### Dynamic On-the-fly Generation of Scan Schedules

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### Outline

Single Platform DSS

- Problem Statement
- Construction of Regular Schedules
- Hardness and Phase Transitions
- $\circ$  Finding Optimal  $\bigtriangleup$ 's
- Implementation

Multiplatform DSS

Conclusion

- Known Limits and Possible Improvements
- Generalizations

### **Problem Statement**

# **Initial Specifications**

#### Input

- $\circ$  *n*: number of frequency bands
- Emitter table
- For each emitter type E: a weight  $W_E$  and minimal coverage  $p_E \in (0, 1]$

#### Objective

 $\circ$  Compute in real time a scan schedule S that maximizes

$$F = \sum_{E} W_E P_S(E)$$

where  $P_S(E)$  is the probability of detecting *E* with *S*.

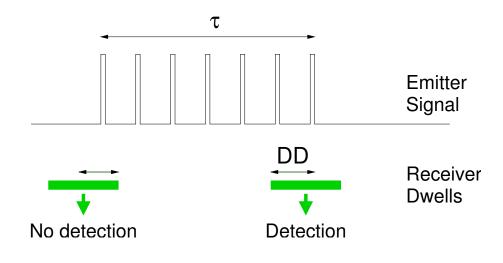
**Coverage Constraints** 

• Make sure that  $P_S(E) \ge p_E$  for all E.

### **Emitter Characteristics**

For each emitter type E

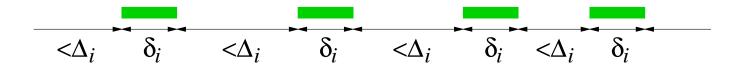
- $\circ$  *i*: frequency band
- $\circ \tau_E$ : nominal illumination time
- $\circ$  *DD*<sub>E</sub>: duration to detect



## Central Concept: Regular Schedules

#### Definition

- Characterized by parameters  $\delta_1, \ldots, \delta_n$  and  $\Delta_1, \ldots, \Delta_n$ .
- All dwells for band *i* are of length  $\delta_i$ .
- $\circ$  Two successive dwells for band *i* are separated by a delay no more than  $\Delta_i$ .

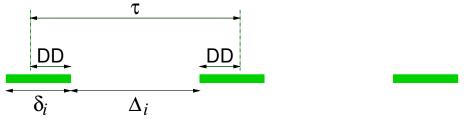


#### Important property

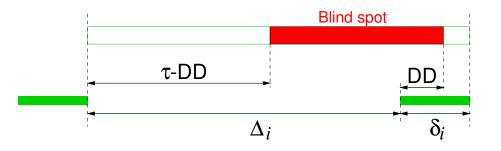
• If *E* is an emitter of band *i*, then a lower bound on  $P_S(E)$  can be easily obtained from  $\delta_i$  and  $\Delta_i$ .

### **Detection Probabilities**

 $\delta_i \ge DD_E \text{ and } \Delta_i \leqslant \tau_E - 2DD_E \implies P_S(E) = 1$ 



 $\delta_i \ge DD_E \text{ and } \Delta_i > \tau_E - 2DD_E \implies P_S(E) \ge \frac{\delta_i + \tau_E - 2DD_E}{\delta_i + \Delta_i}$ 



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### **Reformulating the Problem**

Let 
$$Q(E) = \min(1, \frac{\delta_i + \tau_E - 2DD_E}{\delta_i + \Delta_i})$$

New Objective Function

$$G(\Delta_1,\ldots,\Delta_n) = \sum_E W_E Q(E)$$

Bounds on  $\Delta_i$ 

• Upper bound: The constraints  $Q(E) \ge p_E$  for emitters in band *i* give

 $\Delta_i \leqslant B_i$ 

where  $B_i$  depends on  $\delta_i$  and on the emitters in band *i*.

• Lower bound: There is  $A_i$  below which Q(E) = 1 for all emitters in band *i*.

## Reformulating the Problem (cont'd)

**Dwell times are fixed**:  $\delta_i$  is the maximal  $DD_E$  among emitters E in band i.

New optimization problem:

• Objective:

maximize  $G(\Delta_1,\ldots,\Delta_n)$ 

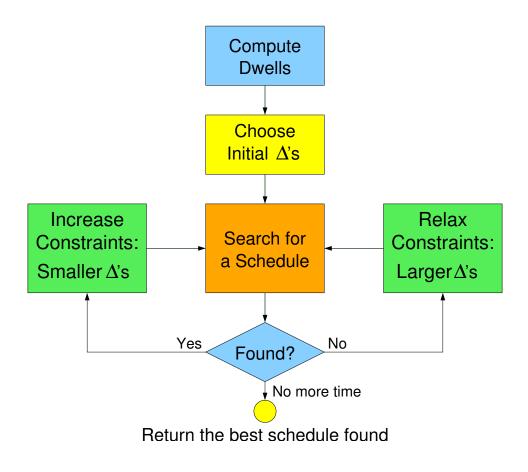
• Coverage constraints:

$$\begin{array}{rcl} A_1 & \leqslant & \Delta_1 & \leqslant & B_1 \\ & & \vdots \\ A_n & \leqslant & \Delta_n & \leqslant & B_n \end{array}$$

• Feasibility constraint:

Make sure that there exists a regular schedule S for  $\delta_1, \ldots, \delta_n$  and  $\Delta_1, \ldots, \Delta_n$ .

### Overview of the DSS Approach



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# Schedule Construction Algorithm

## **Construction of Regular Schedules**

### Objective

• Given  $\delta_1, \ldots, \delta_n$  and  $\Delta_1, \ldots, \Delta_n$ , compute a regular schedule *S* for these parameter or determine that no such schedule exists.

#### Complexity

 $\circ$  This is an NP-hard problem

### **Necessary Conditions for Feasibility**

• We must have  $\delta_i \leq \Delta_j$  for  $i \neq j$  and

$$\sum_{i=1}^{n} \frac{\delta_i}{\delta_i + \Delta_i} \leqslant 1$$

### **Translation to a Graph Problem**

**Regular Schedule:** 

- $\circ S$  is an infinite sequence of band indices  $(f_t)_{t\in\mathbb{N}}$
- At step t, let  $q_i(t)$  be the delay since the last occurrence of band i:

$$q_{i}(0) = 0$$

$$q_{i}(t+1) = \begin{cases} q_{i}(t) + \delta_{f_{t}} & \text{if } f_{t} \neq i \\ 0 & \text{if } f_{t} = i. \end{cases}$$

$$q_{i}(t)$$

$$q_{i}(t)$$

Since *S* is regular, we have

$$\forall t \in \mathbb{N} : q_i(t) \leqslant \Delta_i$$

### Translation to a Graph Problem (cont'd)

**Directed graph** defined by the  $\delta$ 's and  $\Delta$ 's

• Vertices:

Tuples 
$$q = (q_1, \ldots, q_n)$$
 such that  $q_i \leq \Delta_i$  for  $i = 1, \ldots, n$ .

• Edges:

 $q \longrightarrow q'$  if there is j such that

$$\begin{array}{rcl} q_j' &=& 0 \\ q_i' &=& q_i + \delta_j \text{ if } i \neq j \end{array}$$

#### **Properties**

- $\circ$  A regular schedule *S* is an infinite path in this graph.
- Since the set of vertices is finite, there is a regular schedule if and only if the graph has a circuit.

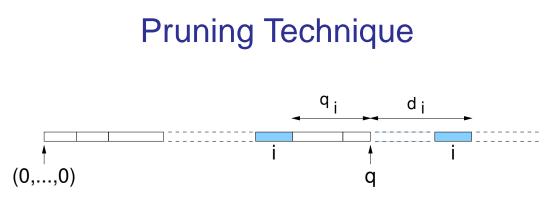
# Algorithm

### Naïve Algorithm

- $\circ$  Search for a circuit in the graph using depth-first search starting from  $(0, \ldots, 0)$ .
- (The graph is too large to compute transitive closure or use other algorithms that are polynomial in the graph size)

### **Optimizations**

- Pruning to detect dead-ends early.
- Subsumption: finding a circuit is not necessary, a weaker property is sufficient.
- Heuristics to order the search.



- q: last state on the current path, during depth-first search
- $d_i = \Delta_i + \delta_i q_i$ : deadline for the next dwell in band i
- if q is on an infinite path, we can add a sequence of n dwells after q, one for each band, without missing any deadline
- Property: whether such a sequence of *n* dwells exits can be efficiently checked via a test based on earliest-deadline-first scheduling (EDF)
- Pruning: if this EDF test fails, q is not on an infinite path: no need to explore further
- Generalization: consider more than one dwell per band

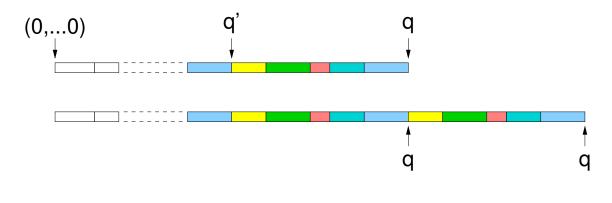
# **Subsumption**

#### Definition

- $\circ q$  subsumes q' if  $q \leq q'$ , that is,  $q_i \leq q'_i$  for  $i = 1, \ldots, n$ .
- $\circ$  if  $q\leqslant q'$  then all sequences of bands admissible in q' are admissible in q

#### Consequence

 $\circ$  instead of searching for a circuit, we can stop exploration whenever we reach a q that subsumes a preceding state q'



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Hardness Estimation Phase Transitions

## **Estimating Hardness**

#### Objective

- An instance consists of 2n parameters  $\delta_1, \ldots, \delta_n$  and  $\Delta_1, \ldots, \Delta_n$ .
- We need to determine a priori whether an instance is likely to be feasible of not.
- This is essential to achieve "good enough/soon enough" guarantees.

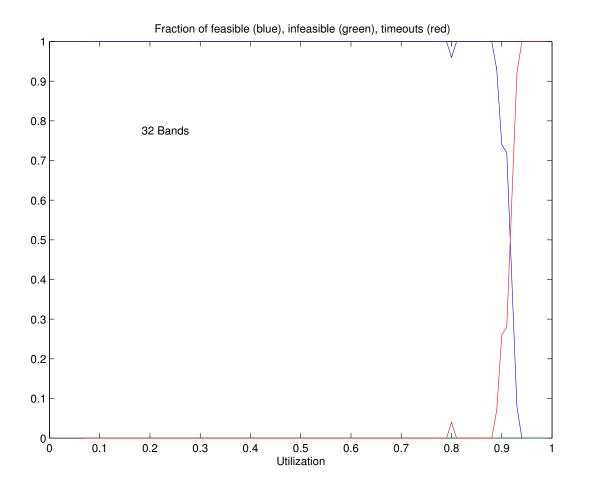
#### **Experiments on Random Instances**

• Show that the utilization can be used to predict hardness

$$U = \sum_{i=1}^{n} \frac{\delta_i}{\delta_i + \Delta_i}$$

- On randomly generated instances, with  $\delta_i$  and  $\Delta_i$  uniformly distributed, we see a phase transition around U = 0.9, independent of n
  - Almost all instances with U < 0.8 are feasible
  - Almost no instance with U > 0.9 is feasible

### Phase Transition on Random Instances



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### More Realistic Experiments

#### **DSS Context**

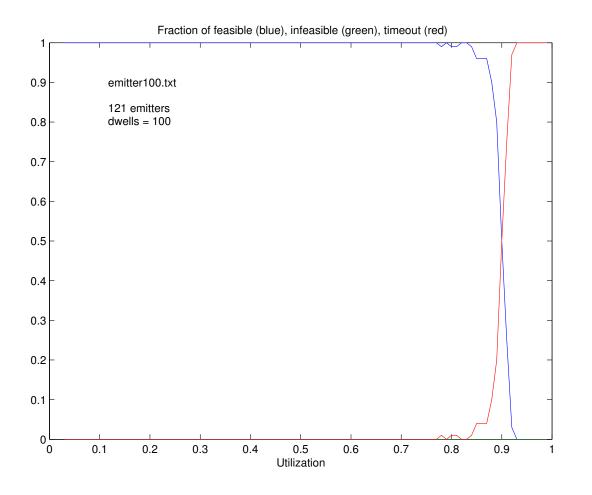
- $\circ$  Only  $\Delta_1, \ldots, \Delta_n$  vary.
- The dwells  $\delta_1, \ldots, \delta_n$  are fixed a priori by the emitter table.
- $\circ$  Bounds on  $\Delta_1, \ldots, \Delta_n$  are also given a priori:

 $A_i \leqslant \Delta_i \leqslant B_i.$ 

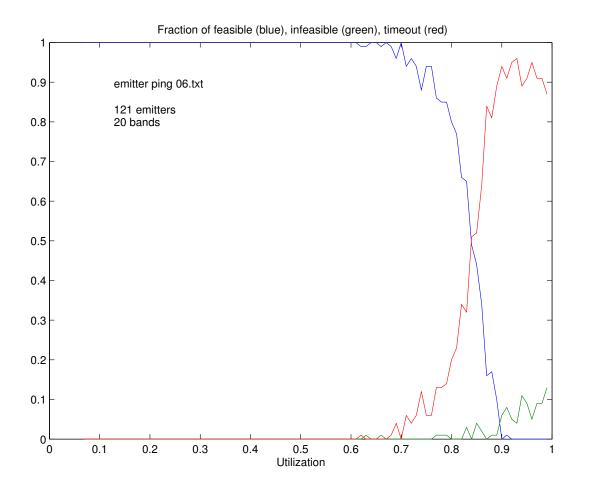
#### Experiments

- For a fixed emitter table, generate random instances with  $\Delta_i$  uniformly distributed between  $A_i$  and  $B_i$
- $\circ$  Record how the number of feasible/infeasible instances varies with U

### **Emitter Table 1**



### Emitter Table 2



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# **Empirical Results**

#### Results

- $\circ$  We still observe a phase transition for all the emitter tables we tried
- The sharpness and location of the transition vary with the emitter table
- Large dwells and small  $A_i$ 's cause the transition to move left (more instances are hard)

### Conclusion

- $\circ$  Experiments validate the use of U as a hardness indicator.
- $\circ$  Variation of hardness with U must be assessed for each emitter table and coverage parameters.
- Two utilization bounds can be estimated empirically
  - $-U_{easy}$ : below which all instances are feasible
  - $U_{hard}$ : above which (almost) no instance is feasible

# Computing $\Delta$ 's

## Computing $\triangle$ 's

**Objectives** 

• Find *feasible*  $\Delta_1, \ldots, \Delta_n$  that maximize the function

$$G = \sum_{E} W_{E}Q(E)$$

and satisfy the constraints

$$A_i \leqslant \Delta_i \leqslant B_i$$

#### **Known Properties**

- Determining feasibility is NP-hard
- $\circ$  Feasibility is related to

$$U = \sum_{i=1}^{n} \frac{\delta_i}{\delta_i + \Delta_i}$$

–  $U \leqslant U_{\text{easy}}$ : very likely to be feasible

 $-U \ge U_{hard}$ : very unlikely to be feasible

## Computing $\triangle$ 's (cont'd)

The DSS problem can be decomposed in two steps:

**Optimization Problem** 

 $\circ$  For an utilization bound  $U_0$ , find  $\Delta_1, \ldots, \Delta_n$  that maximize

$$G = \sum_{E} W_E Q(E),$$

under the constraints

$$A_i \leqslant \Delta_i \leqslant B_i,$$
$$\sum_{i=1}^n \frac{\delta_i}{\delta_i + \Delta_i} \leqslant U_0.$$

#### Schedule Construction

• For the optimal solution  $\Delta_1, \ldots, \Delta_n$  to this problem, try to construct a regular schedule *S*.

# Selecting $U_0$

#### Needs

- $\circ$  The two previous steps are iterated for several values of  $U_0$
- $\circ$  If step 2 succeeds, a solution is found:  $U_0$  is increased to attempt to find a better schedule
- $\circ$  If step 2 fails, we need to relax the constraints by reducing  $U_0$

### Approach Implemented

- Use dichotomy: maintain an interval  $[U_1, U_2]$  and take  $U_0 = \frac{U_1 + U_2}{2}$
- $\circ$  Initially,  $U_1 = U_{easy}$  and  $U_2 = U_{hard}$
- $\circ$  If step 2 succeeds, set  $U_1 = U_0$  otherwise set  $U_2 = U_0$
- $\circ$  Iterate until  $U_2 U_1$  is small enough

#### Optimal strategy could be computed by solving a Markov Decision Process

### Solving the Optimization Problem

**New Variables** 

$$x_i = \frac{1}{\delta_i + \Delta_i}$$

then for any E in band i,

$$Q(E) = \min(1, (\tau_E + \delta_i - 2DD_E)x_i) = \min(1, \alpha_E x_i)$$

The problem is now almost linear:

Maximize

$$G = \sum_{E} W_E \min(1, \alpha_E x_i)$$

under the constraints

$$\frac{1}{B_i + \delta_i} \leqslant x_i \leqslant \frac{1}{A_i + \delta_i}$$
$$\sum_{i=1}^n \delta_i x_i \leqslant U_0$$

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### Idea Behind the Algorithm

#### Aggregate weights

 $\circ$  Take all emitters in band *i* and sort them in increasing order of  $1/\alpha_E$ , say

$$1/\alpha_{E_1} \leq 1/\alpha_{E_2} \leq \ldots \leq 1/\alpha_{E_m}.$$

• Compute the aggregate weights:

$$\mathsf{agw}(E_j) \;=\; \sum_{k=j}^m W_{E_k}$$

- Properties
  - If  $1/\alpha_{E_{j-1}} \leq x_i$  and  $x_i + \varepsilon \leq 1/\alpha_{E_j}$  then increasing  $x_i$  by  $\varepsilon$  increases G by  $\varepsilon \times \operatorname{agw}(E_j)$
  - Increasing  $x_i$  by  $\varepsilon$  has a cost of  $\varepsilon \times \delta_i$  in terms of utilization.

### Algorithm Overview

Optimal solution is found as follows:

• Sort all the emitters in decreasing order of the ratios  $agw(E)/\delta_j$ :

 $\operatorname{\mathsf{agw}}(E_1)/\delta_{i_1} \geqslant \operatorname{\mathsf{agw}}(E_2)/\delta_{i_2} \geqslant \ldots \geqslant \operatorname{\mathsf{agw}}(E_N)/\delta_{i_N}$ 

 $\circ$  Iteratively compute  $x_1, \ldots, x_n$  so as to ensure

$$Q(E_1) = 1, \ldots, Q(E_M) = 1$$

for as many of these emitters as possible (i.e., for the largest possible M).

• Stop when  $\sum_{i=1}^{n} \delta_i x_i = U_0$ .

# Implementation

### Implementation

### Software

- Around 10K lines of C
- Linux compatible
- Includes DSS algorithm plus all algorithms for generating and solving random instances
- Output of DSS is a scan schedule given as a CDW table

#### Performance

- DSS computes a schedule in 1-2 seconds, depending on parameter settings, on a 400MHz Pentium III
- Experimental evaluation performed by BAE System

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# Multiplatform DSS

### Overview

Rely on fast schedule construction

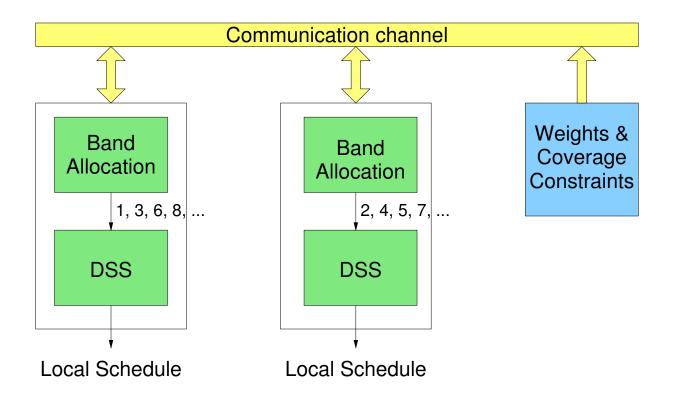
Distribute load accross the platforms

- Each platform is assigned a subset of the bands to focus on
- $\circ$  The weight of emitters in other bands is set to 0
- $\circ$  This gives a local scan-scheduling problem that is solved by DSS

#### **Experimental Evaluation**

- Based on a simplified emitter simulator that generates on/off files for each platform
- Can also use on/off files from BAE

### Architecture



# **Band Allocation Approach**

### Objective

- Ensure that all bands are covered (allocated to at least one platform)
- Balance the load among the platforms

### Approach

- All platforms receive the same weight and coverage data, and perform the same computation
- They all compute the total weight of each band:

$$W_i = \sum_{E \in \mathsf{Band}_i} W_E$$

- $\circ$  Bands are then sorted in decreasing order of  $W_i/\delta_i$ .
- Bands are partitioned into N balanced subsets (currently N = 2) using a heuristic similar to the bin packing best-fit heuristic
- $\circ$  Platform *j* is assigned partition *j*.

### Conclusion

## Main Outcomes of the Work

#### Feasibility and benefits of on-line DSS generation

- Computing DSS in real time is possible even though the problem is NP-hard in general
- Simulation shows improved detection performance of adapting scan-schedule to changing mission priorities
- Online schedule construction algorithm enables dynamic cooperation between multiple platforms

### Main Innovation

 Combination of graph exploration algorithms with hardness prediction based on utilization.

### Limits and Possible Extensions

### Limits of Regular Schedules

- $\circ$  Too restrictive in some cases
- A regular schedule requires  $\delta_j \leq \Delta_i$  whenever  $i \neq j$ . This rules out certain emitter tables.
- In a regular schedule  $\delta_i$  is the maximal  $DD_E$  among emitters in band E. This may be expensive if high-weight emitters in band i have  $DD_E$  much smaller than the maximum.

### **Possible Solutions**

- Use non-regular schedules where dwells in a band have different lengths
- Graph exploration technique generalizes to this type of schedules without much problem.
- Generalization of hardness estimation techniques less clear.

### Limits and Possible Extensions (cont'd)

#### **Coverage Constraints**

- Useful in the multiplatform case for robustness: no emitter is totally ignored by a platform
- But this can limit how well DSS does in overconstrained cases

#### Alternative: No Coverage Requirements

- Allows some emitter types to be ignored completely
- Should allow DSS to work beyond the "257 limit"
- Straightforward to implement, but has a nontrivial impact on the hardness prediction

# **Other Applications**

- Graph algorithms and heuristics could be applicable to many other types of scheduling problems
- Examples
  - Task scheduling in RTOS
  - Bus scheduling in TTA or similar architectures
  - Communication scheduling in wireless networks

The relation between utilization and hardness should also generalize to these examples