}:=\mathrm{F}(\mathrm{x}) ;$ | $\mathrm{u}:=\mathrm{F}(\mathrm{x}) ;$ | $\mathrm{u}:=* ;$ |
| $\mathrm{v}:=\mathrm{F}(\mathrm{y}) ;$ | $\mathrm{v}:=\mathrm{F}(\mathrm{y}) ;$ | $\mathrm{v}:=* ;$ |
| $\}$ | $\}$ |  |
| assert $(\mathrm{x}=\mathrm{y})$ | assert $(\mathrm{x}=\mathrm{y})$ | assert( $\mathrm{x}=\mathrm{y})$ |
| $\Sigma=\Sigma_{L A} \cup \Sigma_{U F S}$ | $\Sigma=\Sigma_{U F S}$ | $\Sigma=\Sigma_{L A}$ |
| $T h=T h_{L A}+T h_{U F S}$ | $T h=T h_{U F S}$ | $T h=T h_{L A}$ |

## Combining Logical Interpreters

Combining abstract interpreters is not easy [Cousot76]

For combining logical interpreters (over strictly logical lattices), we need to combine:

- $\lceil V\rceil$
- $\lceil\exists\rceil$
- $\stackrel{\mathbb{T}}{\Rightarrow}$

Bad Example:

$$
\begin{aligned}
& (x=0 \wedge y=1) \sqcup(x=1 \wedge y=0) \\
& \quad=x+y=1 \wedge C[x]+C[y]=C[0]+C[1]
\end{aligned}
$$

## Logical Product

Given two logical lattices, we define the logical product as:
elements : conjunction $\phi$ of atomic formulas in $T h_{1} \cup T h_{2}$
$E \sqsubseteq E^{\prime} \quad: \quad E \Rightarrow_{T h_{1} \cup T h_{2}} E^{\prime}$ and AlienTerms $\left(E^{\prime}\right) \subseteq \operatorname{Terms}(E)$

AlienTerms $(E)=$ subterms in $E$ that belong to different theory
$\operatorname{Terms}(E) \quad=\quad$ all subterms in $E$, plus all terms equivalent to these subterms (in $T h_{1} \cup T h_{2} \cup E$ )

Eg. $\{x=F(a+1), y=a\} \sqcup\{x=F(b+1), y=b\}=\{x=F(y+1)\} \because$

$$
\begin{aligned}
x=F(a+1) \wedge y=a & \Rightarrow x=F(y+1) \\
x=F(b+1) \wedge y=b & \Rightarrow x=F(y+1) \\
x=F(\underline{a+1}) \wedge y=a & \Rightarrow y+1=\underline{a+1} \\
x=F(\underline{b+1}) \wedge y=b & \Rightarrow y+1=\underline{b+1}
\end{aligned}
$$

## Combining the Preorder Test

Combining satisfiability procedures

Nelson-Oppen combination method

## Combining Join Operator

Given procedures:

$$
\begin{array}{lll}
\lceil\vee\rceil_{L_{1}}\left(E_{l}, E_{r}\right) & : & \text { Computes } E_{l}\lceil\vee\rceil E_{r} \text { in lattice } L_{1} \\
\lceil\vee\rceil_{L_{2}}\left(E_{l}, E_{r}\right) & : & \text { Computes } E_{l}\lceil\vee\rceil E_{r} \text { in lattice } L_{2}
\end{array}
$$

We wish to compute $E_{l}\lceil\vee\rceil E_{r}$ in the logical product $L_{1} * L_{2}$

Example.

$$
\{z=a+1, y=f(a)\}\lceil\vee\rceil\{z=b-1, y=f(b)\} \quad=\quad\{y=f(1+z)\}
$$

## Combining Join Operators

$$
z=a-1, y=f(a)
$$

$$
z=b-1, y=f(b)
$$

$$
\begin{aligned}
& \text { Purify+NOSat } \quad z=a-1 \quad y=f(a) \quad z=b-1 \quad y=f(b) \\
& \text { LR-Exchange } \\
& a=\langle a, b\rangle \quad a=\langle a, b\rangle \\
& b=\langle a, b\rangle \quad b=\langle a, b\rangle \\
& \text { Base Joins } \\
& \text { Join }_{L A} \\
& \text { Join }_{U F} \\
& \langle a, b\rangle=1+z \\
& y=f(\langle a, b\rangle) \\
& \text { Quant Elim } \\
& Q E_{U F * L A} \\
& \text { Return } \\
& y=f(1+z)
\end{aligned}
$$

## Existential Quantification Operator

Required to compute transfer function for assignments
$E=\lceil\exists\rceil_{L}\left(E^{\prime}, V\right)$ if $E$ is the least element in lattice $L$ s.t.

- $E^{\prime} \sqsubseteq_{L} E$
- $\operatorname{Vars}(E) \cap V=\emptyset$

Examples:

- $\lceil\exists\rceil_{L A} a:(x<a \wedge a<y)=(x \leq y)$
- $\lceil\exists\rceil_{U F} a:(x=f(a) \wedge y=f(f(a)))=(y=f(x))$
- $\lceil\exists\rceil_{L A * U F} a, b, c:(a<b<y \wedge z=c+1 \wedge a=f f b \wedge c=f b)=$ $(f(z-1) \leq y)$

How to construct $\lceil\exists\rceil_{L A * U F}$ using $\lceil\exists\rceil_{L A}$ and $\lceil\exists\rceil_{U F}$ ?

## Combining QE Operators

Problem

$$
a<b<y, z=c+1, a=f f b, c=f b \quad\{a, b, c\}
$$

Purify+NOSat

$$
a<b<y, z=c+1 \quad a=f f b, c=f b
$$

QSat
$\rightarrow \quad c \mapsto z-1$
QSat
$a \mapsto f c$
$\leftarrow$

Base QEs

$$
Q E_{L A}
$$

$$
Q E_{U F}
$$

$$
a \leq y, z=c+1
$$

$$
a=f c
$$

Substitute

$$
c \mapsto z-1, a \mapsto f c
$$

Return

$$
f(z-1) \leq y
$$

## Part III. Approximating $\vee, \wedge, \exists, \forall$

## Quantified Abstract Domain

$$
\begin{aligned}
& \text { array-init }(A, n) \\
& 1 \text { for }(i=0 ; i<n \text {; } i++)\{ \\
& 2 \quad A[i]=0 \\
& 3 \text { \} } \\
& {[\forall k(0 \leq k<n \Rightarrow \mathbb{A}[k]=0)]}
\end{aligned}
$$

## Array Initialization

$$
\left.\begin{array}{l}
\text { array-init }(A, n) \\
1 \text { for }(i=0 ; i<n ; \text { i++) }\{ \\
\\
\quad(i=1 \wedge A[0]=0) \vee(i=2 \wedge A[0]=0 \wedge A[1]=0) \\
2
\end{array} \quad A[i]=0\right)
$$

Let us write it out as a quantified fact.

## Array Initialization

$$
\begin{aligned}
& \begin{array}{l}
\text { array-init }(A, n) \\
1
\end{array} \text { for } \begin{array}{rl} 
& (i=0 ; i<n ; \text { i++ })\{ \\
& \\
& (i=1 \wedge \forall k(k=0 \Rightarrow A[k]=0)) \vee \\
& (i=2 \wedge \forall k(k=0 \Rightarrow A[k]=0) \wedge \forall k(k=1 \Rightarrow A[k]=0)) \\
2 & A[i]=0
\end{array}
\end{aligned}
$$

Too many quantified facts...let us merge them into one.

$$
i=2 \wedge \forall k(---\Rightarrow A[k]=0)
$$

_-_- should be $k=0\lfloor\bigvee\rfloor k=1$ :

$$
0 \leq k \leq 1 \Rightarrow(k=0 \vee k=1)
$$

## Array Initialization

$$
\begin{aligned}
& \text { array-init }(A, n) \\
& 1 \text { for }(i=0 ; i<n \text {; i++) }\{ \\
& i=1 \wedge \forall k(k=0 \Rightarrow A[k]=0) \vee \\
& i=2 \wedge \forall k(0 \leq k<2 \Rightarrow A[k]=0) \\
& 2 \quad A[i]=0 \\
& 3\}
\end{aligned}
$$

Now we need to join two quantified facts.

## Array Initialization

$$
\begin{array}{lcl}
i=1 & \lceil\mathrm{~V}\rceil & i=2 \\
\forall k(k=0 \Rightarrow A[k]=0) & & \forall k(0 \leq k<2 \Rightarrow A[k]=0) \\
1 \leq i \leq 2 & \\
& \forall k(---) \Rightarrow A[k]=0) &
\end{array}
$$

Obviously, _-_ should be $k=0\lfloor\wedge\rfloor 0 \leq k<2$.
$k=0$ is no good.

## Array Initialization

$$
\begin{aligned}
& i=1 \\
& \forall k(k=0 \Rightarrow A[k]=0)
\end{aligned}
$$

$$
\begin{array}{cl}
\lceil\vee\rceil & i=2 \\
& \forall k(0 \leq k<2 \Rightarrow A[k]=0) \\
1 \leq i \leq 2 & \\
\forall k(----A[k]=0) &
\end{array}
$$

Hmmm, _---- should be

$$
i=1 \Rightarrow k=0\lfloor\wedge\rfloor i=2 \Rightarrow 0 \leq k<2
$$

Let us see if the answer satisfies this.

$$
0 \leq k<i \Rightarrow(i=1 \Rightarrow k=0 \wedge i=2 \Rightarrow 0 \leq k<2)
$$

## The Quantified Domain

$$
E \wedge \bigwedge_{i} \forall U_{i}\left(F_{i} \Rightarrow e_{i}\right)
$$

## The Interface

$$
\begin{array}{ll}
\text { Function } & \text { Description } \\
\hline E_{1}\lceil\vee\rceil E_{2} & \text { join of } E_{1} \text { and } E_{2} \\
E_{1}\lceil\wedge\rceil E_{2} & \text { meet of } E_{1} \text { and } E_{2} \\
\lceil\exists\rceil x . E & \text { eliminate } x \text { from } E \\
E_{1}\lfloor\Rightarrow\rfloor E_{2} & \text { partial order test comparing } E_{1} \text { and } E_{2} \\
\left(E_{1}\lfloor\vee\rfloor E_{2}\right) / E & \text { under-approximate } E \Rightarrow\left(E_{1} \vee E_{2}\right) \\
\left(E_{1} \Rightarrow E_{1}^{\prime}\right)\lfloor\wedge\rfloor\left(E_{2} \Rightarrow E_{2}^{\prime}\right) & \text { underapprox. }\left(E_{1} \Rightarrow E_{1}^{\prime}\right) \wedge\left(E_{2} \Rightarrow E_{2}^{\prime}\right) \\
\lfloor\forall\rfloor x .\left(E \Rightarrow E^{\prime}\right) & \text { underapproximate } \forall x\left(E \Rightarrow E^{\prime}\right)
\end{array}
$$

## How are Under-Approximations Computed?

Under-approximation operators $==$ Abduction
Given environment $E$ and observation $F$, generate an explanation $F^{\prime}$ such that

$$
\begin{aligned}
E \wedge F^{\prime} & \Rightarrow F \quad \text { abduction } \\
F^{\prime} & \Rightarrow \quad(E \Rightarrow F) \quad \text { underapproximation }
\end{aligned}
$$

We start with over-approximations and then refine them using abduction.

## Magic

$$
\begin{aligned}
& i=1 \\
& \forall k(k=0 \Rightarrow A[k]=0)
\end{aligned}
$$

$$
\begin{array}{ll}
\lceil 7\rceil & i=2 \\
& \forall k(0 \leq k<2 \Rightarrow A[k]=0)
\end{array}
$$

$$
\begin{gathered}
1 \leq i \leq 2 \\
\forall k(---\quad \Rightarrow A[k]=0)
\end{gathered}
$$

Hmmm, _--- should be

$$
i=1 \Rightarrow k=0\lfloor\wedge\rfloor i=2 \Rightarrow 0 \leq k<2
$$

Compute

$$
i=1 \wedge k=0\lceil\vee\rceil i=2 \wedge 0 \leq k<2
$$

Join on linear arithmetic returns

$$
1 \leq i \leq 2 \wedge 0 \leq k<i
$$

## Part IV. Theory Anyone?

## Part I. Invariant Checking

Program: A directed graph whose edges are labelled with:

- $x:=e$
- $x:=$ ?
- skip


## Example

Given the following program and assertion $z-x-y=n$ at the end, check if assertion is an invariant of the program.

```
l x := 0; y := 0; z := n;
2 while (*) {
3 if (*) {
4 x := x+1;
5 z := z-1;
6 } else {
7 y := y+1;
8 z := z-1;
9 }
10}
    assert(z - x - y = n)
```



## Invariant Checking via Backward Propagation

$$
\begin{aligned}
& {[\mathrm{n}-0-0=\mathrm{n}]} \\
& 1 \mathrm{x}:=0 ; \mathrm{y}:=0 ; \mathrm{z}:=\mathrm{n} \text {; } \\
& {[z-x-y=n]} \\
& 2 \text { while (*) \{ } \\
& {[z-x-y=n]} \\
& \text { if (*) \{ } \\
& \mathrm{x}:=\mathrm{x}+1 \text {; } \\
& 5 \\
& \mathrm{z}:=\mathrm{z}-1 \text {; } \\
& {[z-x-y=n]} \\
& 6\} \text { else }\{ \\
& 7 \quad Y:=Y+1 \text {; } \\
& 8 \quad \mathrm{Z}:=\mathrm{z}-1 \text {; } \\
& {[z-x-y=n]} \\
& 9\} \\
& {[z-x-y=n]}
\end{aligned}
$$

## Simple Programs using Linear Arithmetic

Program $P \quad$ : $\quad$ Simple program using expression language of linear arith.
Assertion : linear arithmetic equality

In this case,

- At each point, we have a conjunction of linear equations
- Such a conjunct can have at most $n$ non-redundant equations
- Therefore fixpoint converges in at most $n$ iterations

Linear arithmetic equality invariant checking on simple programs is in PTIME

## Invariant Checking for Unitary Theories

$e_{1}=e_{2}$ is an invariant at point $\pi$ if every program path to $\pi$ gives an interpretation $\sigma$ (for program variables) s.t. $\sigma \models e_{1}=e_{2}$

Let $\sigma_{1}, \sigma_{2}, \ldots$ be all the interpretations reachable at $\pi$
Let $\sigma$ be $m g u_{\mathbb{T}}\left(e_{1}, e_{2}\right)$. For all $i$,

$$
\begin{array}{ll} 
& e_{1} \sigma_{i}=\mathbb{T} e_{2} \sigma_{i} \\
\text { Implies } & \sigma \text { is more general than } \sigma_{i} \\
\text { Implies } & \sigma \sigma_{i}=_{\mathbb{T}} \sigma_{i} \\
\text { Implies } & x \sigma \sigma_{i}=_{\mathbb{T}} x \sigma_{i} \\
\text { Implies } & x \sigma=x \text { is an invariant }
\end{array}
$$

If $e_{1}=e_{2}$ is an invariant, then $m g u_{\mathbb{T}}\left(e_{1}, e_{2}\right)$ is an invariant in the simple program model

## Invariant Checking for Unitary Theories

Program $P \quad: \quad$ Expression language of a unitary theory
Assertion $\quad: \quad e_{1}=e_{2}$, where $e_{i}$ are terms in the unitary theory

In this case,

- At each point, we have a conjunction of equations
- Such a conjunct can have at most $n$ non-redundant equations (use unification)
- Therefore fixpoint converges in at most $n$ iterations

Invariant checking of equalities on simple programs over unitary theories is in PTime

## Example: A Simple Program over UFS

$$
\begin{aligned}
& \text { [ } c=c \text { ] } \\
& \text { l u := C; v := C; } \\
& \text { [ } u=v \text { ] } \\
& 2 \text { while (*) \{ } \\
& {[F(u)=F(v)] \text { which is the same as }[u=v]} \\
& 3 \quad u \quad:=F(u) \text {; } \\
& 4 \mathrm{v}:=\mathrm{F}(\mathrm{v}) \text {; } \\
& \text { [ } u=v \text { ] } \\
& 5\} \\
& \text { [ } u=v \text { ] }
\end{aligned}
$$

Note that $u=v$ is an invariant since all the following interpretations are models of it:

$$
\langle u \mapsto c, v \mapsto c\rangle,\langle u \mapsto F c, v \mapsto F c\rangle,\langle u \mapsto F F c, v \mapsto F F c\rangle, \ldots
$$

## Disequality Invariant Checking is Undecidable

$$
\begin{aligned}
& \text { SolvePCP }\left(\left(u_{1}, v_{1}\right), \ldots,\left(u_{k}, v_{k}\right)\right) \text { : } \\
& 1 x:=u_{1}(\epsilon) ; \quad y:=v_{1}(\epsilon) \text {; } \\
& 2 \text { while (*) \{ } \\
& 3 \text { if (*) \{ } \\
& 4 \quad x:=u_{2}(x) \text {; } y:=v_{2}(y) \text {; } \\
& 5\} \operatorname{elsif}(*)\{ \\
& 6 \quad x:=u_{3}(x) ; \quad y:=v_{3}(y) \text {; } \\
& 7 \quad\} \operatorname{elsif}(*)\{ \\
& 8 \\
& 9\} \text { else }\{ \\
& 10 \\
& x:=u_{k}(x) ; \quad y:=v_{k}(y) ; \\
& 11 \quad\} \\
& 12\} \\
& {[x \neq y]}
\end{aligned}
$$

## Disjunctive Equality Invariant Checking is coNP-hard

Solve3SAT $(\psi)$ :

$$
\begin{aligned}
& c_{1}:=0 ; \cdots ; c_{m}:=0 ; / / \text { All clauses set to } 0 \\
& \text { if (*) \{ } \\
& \text { All clauses containing } b_{1} \text { set to } 1 \\
& \} \text { else \{ } \\
& \text { All clauses containing } \neg b_{1} \text { set to } 1 \\
& \} \\
& \begin{array}{l}
\text { ( }
\end{array} \\
& \text { if (*) \{ } \\
& \text { All clauses containing } b_{n} \text { set to } 1 \\
& \} \text { else \{ } \\
& \text { All clauses containing } \neg b_{n} \text { set to } 1 \\
& \} \\
& {\left[\begin{array}{c}
\left.c_{1}=0 \vee c_{2}=0 \vee \cdots \vee c_{m}=0\right] ;
\end{array}\right.}
\end{aligned}
$$

Invariant holds iff at least one clause is not satisfied for each assignment

## Equality Invariant Checking over UFS+LA

Recall the unification connection: For a simple program $P$ over UFS+LA
$F(a)+F(b)=F(x)+F(a+b-x)$ is an invariant of $P$ iff $x=a \vee x=b$ is an invariant of $P$

Recursively using the same idea, we can write one equation $e_{1}=e_{2}$ s.t. $e_{1}=e_{2}$ is an invariant of $P$ iff
$0=c_{1} \vee 0=c_{2} \vee \cdots \vee 0=c_{m}$ is an invariant of $P$

But checking this disjunctive assertion is coNP-hard

This proof generalizes to theories that can encode disjunction such as $x=a \vee x=b$

## Simple Programs over UFS+LA

Equality assertion checking is coNP-hard
We can show that it is decidable
The reason is that this theory is finitary
Hence backward propagation + unification can be shown to terminate
The argument generalizes to all convex and finitary theories
The result also generalizes richer program models that include assume disequality nodes

## Richer Program Models

Additional edge labels:

- $\operatorname{Assume}\left(e_{1} \neq e_{2}\right)$
- $\operatorname{Assume}\left(e_{1}=e_{2}\right)$
- Call(P)

If we include conditionals, then even for simple programs using simple expression language (either UFS or LA), invariant checking is undecidable

## Summary of Results

| Unification type of theory <br> of program expressions | Complexity of <br> assertion checking | Examples |
| :---: | :---: | :--- |
| Strict Unitary | PTIME | $\ell a, u f$ |
| Bitary | coNP-hard | $\ell a+u f, c$ |
| Finitary-Convex | Decidable | $\ell a+u f+c+a c$ |

Figure 1: Results for simple programs. Row 4 holds even for disequality guards.

## Summary

- Logical lattices are good candidates for thinking about and building abstract interpreters
- Logical lattices can be combined in a new and important way Logical Products:
- Logical product is more powerful than direct or reduced product
- Operations on logical lattices can be modularly combined to yield operations for logical products
- Using ideas from the classical Nelson-Oppen combination method


## Summary

- The assertion checking problem:
- Equations in an assertion can be replaced by its complete set of $T h$-unifiers for purposes of assertion checking
- Assertion checking over "lattices" defined by combination of two logical lattices can be hard, even when it is in PTime for the lattices defined by individual theories
- Finitary Th-unification algorithm implies decidability of assertion checking for the logical lattices defined by $T h$


## Summary

- Base Abstract Domain $\mapsto$ Quantified Abstract Domain
- Require a rich interface from the base domain
- Ability to compute over- and under-approximations of various logical operators


## Big Picture

Applications: Memory Safety
Quantifi ed Abstract Domain
Combination Domain: Logical Product
Base Domains with rich API


## Philosophy

Next Generation Automated Deduction Engine: Requirements-

| Attributes | Why | Modern SMT Solvers |
| :--- | :--- | :--- |
| speed | embedded use | yes |
| support for theories | symbols have meaning | yes |
| interface | embedded use | lacking |
| beyond satisfiability | need more | lacking |
| reduced expressiveness |  | partly |

